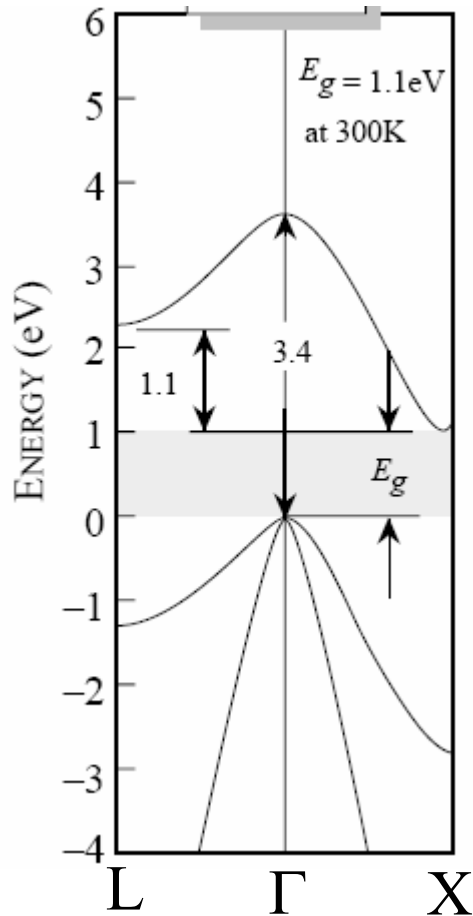


# Semiconductors

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# Effective Mass



$$E = \frac{\hbar^2 (\vec{k} - \vec{k}_0)^2}{2m^*} + E_c$$

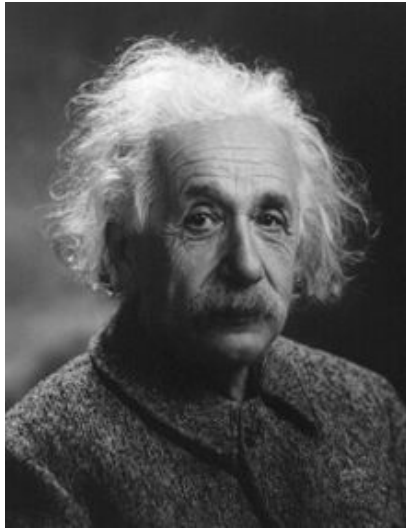
$$m_e^* = \frac{\hbar^2}{\frac{d^2 E}{dk_x^2}}$$

$$E = \frac{-\hbar^2 (\vec{k} - \vec{k}_0)^2}{2m^*} + E_v$$

$$m_h^* = \frac{-\hbar^2}{\frac{d^2 E}{dk_x^2}}$$

# Holes

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Albert Einstein



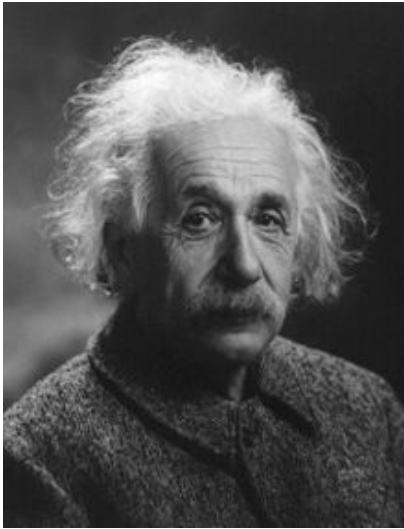
Erwin Schrödinger



Paul Adrien Maurice Dirac

# Holes

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Albert Einstein



Erwin Schrödinger



Paul Adrien Maurice Dirac

$$\frac{d^2u}{dt^2} = c^2 \frac{d^2u}{dx^2}$$

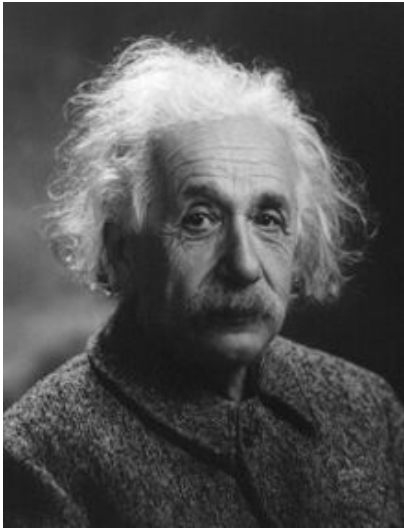
Wave equation

$$\frac{du}{dt} = k \frac{d^2u}{dx^2}$$

Heat equation

# Holes

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Albert Einstein



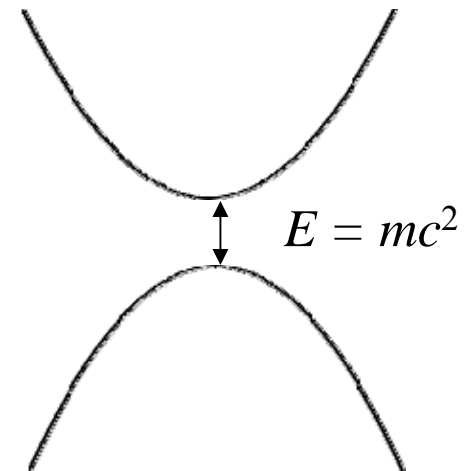
Erwin Schrödinger



Paul Adrien Maurice Dirac

$$\left( \beta mc^2 + \sum_{j=1}^3 \alpha_j p_j c \right) \psi = i\hbar \frac{\partial \psi}{\partial t}$$

Dirac equation



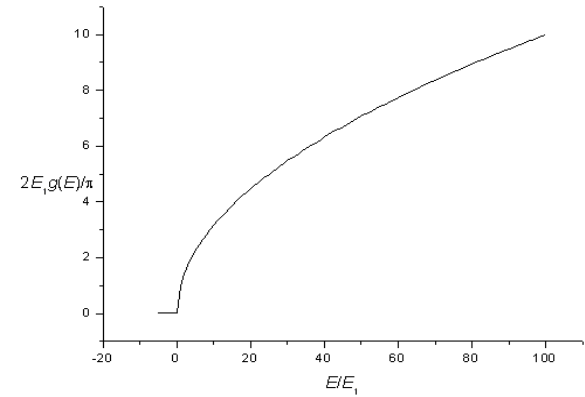
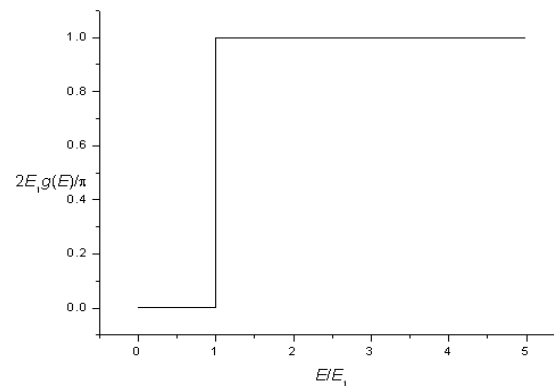
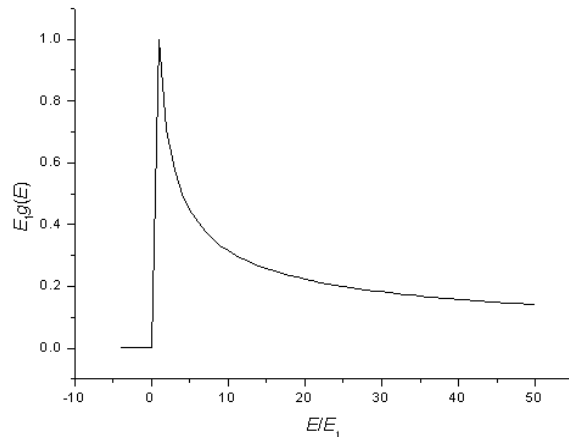
# Free electron Fermi gas

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$$1 - d \quad D(E) = \sqrt{\frac{2m}{\hbar^2 \pi^2 E}} = \frac{n}{2\sqrt{E_F E}} \quad \text{J}^{-1} \text{m}^{-1}$$

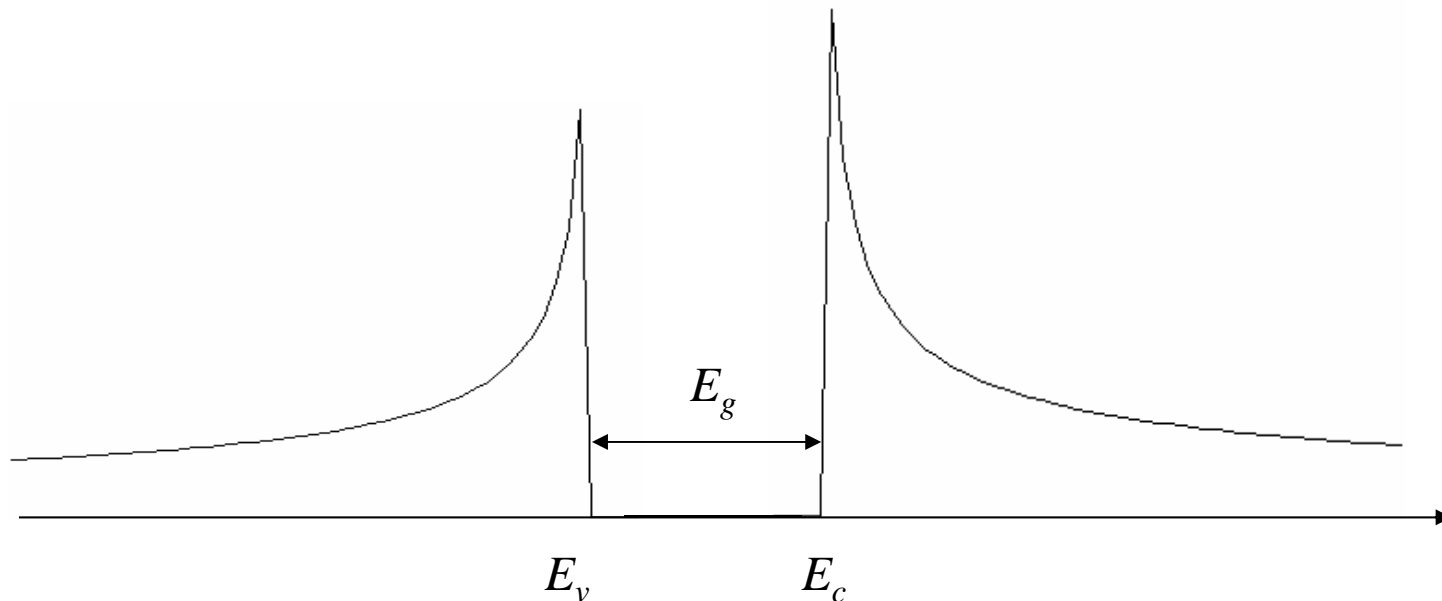
$$2 - d \quad D(E) = \frac{m}{\hbar^2 \pi} = \frac{n}{E_F} \quad \text{J}^{-1} \text{m}^{-2}$$

$$3 - d \quad D(E) = \frac{\pi}{2} \left( \frac{2m}{\hbar^2 \pi^2} \right)^{3/2} \sqrt{E} = \frac{3n}{2E_F^{3/2}} \sqrt{E} \quad \text{J}^{-1} \text{m}^{-3}$$



# Semiconductors and insulators - 1d

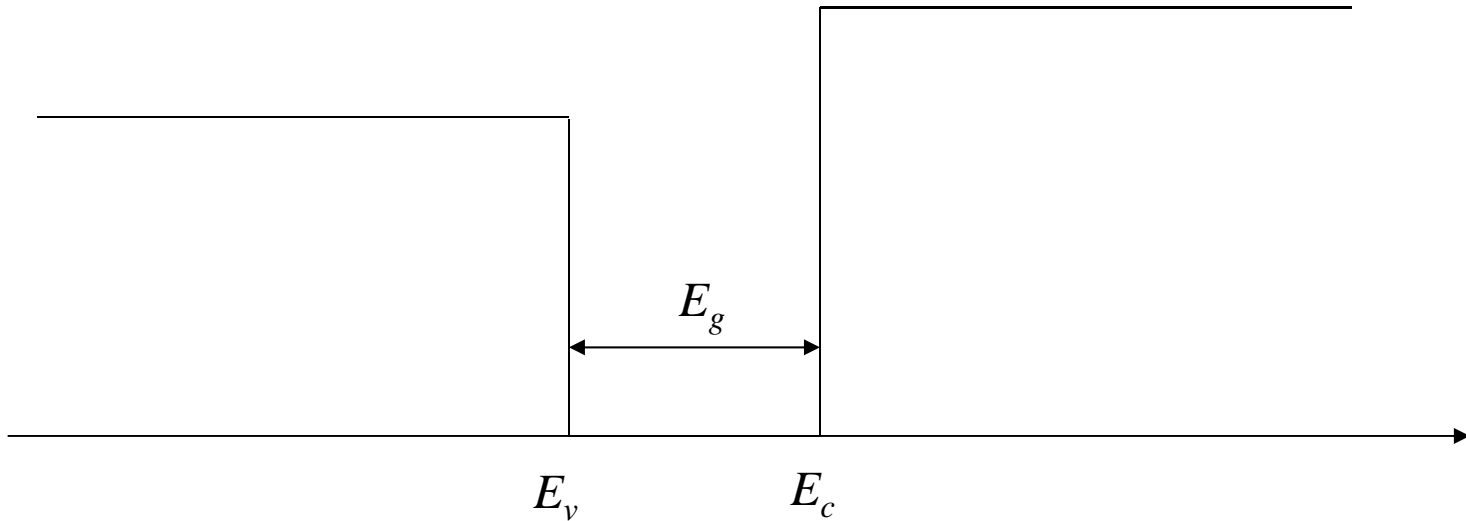
$$E = \frac{\hbar^2 (\vec{k} - \vec{k}_0)^2}{2m^*}$$
$$D(E) = \begin{cases} \frac{D_c}{\sqrt{(E_v - E)}} & E < E_v \\ 0 & E_v < E < E_c \\ \frac{D_v}{\sqrt{(E - E_c)}} & E_c < E \end{cases} \quad \text{J}^{-1}\text{m}^{-3}$$



# Semiconductors and insulators - 2d

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$$D(E) = \begin{cases} D_c & E < E_v \\ 0 & E_v < E < E_c \\ D_v & E_c < E \end{cases} \quad \text{J}^{-1}\text{m}^{-3}$$

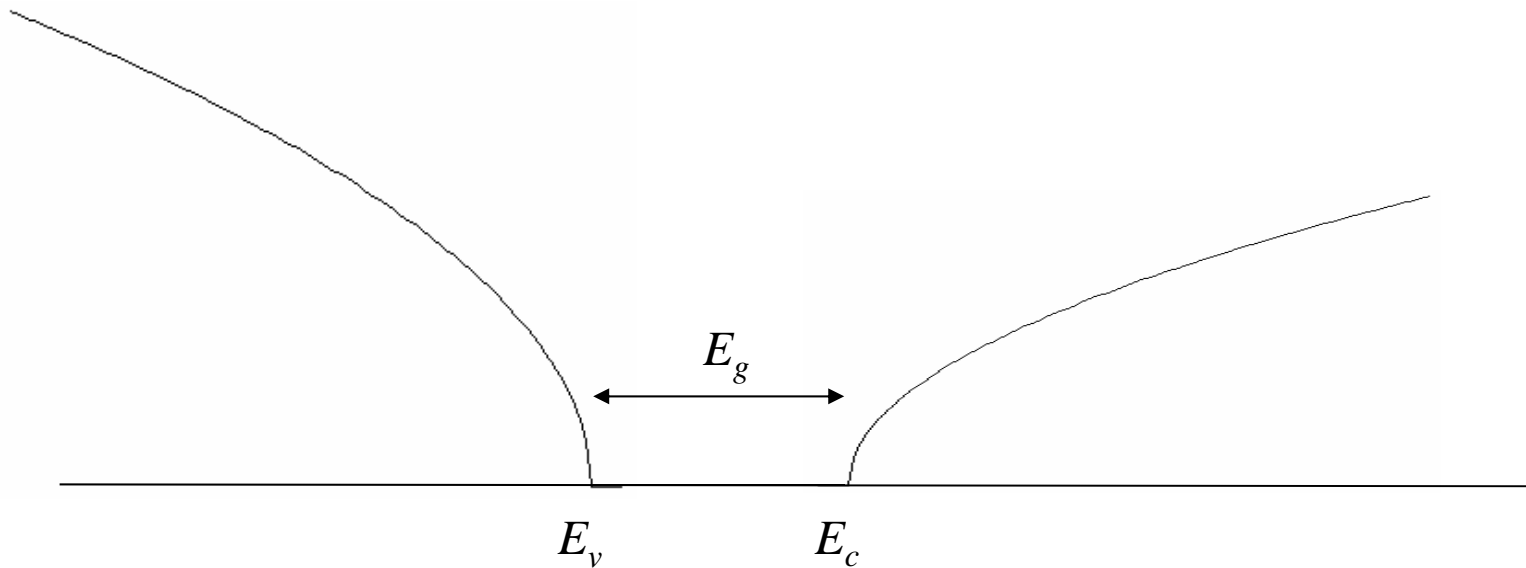




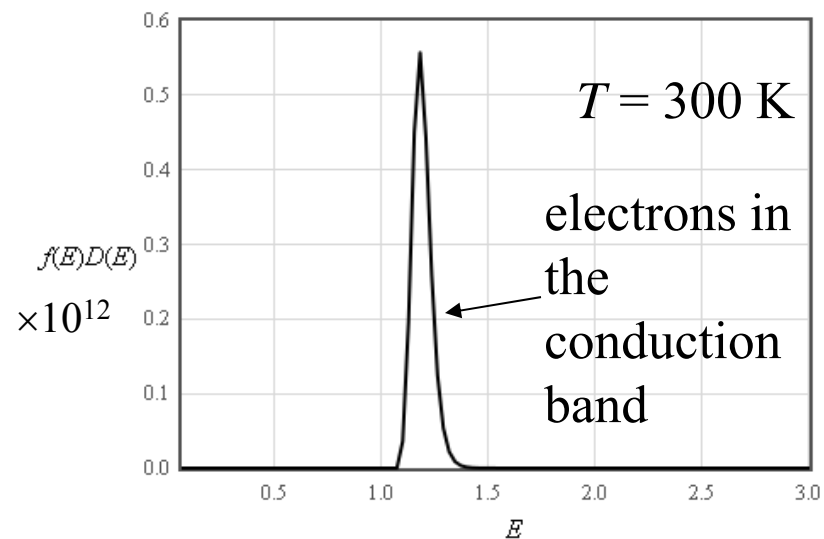
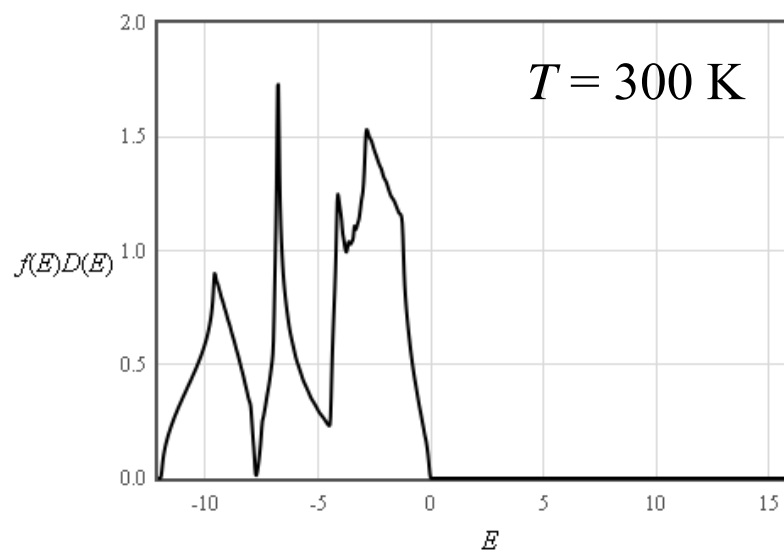
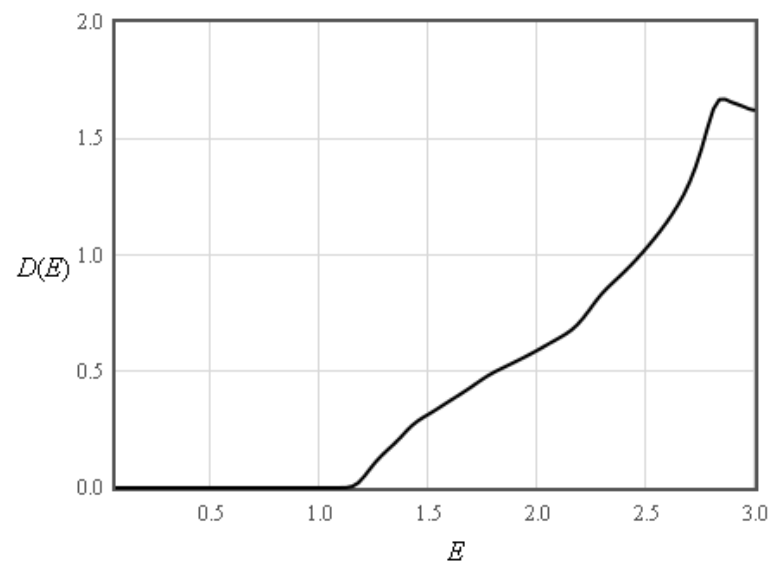
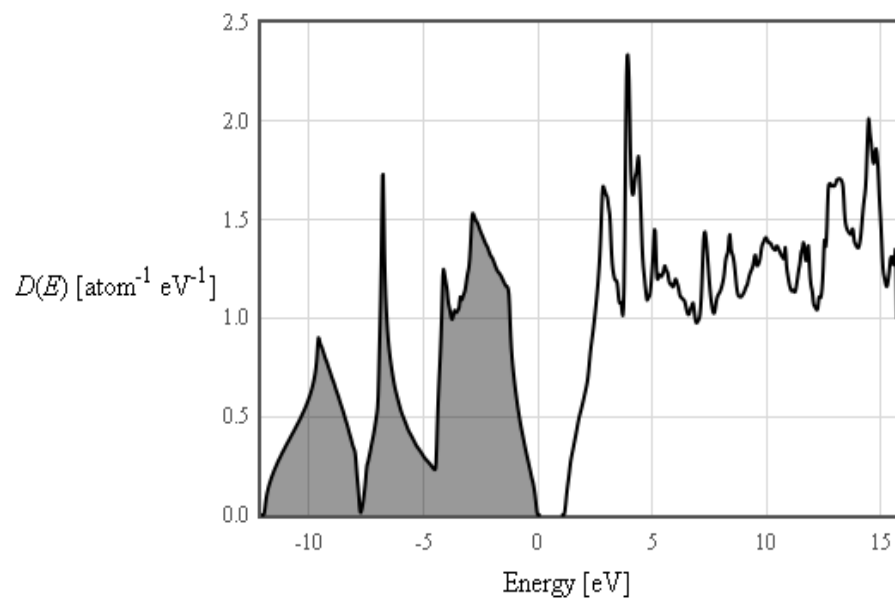
# Semiconductors and insulators - 3d

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$$D(E) = \begin{cases} D_c \sqrt{E_v - E} & E < E_v \\ 0 & E_v < E < E_c \\ D_v \sqrt{E - E_c} & E_c < E \end{cases} \quad \text{J}^{-1}\text{m}^{-3}$$



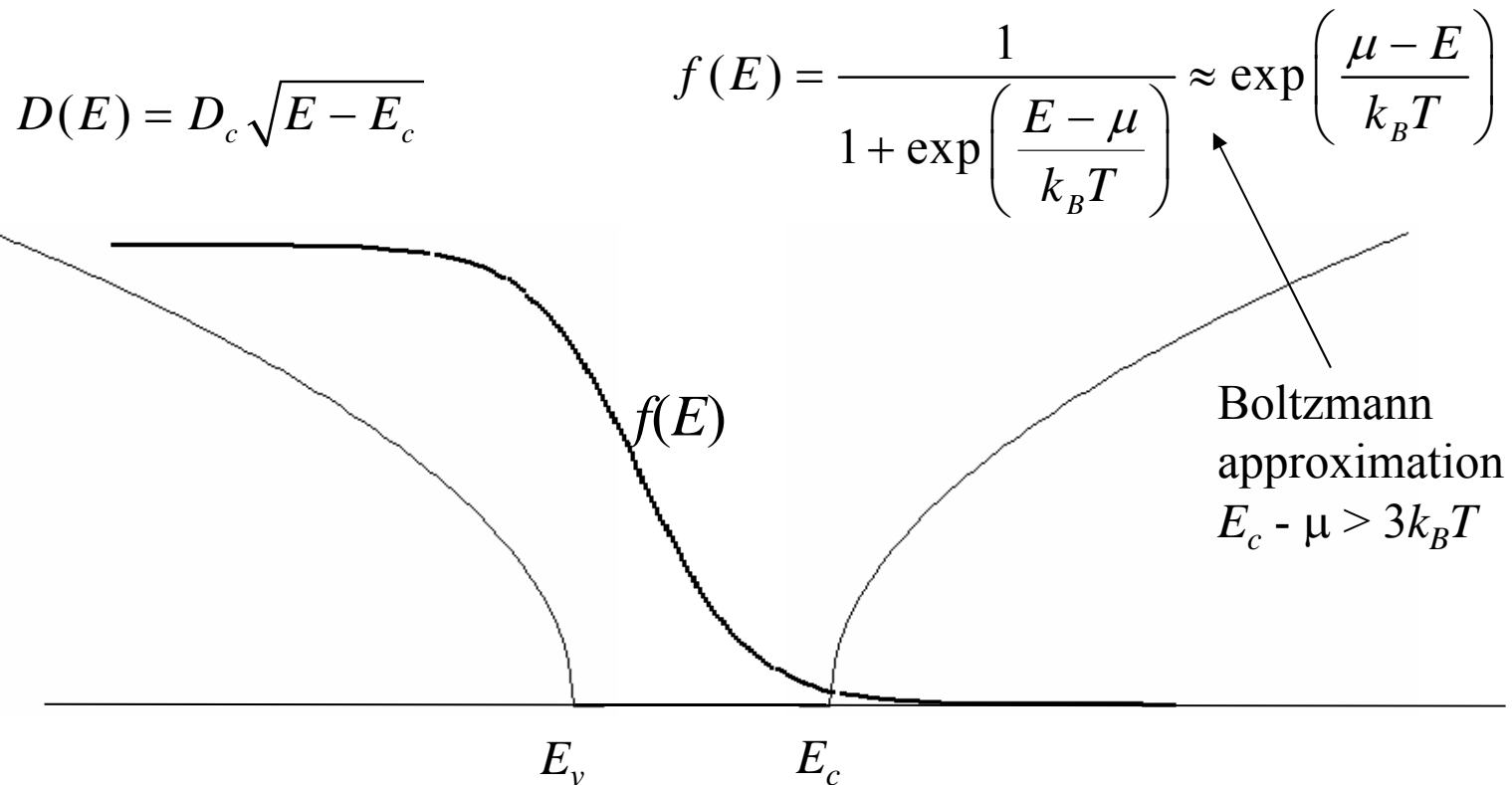
# Silicon density of states



# Density of electrons in the conduction band

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The free electron density of states is modified by the effective mass.



$$n = \int_{E_c}^{\infty} D(E) f(E) dE \approx D_c \int_{E_c}^{\infty} \exp\left(\frac{\mu - E}{k_B T}\right) \sqrt{E - E_c} dE$$

# Density of electrons in the conduction band

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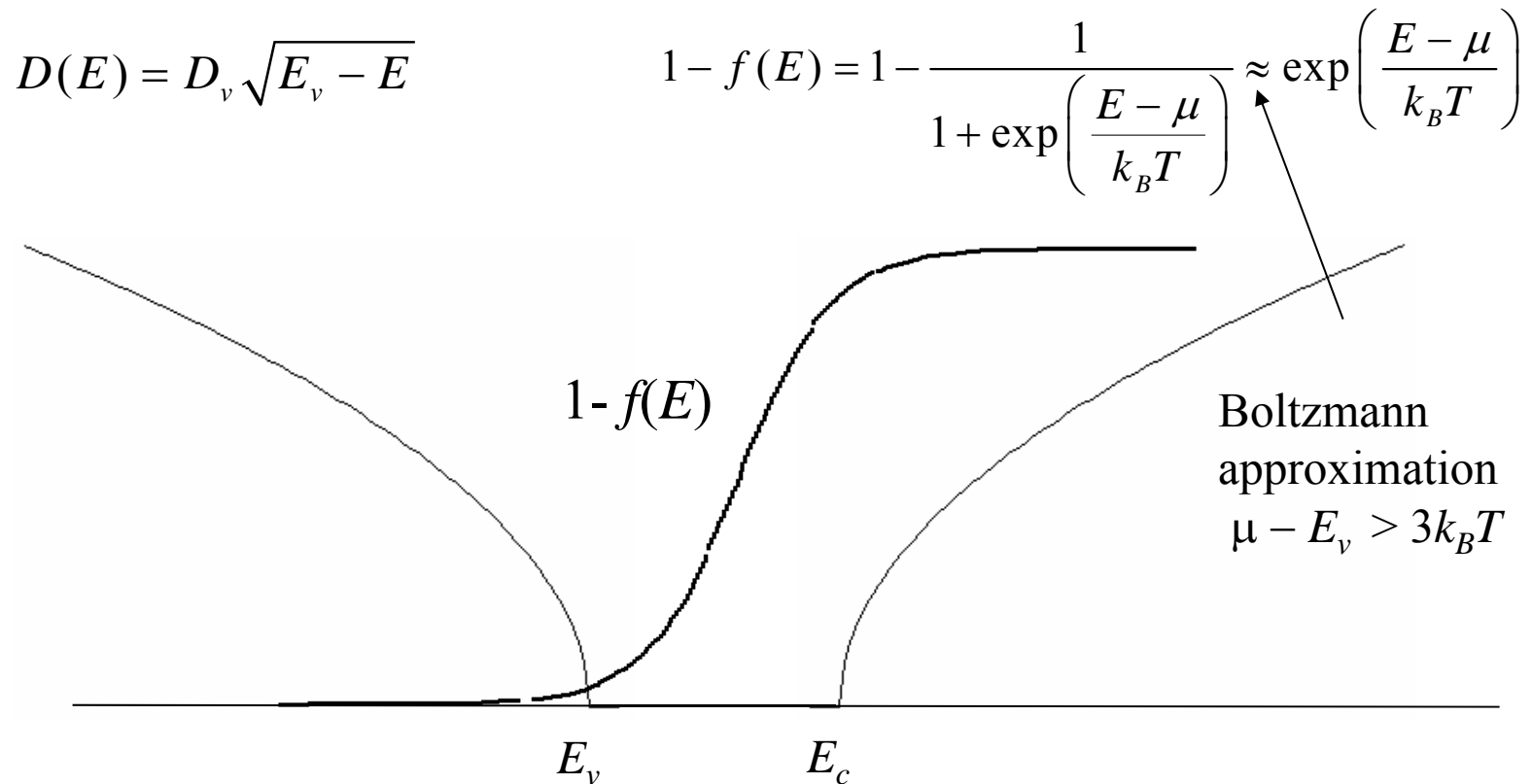
$$n = \int_{E_c}^{\infty} D(E) f(E) dE \approx D_c \int_{E_c}^{\infty} \exp\left(\frac{\mu - E}{k_B T}\right) \sqrt{E - E_c} dE$$

$$x = E - E_c \quad \int_0^{\infty} \sqrt{x} \exp\left(\frac{-x}{k_B T}\right) dx = \frac{2}{\sqrt{\pi}} (k_B T)^{3/2}$$

$$n = N_c(T) \exp\left(\frac{\mu - E_c}{k_B T}\right) = \frac{2D_c}{\sqrt{\pi}} (k_B T)^{3/2} \exp\left(\frac{\mu - E_c}{k_B T}\right)$$

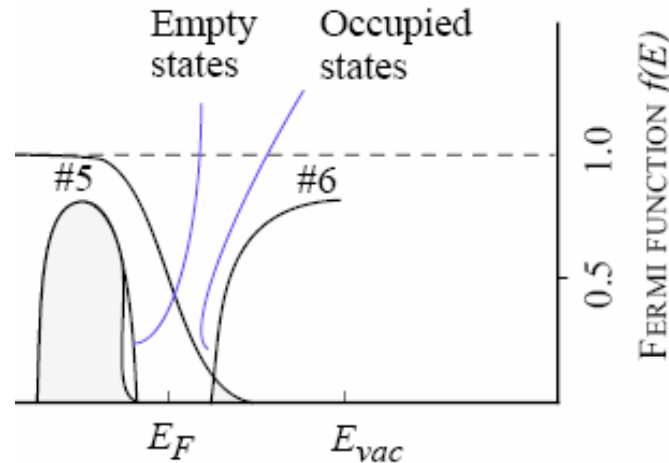
$$N_c = \frac{2D_c}{\sqrt{\pi}} (k_B T)^{3/2} = 2 \left( \frac{m^* k_B T}{2\pi \hbar^2} \right)^{3/2} = \text{effective density of states}$$

# Density of holes in the valence band



$$p = \int_{-\infty}^{E_v} D(E) (1 - f(E)) dE \approx D_v \int_{-\infty}^{E_v} \exp\left(\frac{E - \mu}{k_B T}\right) \sqrt{E_v - E} dE$$

# Density of holes in the valence band



$$p = \int_{-\infty}^{E_v} D(E)(1 - f(E)) dE \approx D_v \int_{-\infty}^{E_v} \exp\left(\frac{E - \mu}{k_B T}\right) \sqrt{E_v - E} dE$$

$$p = N_v \exp\left(\frac{E_v - \mu}{k_B T}\right) = \frac{2D_v}{\sqrt{\pi}} (k_B T)^{3/2} \exp\left(\frac{E_v - \mu}{k_B T}\right)$$

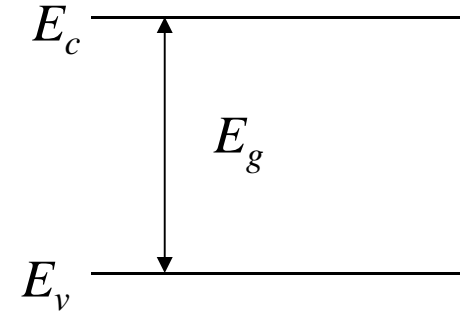
$$N_v = 2 \left( \frac{m_h^* k_B T}{2\pi \hbar^2} \right)^{3/2} = \text{Effective density of states in the valence band}$$

# Law of mass action

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$$np = N_c \exp\left(\frac{\mu - E_c}{k_B T}\right) N_v \exp\left(\frac{E_v - \mu}{k_B T}\right)$$

$$np = N_c N_v \exp\left(\frac{-E_g}{k_B T}\right)$$



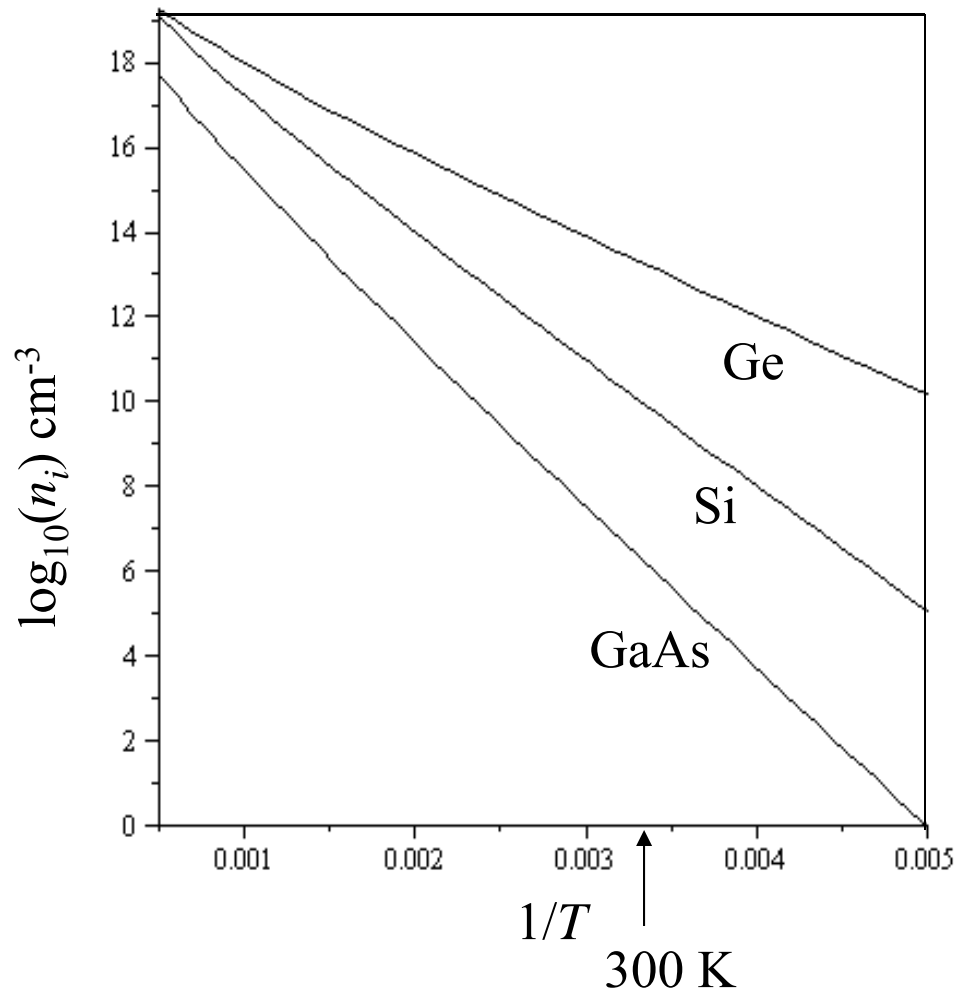
For intrinsic semiconductors (no impurities)

$$n = p = n_i = \sqrt{N_c N_v} \exp\left(\frac{-E_g}{2k_B T}\right)$$

intrinsic carrier density

# Intrinsic carrier concentration

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$$n_i = \sqrt{N_v N_c} \left( \frac{T}{300} \right)^3 \exp\left( -\frac{E_g}{2k_B T} \right)$$

$$\sim 5 \times 10^{22} \text{ atoms/cm}^3$$



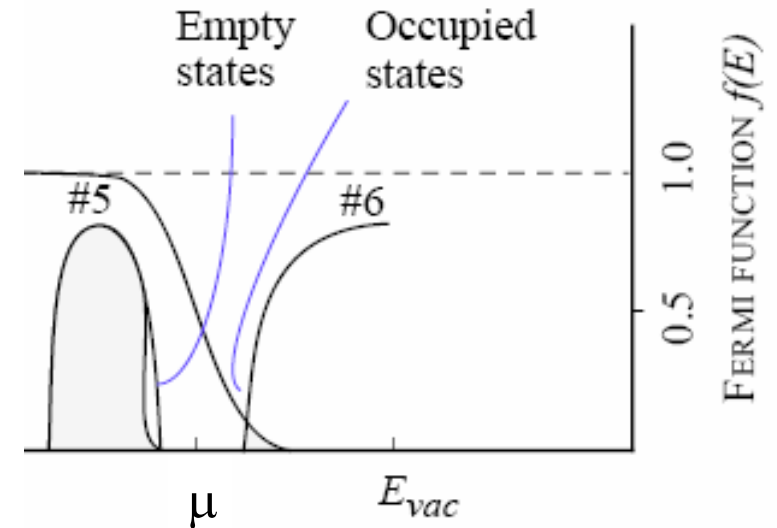
# Chemical potential of an intrinsic semiconductor

$$n = p = N_c \exp\left(\frac{\mu - E_c}{k_B T}\right) = N_v \exp\left(\frac{E_v - \mu}{k_B T}\right)$$

$$\exp\left(\frac{\mu - E_c - E_v + \mu}{k_B T}\right) = \frac{N_v}{N_c}$$

$$\frac{2\mu}{k_B T} = \frac{E_c + E_v}{k_B T} + \ln\left(\frac{N_v}{N_c}\right)$$

$$\mu = \frac{E_c + E_v}{2} + \frac{k_B T}{2} \ln\left(\frac{N_v}{N_c}\right)$$

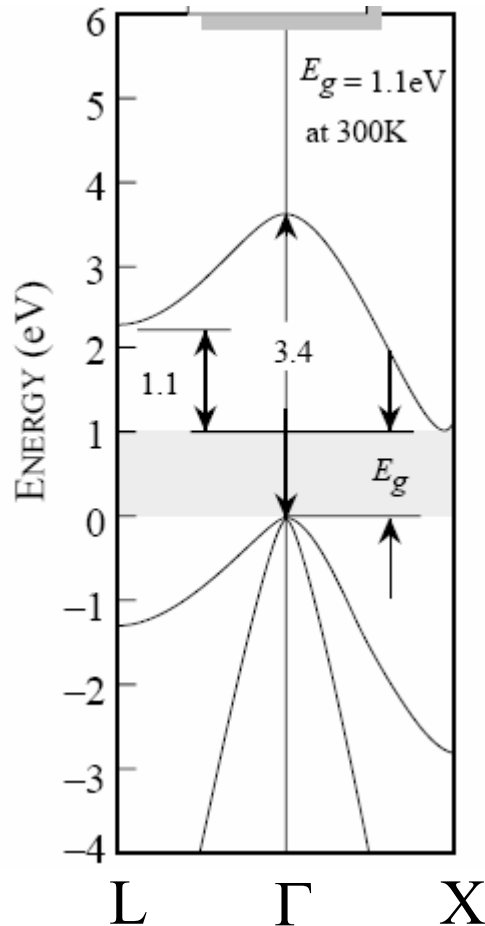


$E_c$  —————

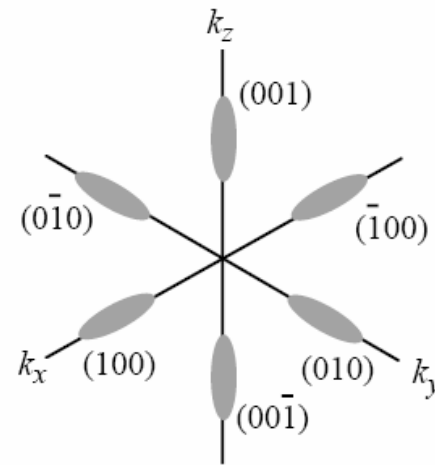
$\mu$  - - - - -

$E_v$  —————

# Density of electrons in the conduction band



$$n = N_c(T) \exp\left(\frac{\mu - E_c}{k_B T}\right) = \frac{2D_v}{\sqrt{\pi}} (k_B T)^{3/2} \exp\left(\frac{\mu - E_c}{k_B T}\right)$$

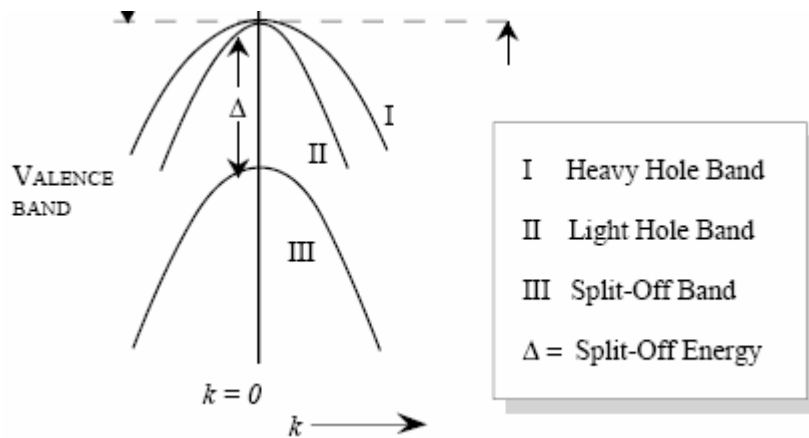


$$n = N_c \left(\frac{T}{300}\right)^{3/2} \exp\left(\frac{E_F - E_c}{k_B T}\right)$$

Properties	Si	Ge	GaAs
Bandgap $E_g$	1.12 eV	0.66 eV	1.424 eV
Effective density of states in conduction band (300 K) $N_c$	$2.78 \times 10^{25} \text{ m}^{-3}$	$1.04 \times 10^{25} \text{ m}^{-3}$	$4.45 \times 10^{23} \text{ m}^{-3}$

# Density of electrons in the conduction band

## Density of holes in the valence band



$$n = N_c \left( \frac{T}{300} \right)^{3/2} \exp \left( \frac{\mu - E_c}{k_B T} \right)$$

$$p = N_v \left( \frac{T}{300} \right)^{3/2} \exp \left( \frac{E_v - \mu}{k_B T} \right)$$

Properties	Si	Ge	GaAs
Bandgap $E_g$	1.12 eV	0.66 eV	1.424 eV
Effective density of states in conduction band (300 K) $N_c$	$2.78 \times 10^{25} \text{ m}^{-3}$	$1.04 \times 10^{25} \text{ m}^{-3}$	$4.45 \times 10^{23} \text{ m}^{-3}$
Effective density of states in valence band (300 K) $N_v$	$9.84 \times 10^{24} \text{ m}^{-3}$	$6.0 \times 10^{24} \text{ m}^{-3}$	$7.72 \times 10^{24} \text{ m}^{-3}$
Effective mass electrons $m^*/m_0$	$m_l^* = 0.98$ $m_t^* = 0.19$	$m_l^* = 1.64$ $m_t^* = 0.082$	$m^* = 0.067$
Effective mass holes $m^*/m_0$	$m_{lh}^* = 0.16$ $m_{hh}^* = 0.49$	$m_{lh}^* = 0.044$ $m_{hh}^* = 0.28$	$m_{lh}^* = 0.082$ $m_{hh}^* = 0.45$
Crystal structure	diamond	diamond	zincblende
Density	2.328 g/cm <sup>3</sup>	5.3267 g/cm <sup>3</sup>	5.32 g/cm <sup>3</sup>
Atoms/m <sup>3</sup>	$5.0 \times 10^{28}$	$4.42 \times 10^{28}$	$4.42 \times 10^{28}$

# The electrical contribution to the thermodynamic properties of insulators depend on band edges

## Boltzmann approximation

The table below gives the contribution of electrons in intrinsic semiconductors and insulators to some thermodynamic quantities. These results were calculated in the Boltzmann approximation where it is assumed that the chemical potential lies in the band gap more than  $3k_B T$  from the band edge. The electronic contribution to the thermodynamic quantities are usually much smaller than the contribution of the phonons and thus the electronic components are often simply ignored.

	1-d	2-d
<b>Density of states</b> $m_e^*$ and $m_h^*$ are 'density of states' effective masses	$D(E) = \begin{cases} \frac{1}{\hbar\pi} \sqrt{\frac{2m_h^*}{(E_v - E)}} & E < E_v \\ 0 & E_v < E < E_c \\ \frac{1}{\hbar\pi} \sqrt{\frac{2m_e^*}{(E - E_c)}} & E > E_c \end{cases} \quad \text{J}^{-1} \text{m}^{-1}$	$D(E) = \begin{cases} \frac{m_h^*}{\hbar^2\pi} H(E_v - E) & E < E_v \\ 0 & E_v < E < E_c \\ \frac{m_e^*}{\hbar^2\pi} H(E - E_c) & E > E_c \end{cases} \quad \text{J}^{-1} \text{m}^{-2}$ $H(x) = 0 \text{ for } x < 0 \text{ and } H(x) = 1 \text{ for } x > 0$
<b>Density of states</b> $N_v$ and $N_c$ are the effective densities of states	$D(E) = \begin{cases} N_v(300) \sqrt{\frac{2}{300\pi k_B (E_v - E)}} & E < E_v \\ 0 & E_v < E < E_c \\ N_c(300) \sqrt{\frac{2}{300\pi k_B (E - E_c)}} & E > E_c \end{cases} \quad \text{J}^{-1} \text{m}^{-1}$	$D(E) = \begin{cases} \frac{N_v(300)}{300k_B} H(E_v - E) & E < E_v \\ 0 & E_v < E < E_c \\ \frac{N_c(300)}{300k_B} H(E - E_c) & E > E_c \end{cases} \quad \text{J}^{-1} \text{m}^{-2}$
<b>Density of electrons in the conduction band</b> $n = \int_{E_c}^{\infty} D(E) f(E) dE$	$n = \sqrt{\frac{m_e^* k_B T}{\hbar^2 \pi}} \exp\left(\frac{\mu - E_c}{k_B T}\right) \quad \text{m}^{-1}$ $= N_c \exp\left(\frac{\mu - E_c}{k_B T}\right)$	$n = \frac{m_e^* k_B T}{\hbar^2 \pi} \exp\left(\frac{\mu - E_c}{k_B T}\right) \quad \text{m}^{-2}$ $= N_c \exp\left(\frac{\mu - E_c}{k_B T}\right)$
<b>Density of holes in the valence band</b> $p = \int_{-\infty}^{E_v} D(E) (1 - f(E)) dE$	$p = \sqrt{\frac{m_h^* k_B T}{\hbar^2 \pi}} \exp\left(\frac{E_v - \mu}{k_B T}\right) \quad \text{m}^{-1}$ $= N_v \exp\left(\frac{\mu - E_c}{k_B T}\right)$	$p = \frac{m_h^* k_B T}{\hbar^2 \pi} \exp\left(\frac{E_v - \mu}{k_B T}\right) \quad \text{m}^{-2}$ $= N_v \exp\left(\frac{\mu - E_c}{k_B T}\right)$