

# The N translational symmetries

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A crystal  $L \times L \times L$  has  $N = \frac{L^3}{a^3}$  unit cells.

$$T_{mnl} e^{i\vec{k} \cdot \vec{r}} u_{\vec{k}}(\vec{r}) = e^{i\vec{k} \cdot (\vec{r} + m\vec{a}_1 + n\vec{a}_2 + l\vec{a}_3)} u_{\vec{k}}(\vec{r} + m\vec{a}_1 + n\vec{a}_2 + l\vec{a}_3) = e^{i\vec{k} \cdot (m\vec{a}_1 + n\vec{a}_2 + l\vec{a}_3)} e^{i\vec{k} \cdot \vec{r}} u_{\vec{k}}(\vec{r})$$

$$m, n, l = -\frac{L}{2a}, \dots, 2-, -1, 0, 1, 2, \dots, \frac{L}{2a}$$

$$k_x, k_y, k_z = -\frac{\pi}{a}, \dots, -\frac{4\pi}{L}, -\frac{2\pi}{L}, 0, \frac{2\pi}{L}, \frac{4\pi}{L}, \dots, \frac{\pi}{a}$$

Multiply by  $\frac{L}{2\pi}$

$$\frac{L}{2\pi} k_x, \frac{L}{2\pi} k_y, \frac{L}{2\pi} k_z = -\frac{L}{2a}, \dots, -2, -1, 0, 1, 2, \dots, \frac{L}{2a}$$

# Review: Electrons

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Constructed the grand canonical partition function for noninteracting fermions.

Derived the Fermi-Dirac function.

The thermodynamic properties depend on the density of states.

For free electrons we found the density of states. The free electron model is a one parameter model.

Properties of metals depend mostly on the electron states at the Fermi surface.

# Free electron Fermi gas 1-d

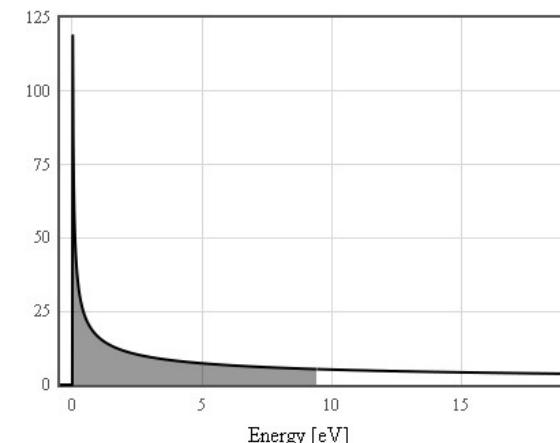
$$D(k) = \frac{2}{\pi}$$

$$E = \frac{\hbar^2 k^2}{2m} \quad k = \sqrt{\frac{2mE}{\hbar^2}}$$

$$dk = \frac{m}{\hbar^2 k} dE = \frac{1}{\hbar} \sqrt{\frac{m}{2E}} dE$$

$$D(k)dk = D(E)dE$$

$$D(E) = \sqrt{\frac{2m}{\hbar^2 \pi^2 E}} = \frac{n}{2\sqrt{E_F E}} \quad \text{J}^{-1} \text{m}^{-1}$$



# Free electron Fermi gas

$$E = \frac{\hbar^2 k^2}{2m}$$

1 - d

$$D(k) = \frac{2}{\pi}$$

$$D(E) = \sqrt{\frac{2m}{\hbar^2 \pi^2 E}} = \frac{n}{2\sqrt{E_F E}} \quad \text{J}^{-1} \text{m}^{-1}$$

2 - d

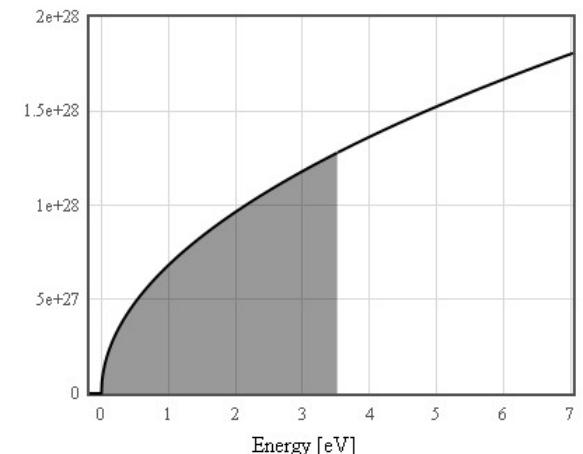
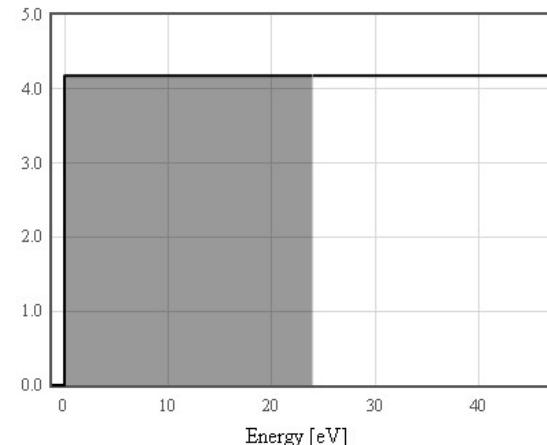
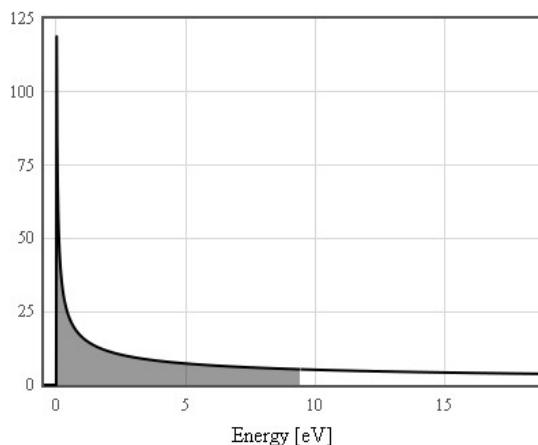
$$D(k) = \frac{k}{\pi}$$

$$D(E) = \frac{m}{\hbar^2 \pi} = \frac{n}{E_F} \quad \text{J}^{-1} \text{m}^{-2}$$

3 - d

$$D(k) = \frac{k^2}{\pi^2}$$

$$D(E) = \frac{\pi}{2} \left( \frac{2m}{\hbar^2 \pi^2} \right)^{3/2} \sqrt{E} = \frac{3n}{2E_F^{3/2}} \sqrt{E} \quad \text{J}^{-1} \text{m}^{-3}$$



# The free electron model is a one parameter model

	1-D Schrödinger equation for a free particle $i\hbar \frac{d\psi}{dt} = -\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2}$	2-D Schrödinger equation for a free particle $i\hbar \frac{d\psi}{dt} = -\frac{\hbar^2}{2m} \left( \frac{d^2\psi}{dx^2} + \frac{d^2\psi}{dy^2} \right)$	3-D Schrödinger equation for a free particle $i\hbar \frac{d\psi}{dt} = -\frac{\hbar^2}{2m} \left( \frac{d^2\psi}{dx^2} + \frac{d^2\psi}{dy^2} + \frac{d^2\psi}{dz^2} \right)$
Eigenfunction solutions	$\psi_k = A_k \exp(i(kx - \alpha t))$	$\psi_k = A_{\vec{k}} \exp(i(\vec{k} \cdot \vec{r} - \alpha t))$	$\psi_k = A_{\vec{k}} \exp(i(\vec{k} \cdot \vec{r} - \alpha t))$
Eigenvalues of the translation operator $T\psi_k(\vec{r}) = \psi_k(\vec{r} + \vec{R}) = \lambda_{\vec{k}}\psi_k(\vec{r})$	$\lambda_{\vec{k}} = \exp(i\vec{k} \cdot \vec{R})$	$\lambda_{\vec{k}} = \exp(i\vec{k} \cdot \vec{R})$	$\lambda_{\vec{k}} = \exp(i\vec{k} \cdot \vec{R})$
Dispersion relation	$E = \hbar\omega = \frac{\hbar^2 k^2}{2m} \text{ J}$	$E = \hbar\omega = \frac{\hbar^2 k^2}{2m} \text{ J}$	$E = \hbar\omega = \frac{\hbar^2 k^2}{2m} \text{ J}$
Density of states	$D(k) = \frac{2}{\pi}$	$D(k) = \frac{k}{\pi} \text{ m}^{-1}$	$D(k) = \frac{k^2}{\pi^2} \text{ m}^{-2}$
Density of states $D(E) = D(k) \frac{dk}{dE}$	$D(E) = \frac{1}{\pi\hbar} \sqrt{\frac{2m}{E}} = \frac{n}{2\sqrt{E_F E}} \text{ J}^{-1}\text{m}^{-1}$	$D(E) = \frac{m}{\pi\hbar^2} = \frac{n}{E_F} \text{ J}^{-1}\text{m}^{-2}$	$D(E) = \frac{(2m)^{\frac{3}{2}}}{2\pi^2\hbar^3} \sqrt{E} = \frac{3n}{2E_F^{3/2}} \sqrt{E} \text{ J}^{-1}\text{m}^{-3}$
Fermi energy $E_F$ $n = \int_{-\infty}^{E_F} D(E) dE$	$E_F = \frac{\pi^2 \hbar^2 n^2}{8m} \text{ J}$	$E_F = \frac{\pi \hbar^2 n}{m} \text{ J}$	$E_F = \frac{\hbar^2}{2m} (3\pi^2 n)^{\frac{2}{3}} \text{ J}$
$D(E_F)$	$D(E_F) = \frac{4m}{\pi^2 \hbar^2 n} \text{ J}^{-1}\text{m}^{-1}$	$D(E_F) = \frac{m}{\pi \hbar^2} \text{ J}^{-1}\text{m}^{-2}$	$D(E_F) = \frac{m(3n)^{\frac{1}{3}}}{\frac{4}{\pi^3} \hbar^2} \text{ J}^{-1}\text{m}^{-3}$
$D'(E_F) = \frac{dD}{dE} \Big _{E=E_F}$	$D'(E_F) = \frac{-16m^2}{\pi^4 \hbar^4 n^3} \text{ J}^{-2}\text{m}^{-1}$	$D'(E_F) = 0 \text{ J}^{-2}\text{m}^{-2}$	$D'(E_F) = \frac{m^2}{\hbar^4 \sqrt[3]{3\pi^8 n}} \text{ J}^{-2}\text{m}^{-3}$
Chemical potential $\mu$ $n = \int_{-\infty}^{\mu} D(E) f(E) dE$	$\mu \approx E_F - \frac{\pi^2}{6} (k_B T)^2 \frac{D'(E_F)}{D(E_F)} \text{ J}$ $\approx \frac{\pi^2 \hbar^2 n^2}{8m} + \frac{2m}{3\hbar^2 n^2} (k_B T)^2 \text{ J}$	$\mu = k_B T \ln \left( \exp \left( \frac{E_F}{k_B T} \right) - 1 \right) \text{ J}$ $= k_B T \ln \left( \exp \left( \frac{\pi \hbar^2 n}{mk_B T} \right) - 1 \right) \text{ J}$	$\mu \approx E_F - \frac{\pi^2}{6} (k_B T)^2 \frac{D'(E_F)}{D(E_F)} \text{ J}$ $\approx \frac{\hbar^2}{2m} (3\pi^2 n)^{\frac{2}{3}} - \frac{\pi^2 m}{2\hbar^2 3^{\frac{10}{3}} n^{\frac{2}{3}}} (k_B T)^2 \text{ J}$
Internal energy distribution $u(E) = E \frac{D(E)}{\exp\left(\frac{E-\mu}{k_B T}\right) + 1}$	$u(E) = \frac{n}{2} \sqrt{\frac{E}{E_F}} \frac{1}{\exp\left(\frac{E-\mu}{k_B T}\right) + 1} \text{ m}^{-1}$ $= \frac{1}{\pi\hbar} \sqrt{2mE} \frac{1}{\left(\frac{E-\mu}{k_B T}\right)_+} \text{ m}^{-1}$	$u(E) = \frac{n}{E_F} \frac{E}{\exp\left(\frac{E-\mu}{k_B T}\right) + 1} \text{ m}^{-2}$ $= \frac{m}{\pi\hbar^2} \frac{E}{\left(\frac{E-\mu}{k_B T}\right)_+} \text{ m}^{-2}$	$u(E) = \frac{3n}{2} \left( \frac{E}{E_F} \right)^{3/2} \frac{1}{\exp\left(\frac{E-\mu}{k_B T}\right) + 1} \text{ m}^{-3}$ $= \frac{1}{2\pi^2 \hbar^3} (2mE)^{3/2} \text{ m}^{-3}$

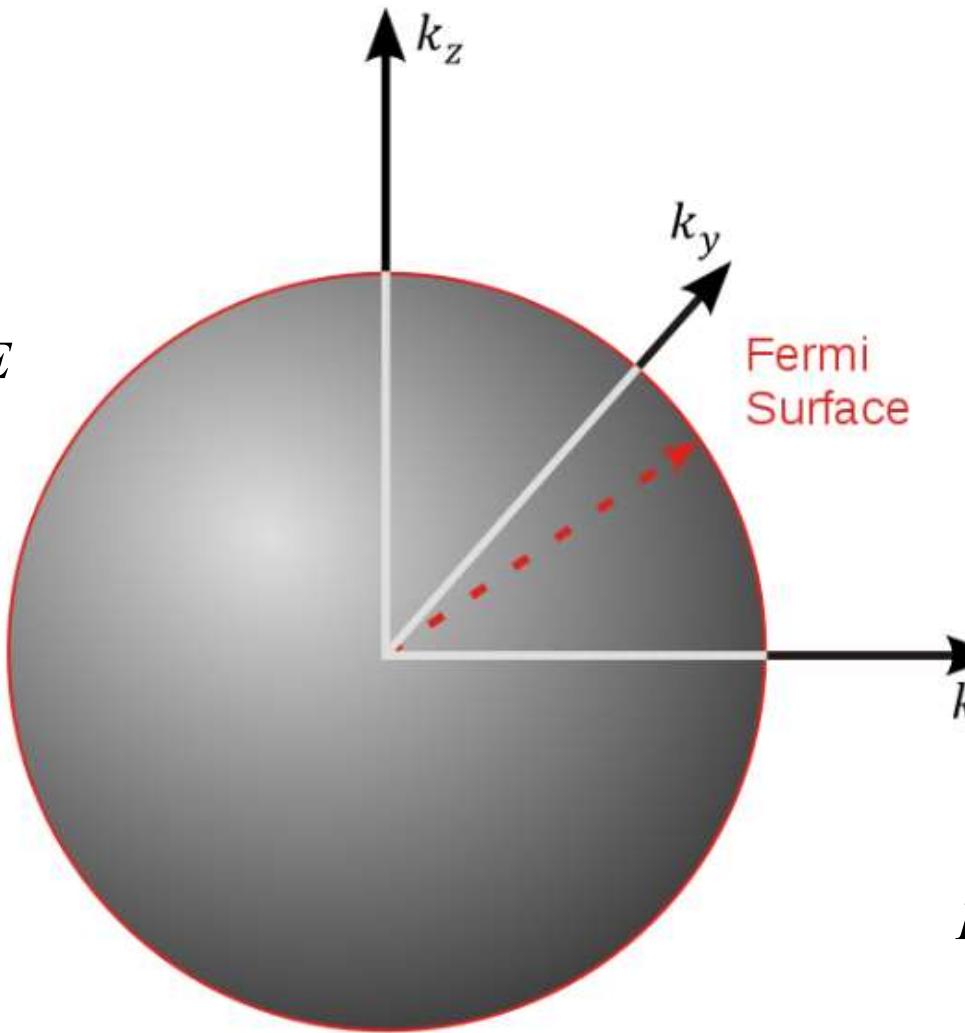
# Fermi surface for free electrons

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$$n = \int_{-\infty}^{E_F} D(E) dE$$

$$n = \frac{(2m)^{\frac{3}{2}}}{2\pi^2 \hbar^3} \int_{-\infty}^{E_F} \sqrt{E} dE$$

$$E_F = \frac{\hbar^2}{2m} (3\pi^2 n)^{\frac{2}{3}}$$



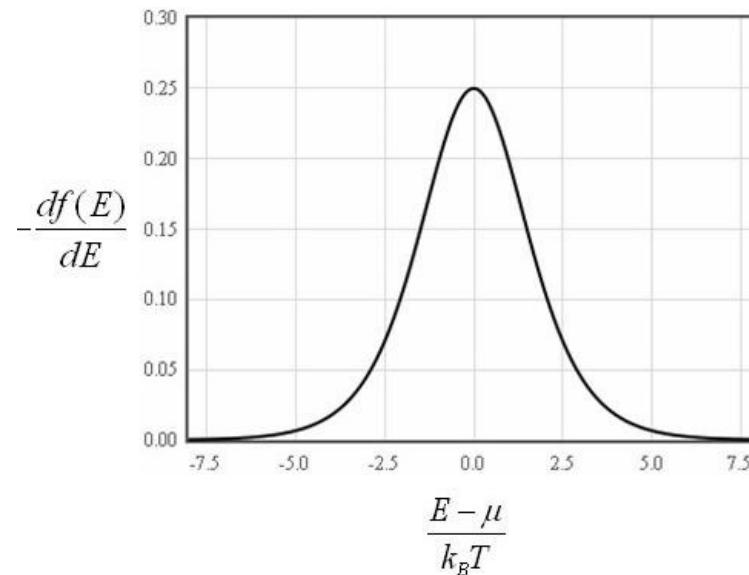
$$E_F = \frac{\hbar^2 k_F^2}{2m}$$

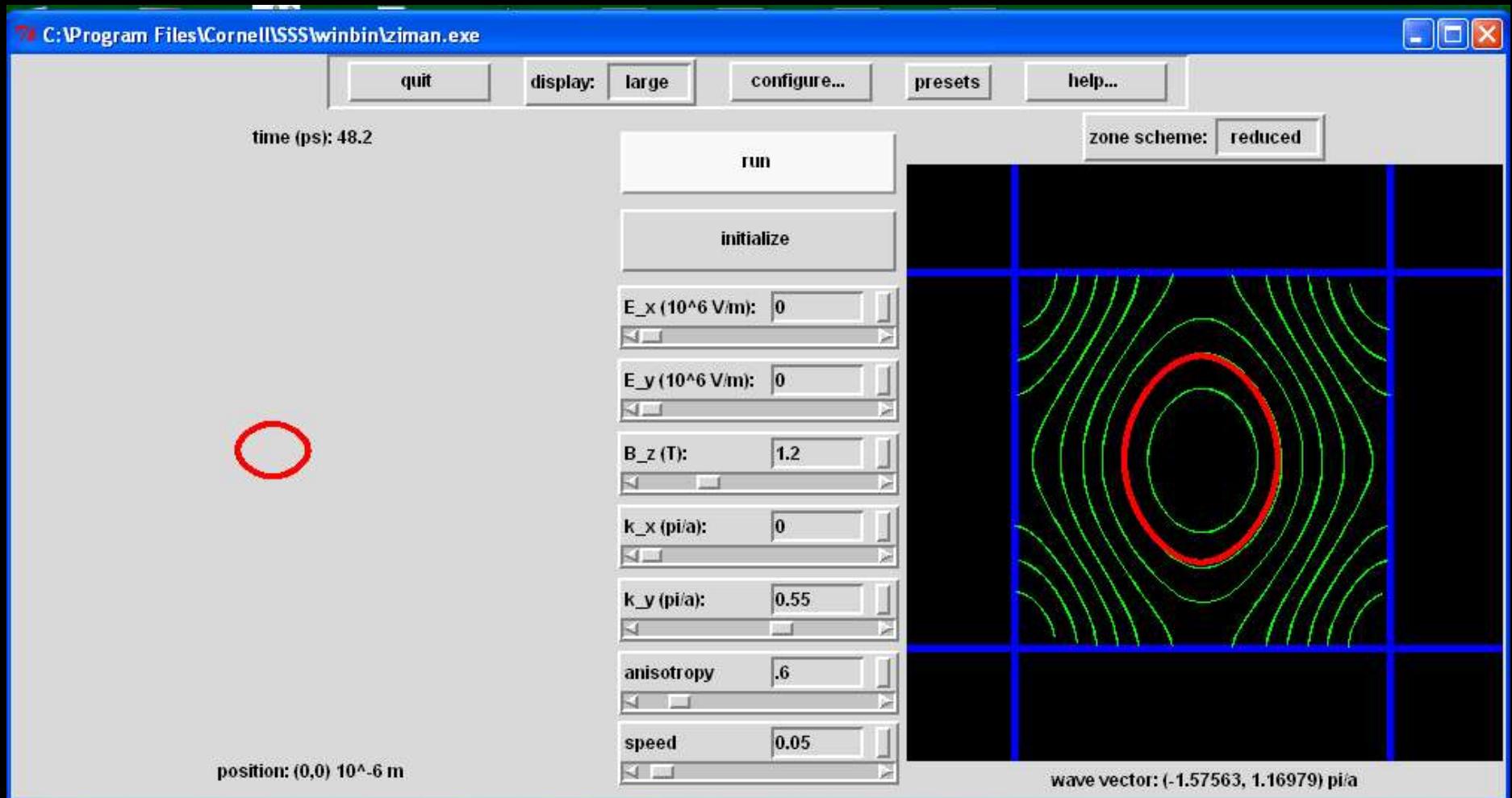
# Properties of metals depend mostly on the electron states at the Fermi surface

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$$n = \int_{-\infty}^{\infty} D(E) f(E) dE = \int_{-\infty}^{\infty} \frac{D(E) dE}{\exp\left(\frac{E - \mu}{k_B T}\right) + 1}.$$

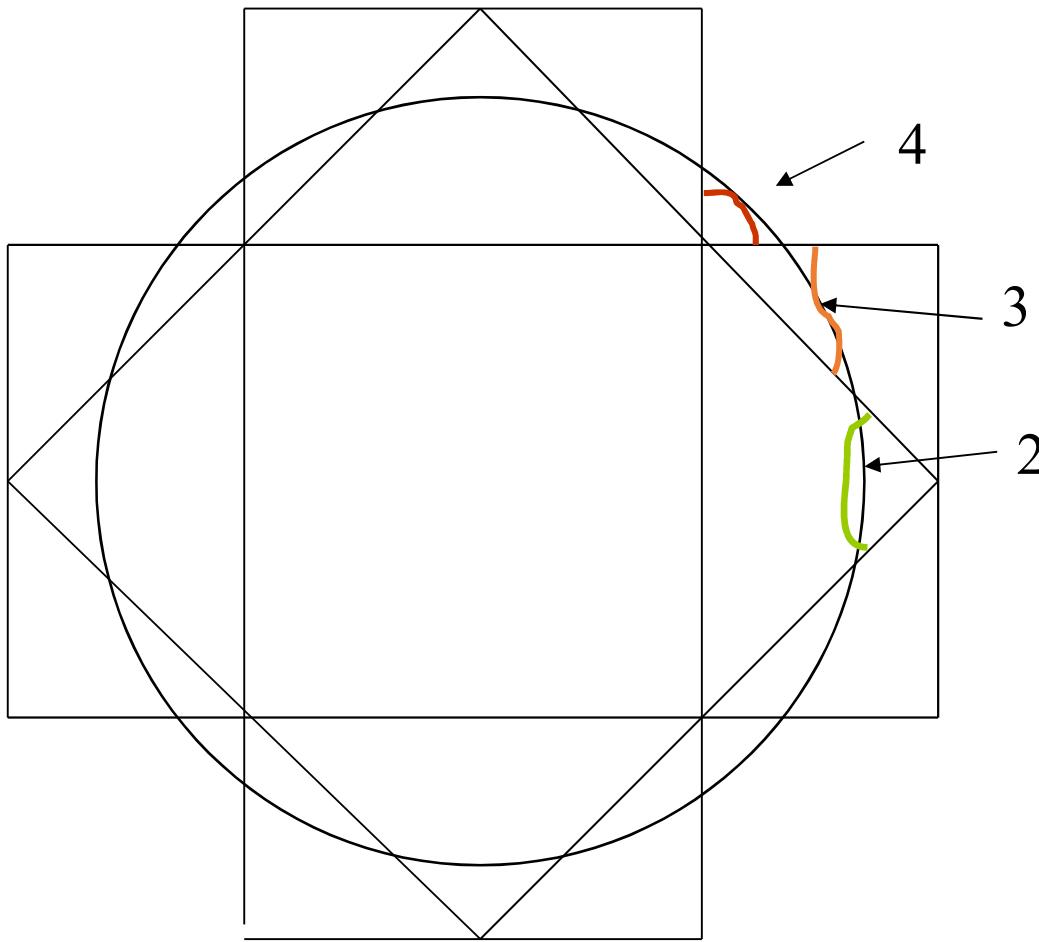
$$n = \int_{-\infty}^{\infty} D(E) f(E) dE = K(\infty) f(\infty) - K(-\infty) f(-\infty) - \int_{-\infty}^{\infty} K(E) \frac{f(E)}{dE} dE.$$





# Constructing Fermi surface

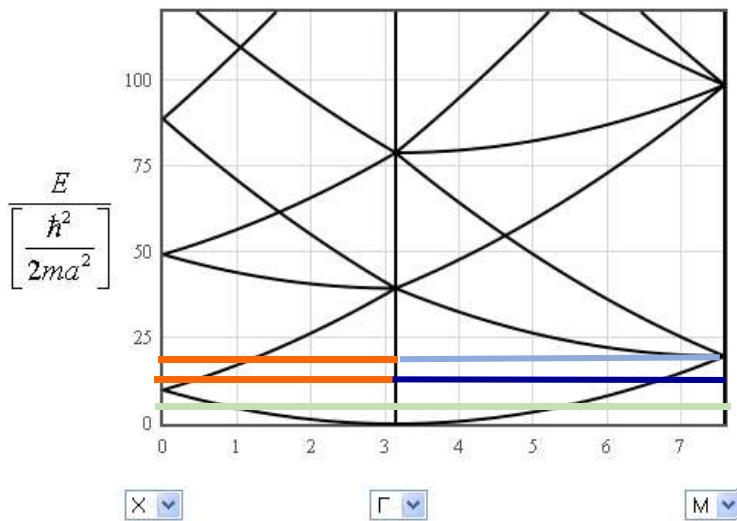
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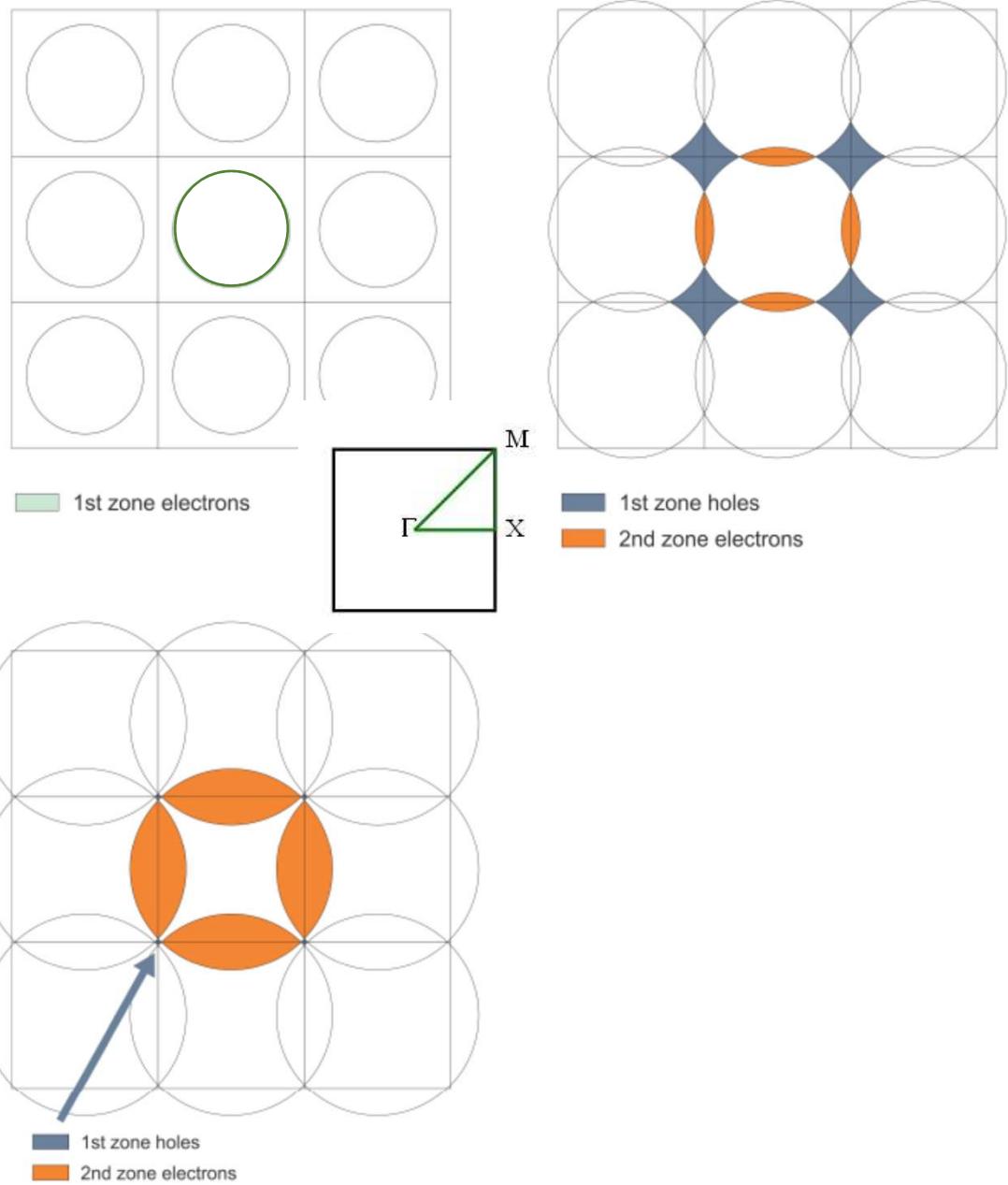
No Fermi surface in the 1st Brillouin zone

# 2d square

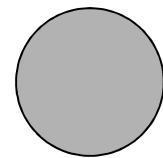
$2N$  electron states in a Brillouin zone



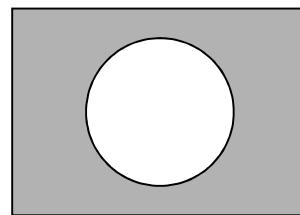
The Fermi surface  
strikes the Brillouin  
zone boundary at  $90^\circ$ .



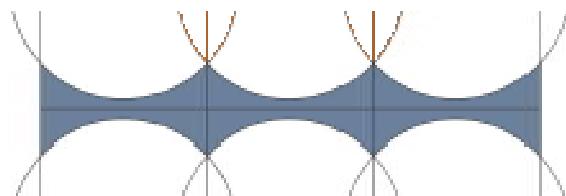
# 2d rectangular



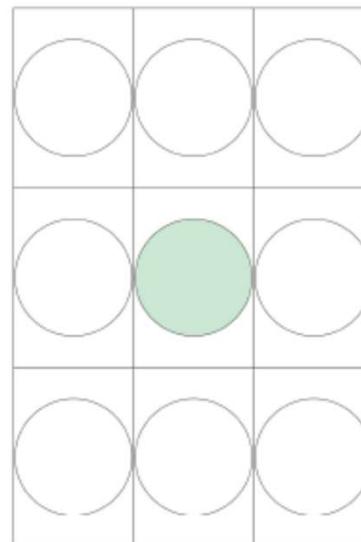
electron orbits  
enclose filled states



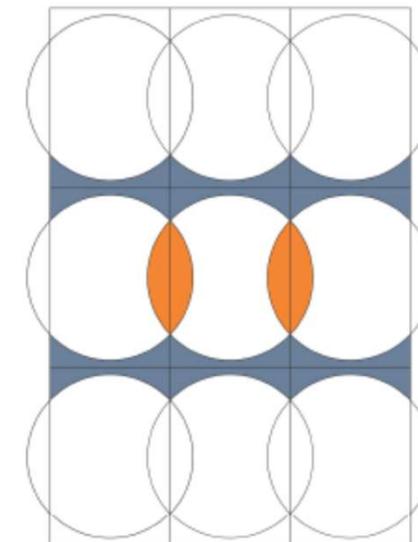
hole orbits  
enclose empty states



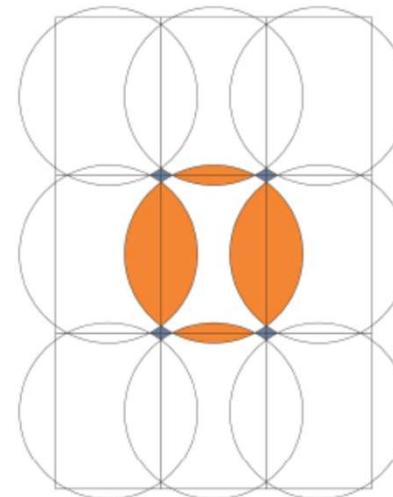
open orbits



■ 1st zone electrons

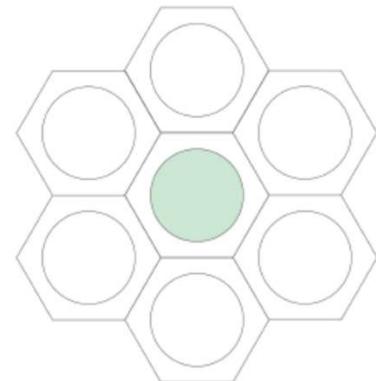


■ 1st zone open orbits  
■ 2nd zone electrons

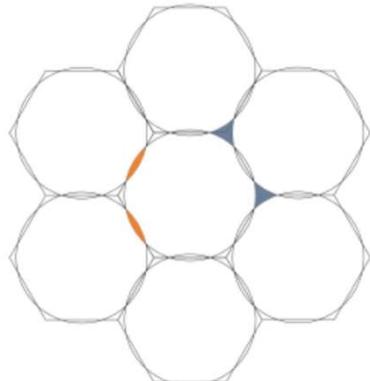


■ 1st zone holes  
■ 2nd zone electrons

# 2d hexagonal

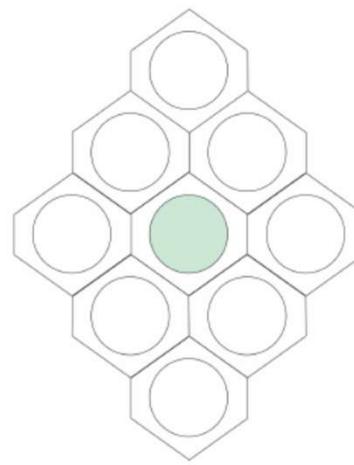


■ 1st zone electrons

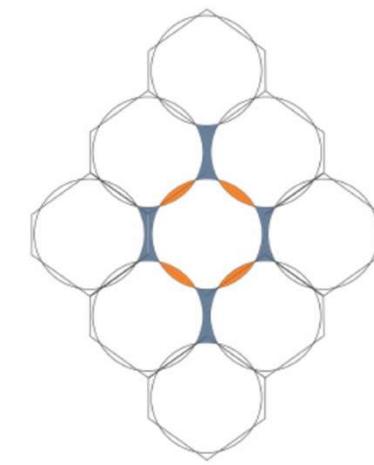


■ 1st zone holes  
■ 2nd zone electrons

# 2d centered rectangular



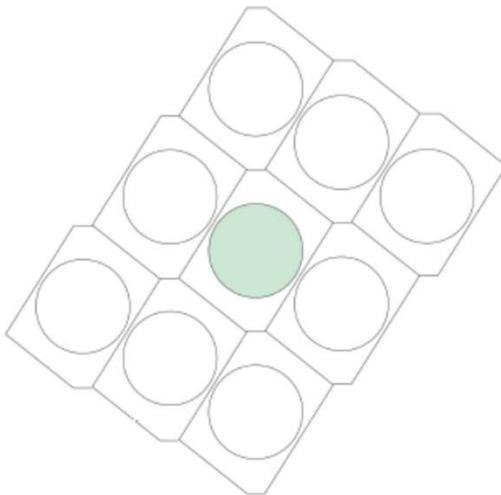
■ 1st zone electrons



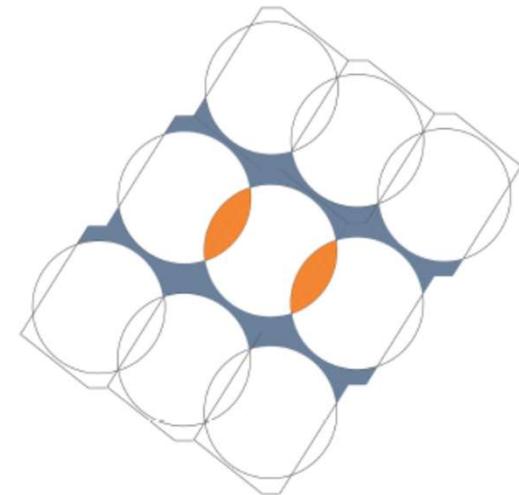
■ 1st zone holes  
■ 2nd zone electrons

# 2d oblique

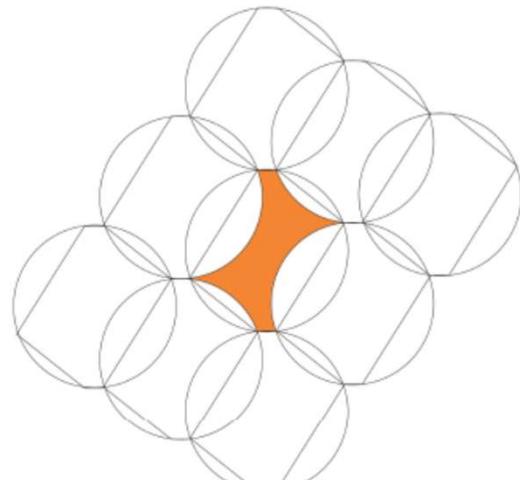
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■ 1st zone electrons



■ 1st zone open orbits  
■ 2nd zone electrons



■ 2nd zone holes

# Student project

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Replot the Fermi surfaces in 2-D plotting just the surface.

It would be best if we use a program to draw the Fermi surfaces dynamically.

# Fermi surface for fcc in the empty lattice approximation

