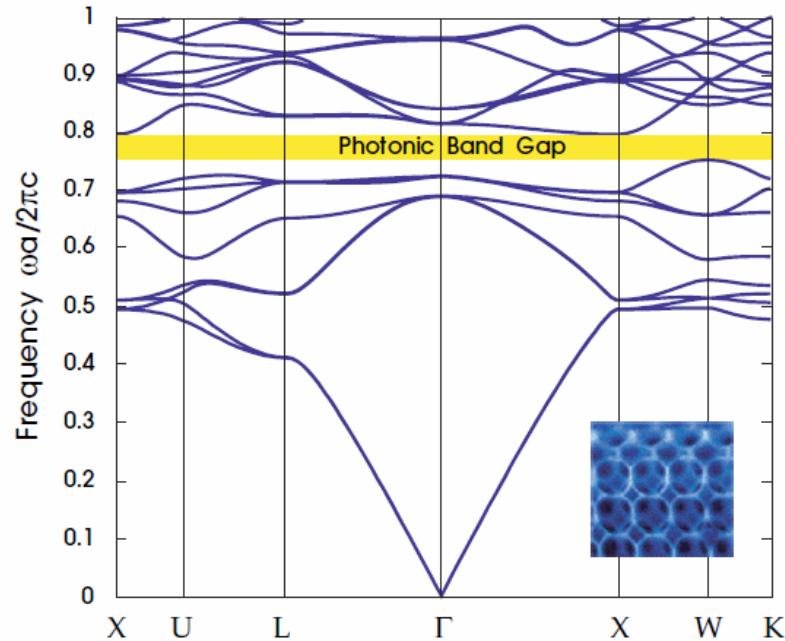
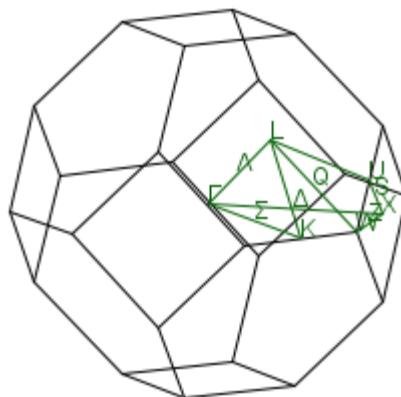
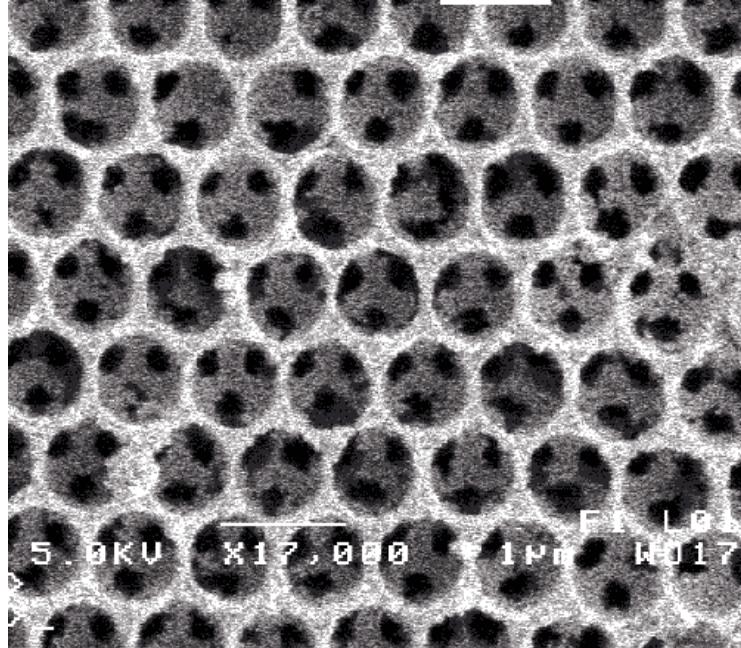


# 14. Photons

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May 8, 2018

# Inverse opal photonic crystal

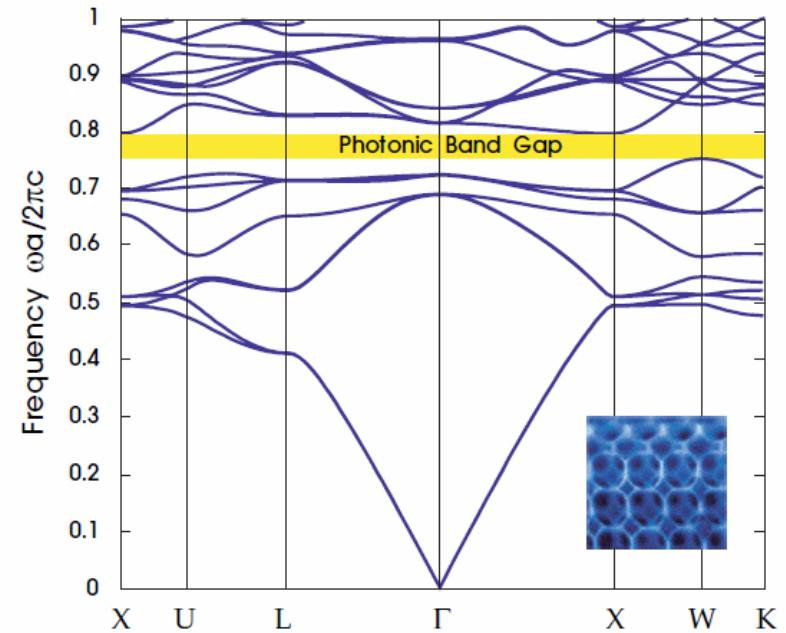
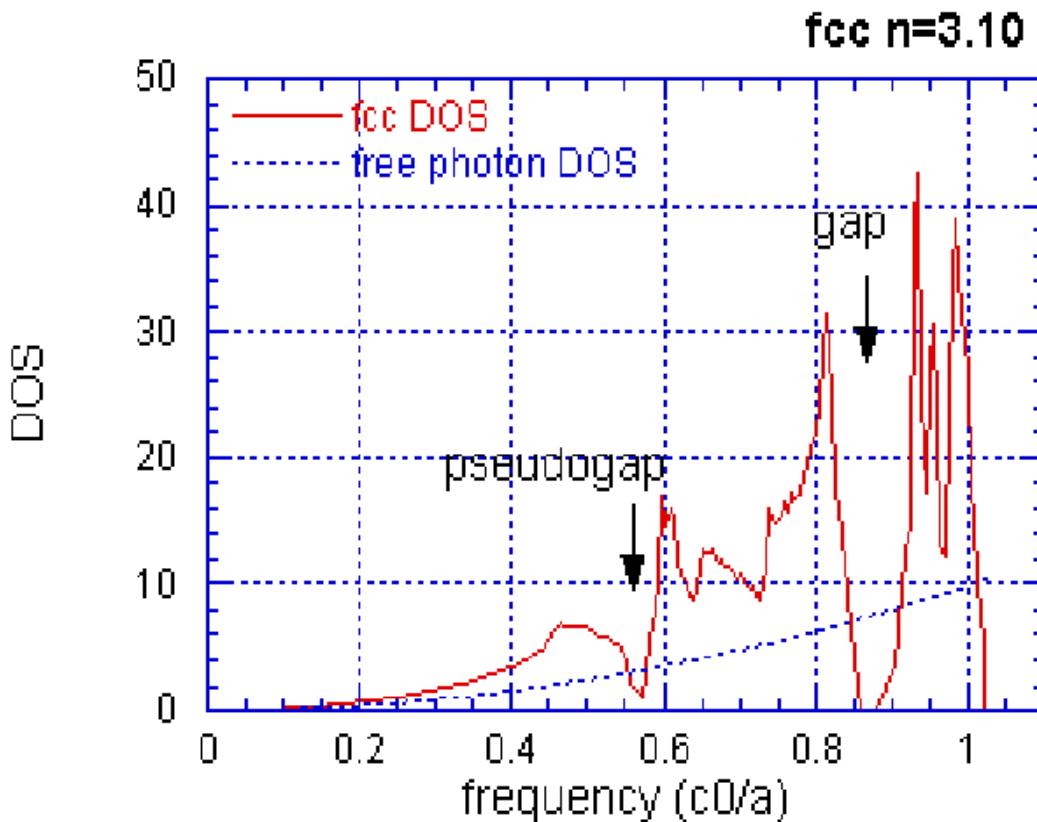


**Figure 8:** The photonic band structure for the lowest bands of an “inverse opal” structure: a face-centered cubic (fcc) lattice of close-packed air spheres in dielectric ( $\epsilon = 13$ ). (Inset shows fabricated structure from figure 9.) There is a complete photonic band gap (yellow) between the eighth and ninth bands. The wave vector varies across the irreducible Brillouin zone between the labelled high-symmetry points; see appendix B for a discussion of the Brillouin zone of an fcc lattice.

<http://ab-initio.mit.edu/book>

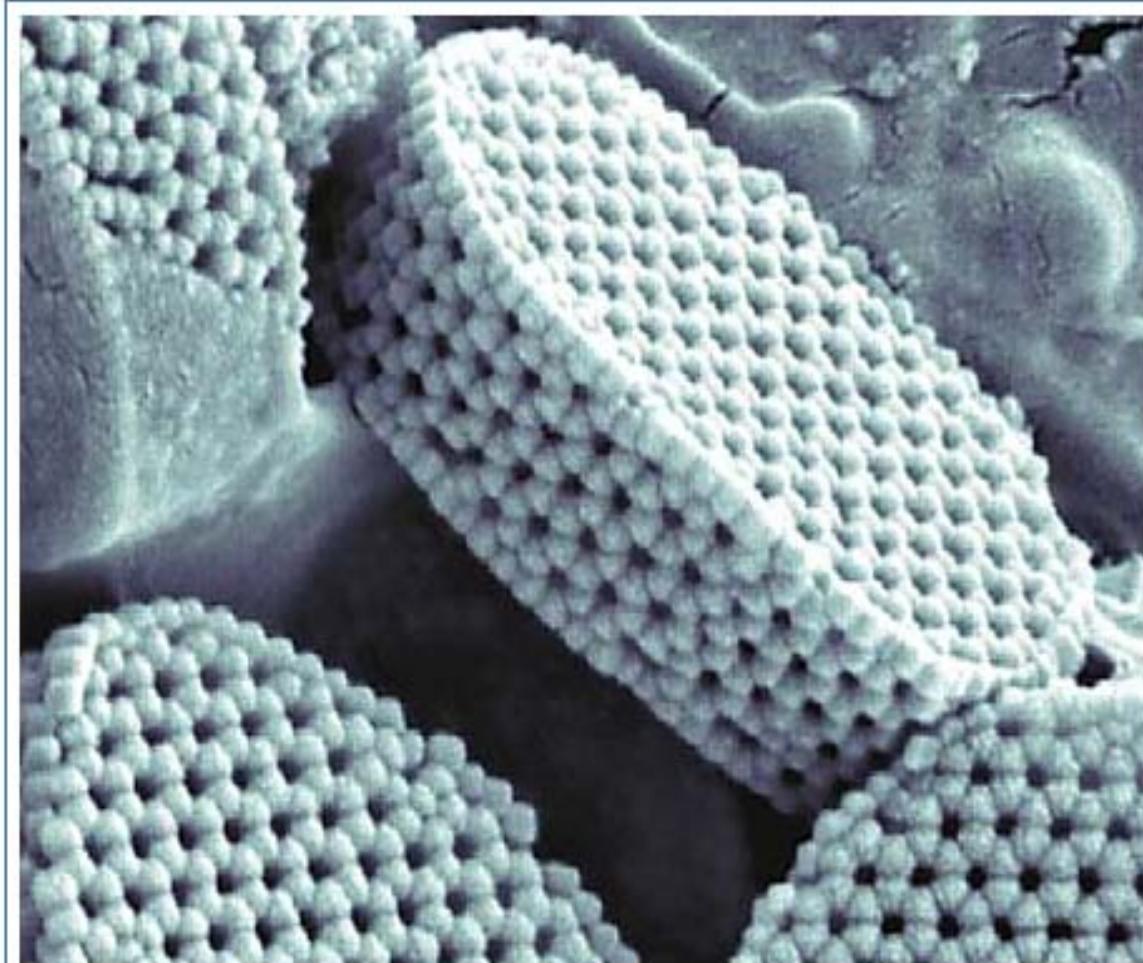
# Photon density of states

Diffraction causes gaps in the density of modes for  $k$  vectors near the planes in reciprocal space where diffraction occurs.



photon density of states for voids in an fcc lattice

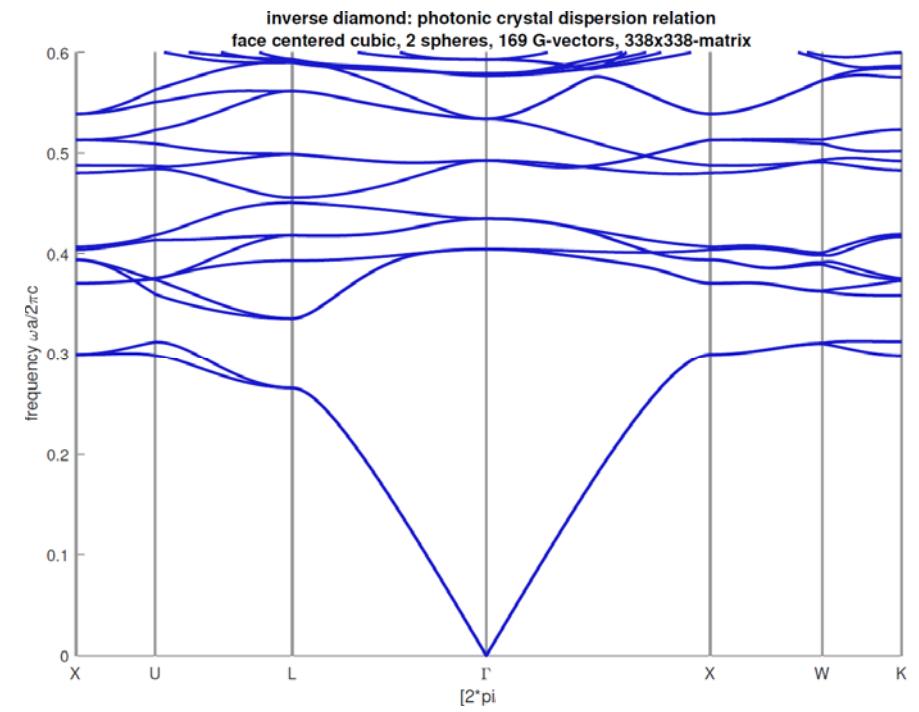
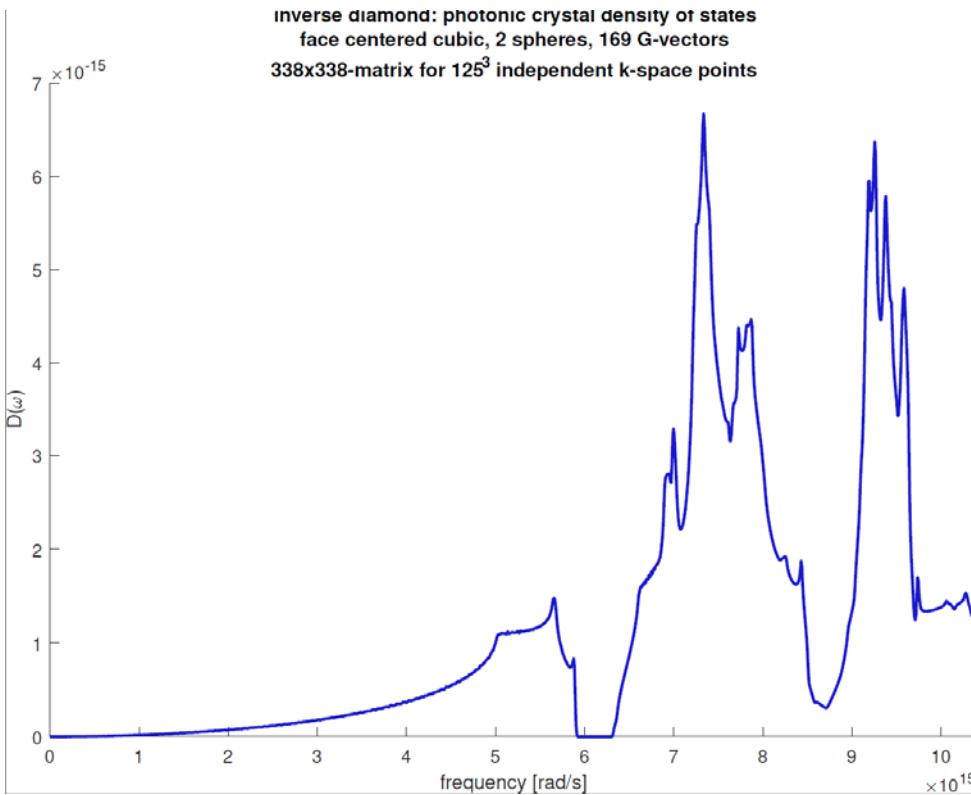
[http://www.public.iastate.edu/~cmpexp/groups/PBG/pres\\_mit\\_short/sld002.htm](http://www.public.iastate.edu/~cmpexp/groups/PBG/pres_mit_short/sld002.htm)



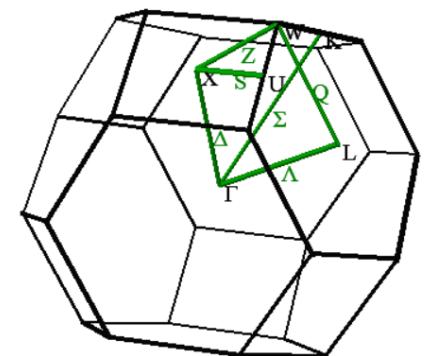
The alga *Calyptrolithophora papillifera* is encased in a shell of calcite crystals with a two-layer structure (visible on oblique face). Calculations show that this protective covering reflects ultraviolet light. Image

Credit: J. Young/Natural History Museum, London

# Inverse diamond



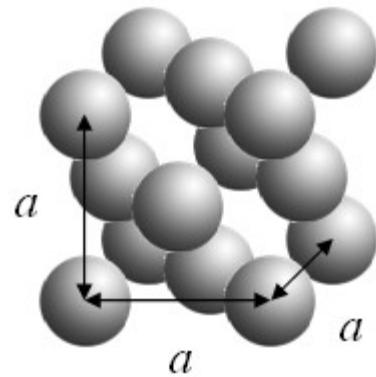
Solved by a student with the plane wave method



# Spheres on any 3-D Bravais lattice

---

$$c(\vec{r})^2 \nabla^2 A_j = \frac{d^2 A_j}{dt^2}$$



$$c(\vec{r})^2 = \sum_{\vec{G}} b_{\vec{G}} e^{i\vec{G}\cdot\vec{r}} = c_1^2 + \frac{4\pi(c_2^2 - c_1^2)}{V} \sum_{\vec{G}} \frac{\sin(|G|R) - |G|R \cos(|G|R)}{|G|^3} \exp(i\vec{G}\cdot\vec{r})$$

# Plane wave method

---

$$c(\vec{r})^2 \nabla^2 A_j = \frac{d^2 A_j}{dt^2}$$

$$c(\vec{r})^2 = \sum_{\vec{G}} b_{\vec{G}} e^{i\vec{G}\cdot\vec{r}} \quad A_j = \sum_{\vec{k}} A_{\vec{k}} e^{i(\vec{k}\cdot\vec{r} - \omega t)}$$

$$\sum_{\vec{G}} b_{\vec{G}} e^{i\vec{G}\cdot\vec{r}} \sum_{\vec{\kappa}} (-\kappa^2) A_{\vec{\kappa}} e^{i(\vec{\kappa}\cdot\vec{r} - \omega t)} = -\omega^2 \sum_{\vec{k}} A_{\vec{k}} e^{i(\vec{k}\cdot\vec{r} - \omega t)}$$

$$\sum_{\vec{\kappa}} \sum_{\vec{G}} (-\kappa^2) b_{\vec{G}} A_{\vec{\kappa}} e^{i(\vec{G}\cdot\vec{r} + \vec{\kappa}\cdot\vec{r} - \omega t)} = -\omega^2 \sum_{\vec{k}} A_{\vec{k}} e^{i(\vec{k}\cdot\vec{r} - \omega t)}$$

collect like terms:  $\vec{G} + \vec{\kappa} = \vec{k} \Rightarrow \vec{\kappa} = \vec{k} - \vec{G}$

Central equations:  $\sum_{\vec{G}} (\vec{k} - \vec{G})^2 b_{\vec{G}} A_{\vec{k}-\vec{G}} = \omega^2 A_{\vec{k}}$

# Plane wave method

---

Central equations:

$$\sum_{\vec{G}} \left( \vec{k} - \vec{G} \right)^2 b_{\vec{G}} A_{\vec{k}-\vec{G}} = \omega^2 A_{\vec{k}}$$

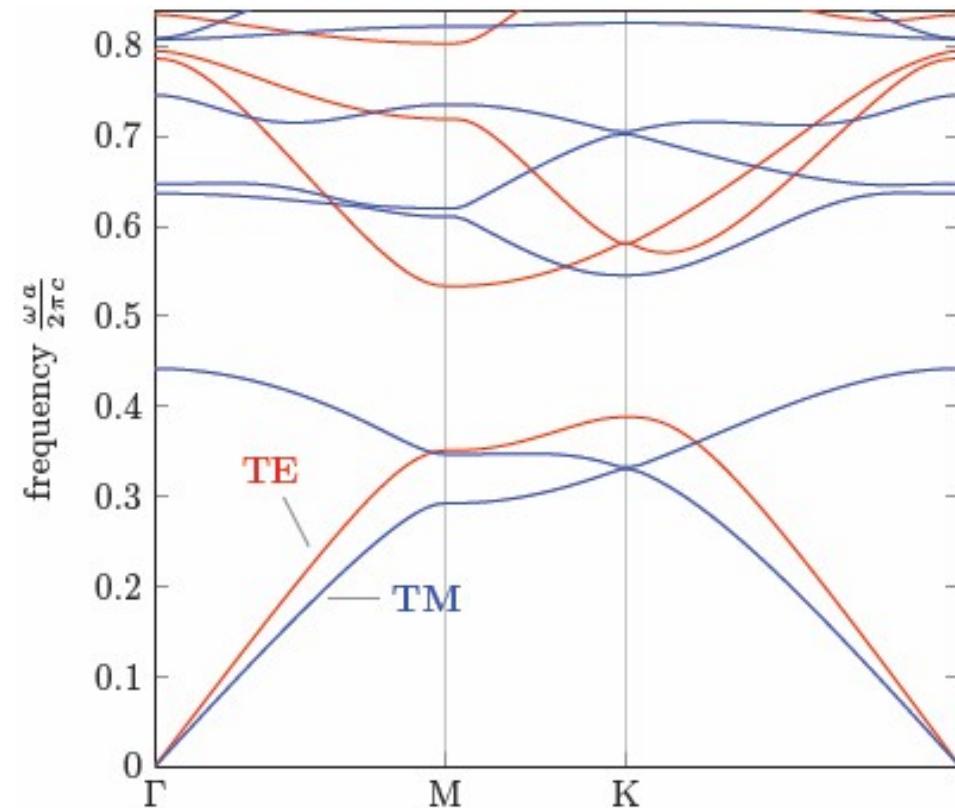
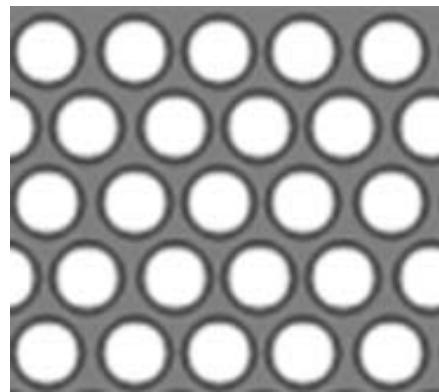
Choose a  $k$  value inside the 1st Brillouin zone. The coefficient  $A_k$  is coupled by the central equations to coefficients  $A_k$  outside the 1st Brillouin zone.  
Write these coupled equations in matrix form.

$$\begin{bmatrix} \left( \vec{k} + \vec{G}_2 \right)^2 b_0 - \omega^2 & \left( \vec{k} + \vec{G}_2 - \vec{G}_1 \right)^2 b_{\vec{G}_1} & k^2 b_{\vec{G}_2} & \left( \vec{k} + \vec{G}_2 - \vec{G}_3 \right)^2 b_{\vec{G}_3} & \left( \vec{k} + \vec{G}_2 - \vec{G}_4 \right)^2 b_{\vec{G}_4} \\ \left( \vec{k} + 2\vec{G}_1 \right)^2 b_{-\vec{G}_1} & \left( \vec{k} + \vec{G}_1 \right)^2 b_0 - \omega^2 & k^2 b_{\vec{G}_1} & \left( \vec{k} + \vec{G}_1 - \vec{G}_2 \right)^2 b_{\vec{G}_2} & \left( \vec{k} + \vec{G}_1 - \vec{G}_3 \right)^2 b_{\vec{G}_3} \\ \left( \vec{k} + \vec{G}_2 \right)^2 b_{-\vec{G}_2} & \left( \vec{k} + \vec{G}_1 \right)^2 b_{-\vec{G}_1} & k^2 b_0 - \omega^2 & \left( \vec{k} - \vec{G}_1 \right)^2 b_{\vec{G}_1} & \left( \vec{k} - \vec{G}_2 \right)^2 b_{\vec{G}_2} \\ \left( \vec{k} - \vec{G}_1 + \vec{G}_3 \right)^2 b_{-\vec{G}_3} & \left( \vec{k} - \vec{G}_1 + \vec{G}_2 \right)^2 b_{-\vec{G}_2} & k^2 b_{-\vec{G}_1} & \left( \vec{k} - \vec{G}_1 \right)^2 b_0 - \omega^2 & \left( \vec{k} - 2\vec{G}_1 \right)^2 b_{\vec{G}_1} \\ \left( \vec{k} - \vec{G}_2 + \vec{G}_4 \right)^2 b_{-\vec{G}_4} & \left( \vec{k} - \vec{G}_2 + \vec{G}_3 \right)^2 b_{-\vec{G}_3} & k^2 b_{-\vec{G}_2} & \left( \vec{k} - \vec{G}_2 + \vec{G}_1 \right)^2 b_{-\vec{G}_1} & \left( \vec{k} - \vec{G}_2 \right)^2 b_0 - \omega^2 \end{bmatrix} \begin{bmatrix} A_{k+G_2} \\ A_{k+G_1} \\ A_k \\ A_{k-G_1} \\ A_{k-G_2} \end{bmatrix} = 0$$

There is a matrix like this for every  $k$  value in the 1st Brillouin zone.

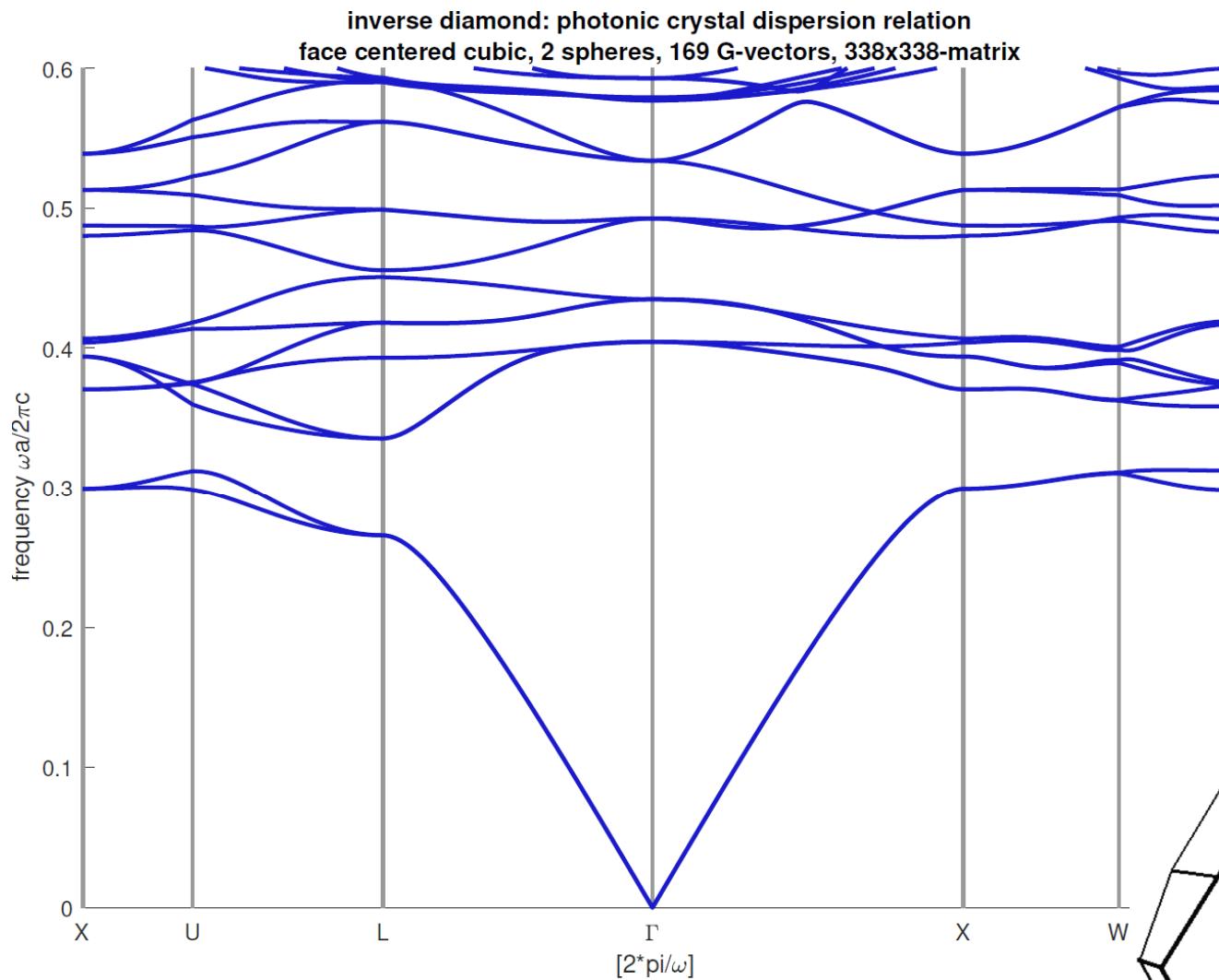
# 2-D array of air holes

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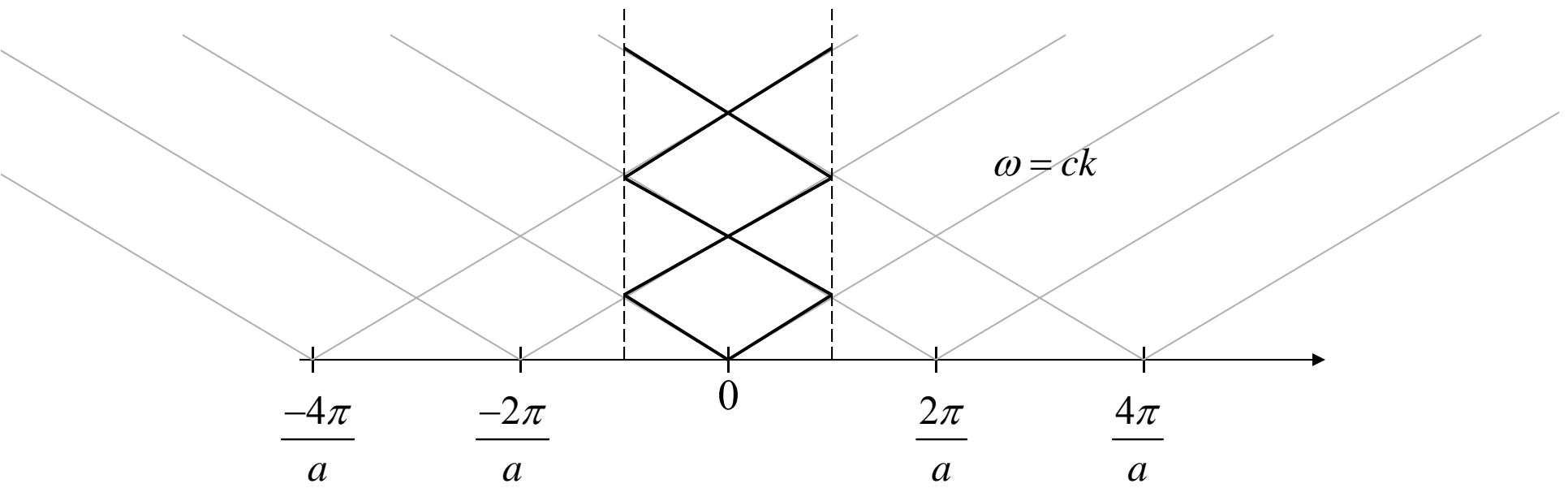
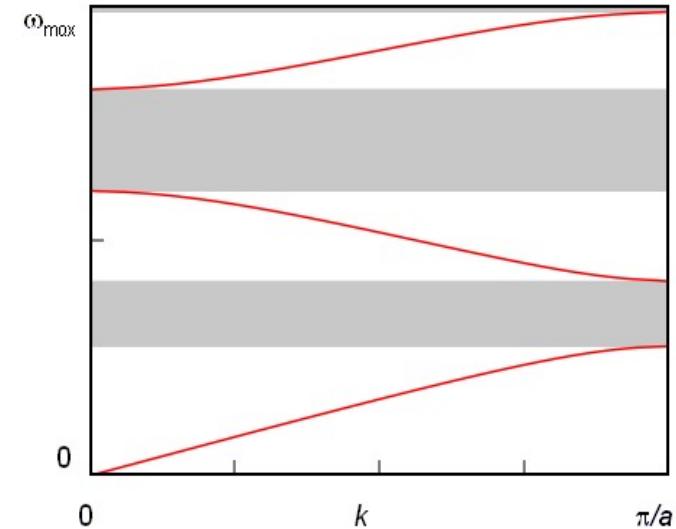
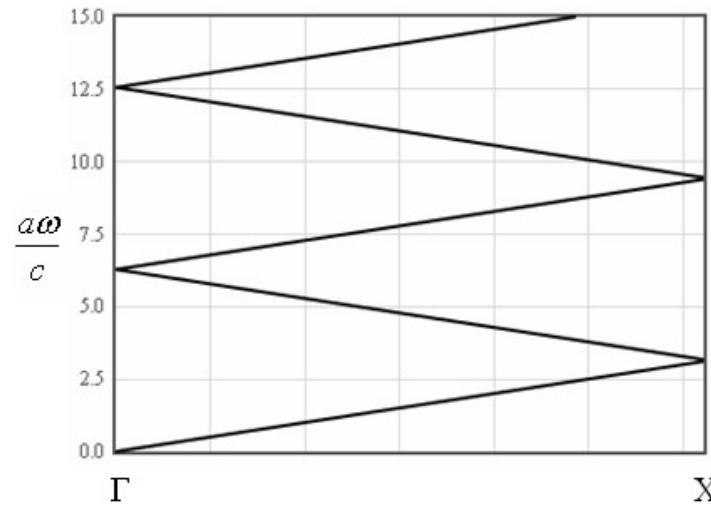
Solved by a student with the plane wave method

# Inverse diamond



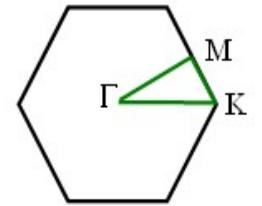
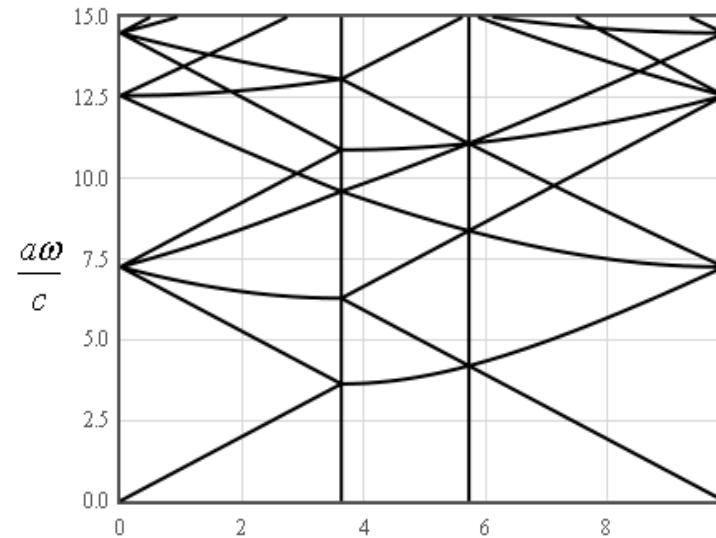
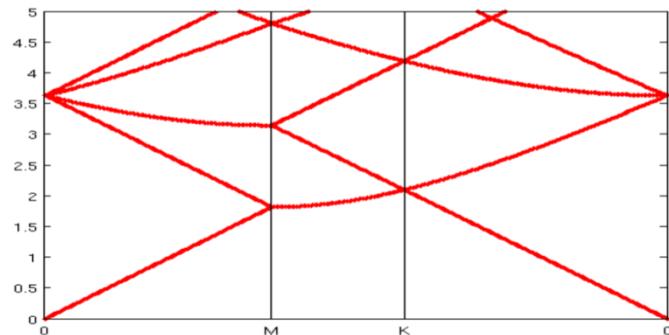
Solved by a student with the plane wave method

# Empty lattice approximation



# Empty lattice approximation

Plane wave method

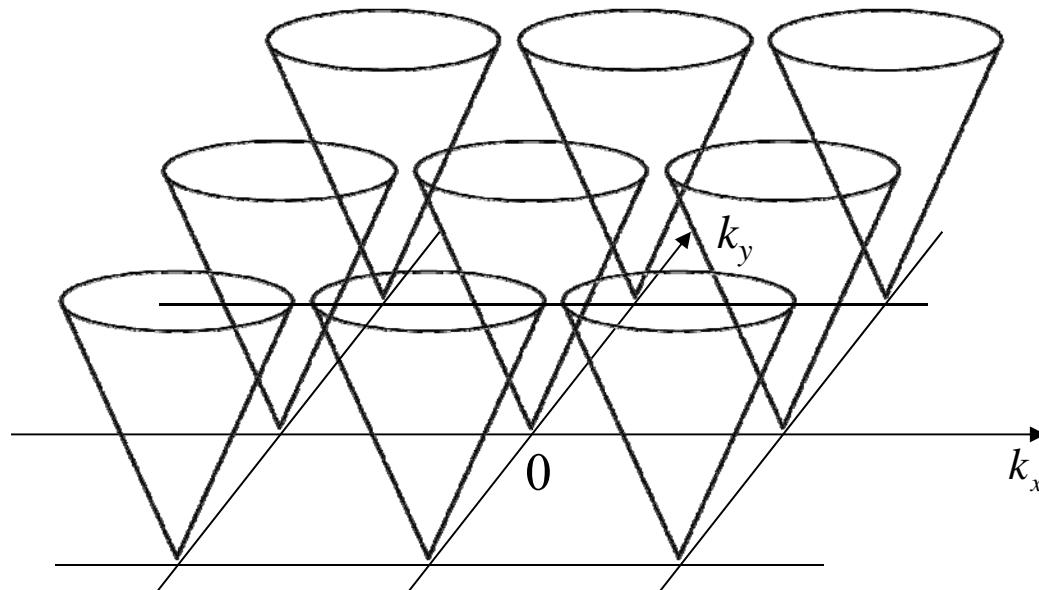


$\Gamma$

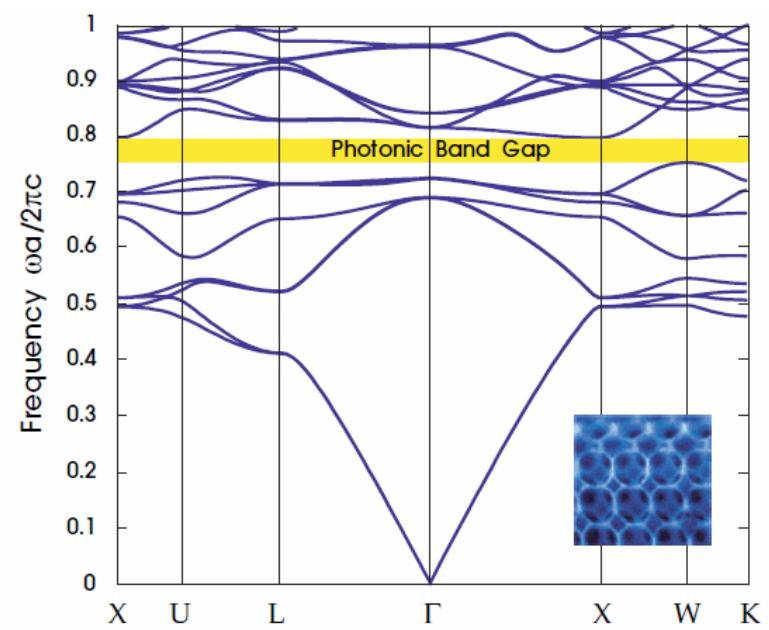
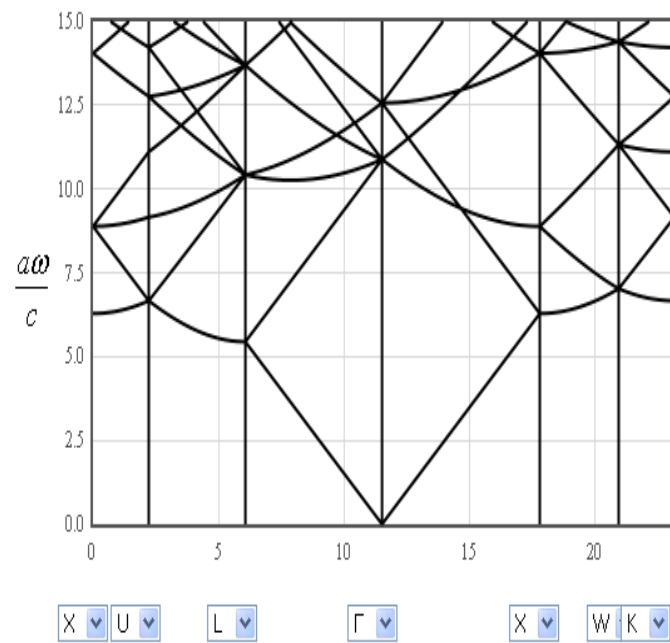
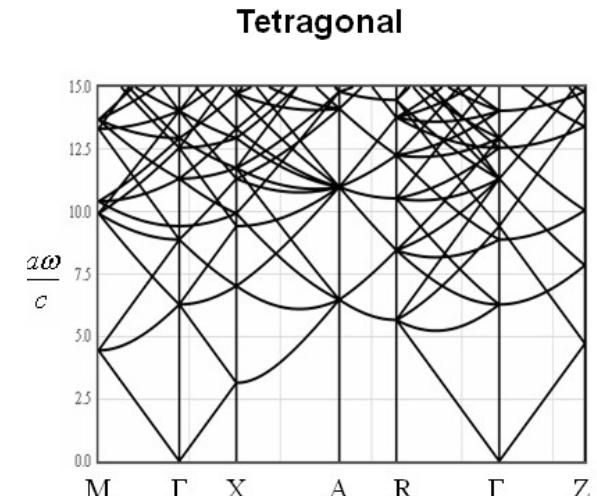
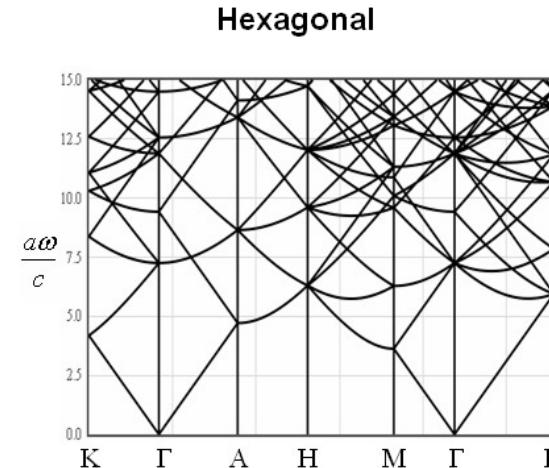
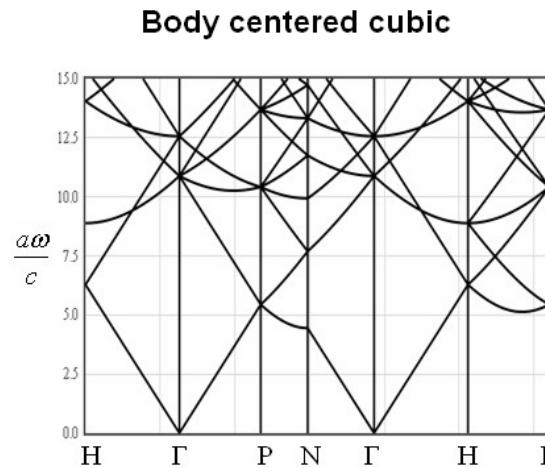
$M$

$K$

$\Gamma$



# Empty lattice approximation

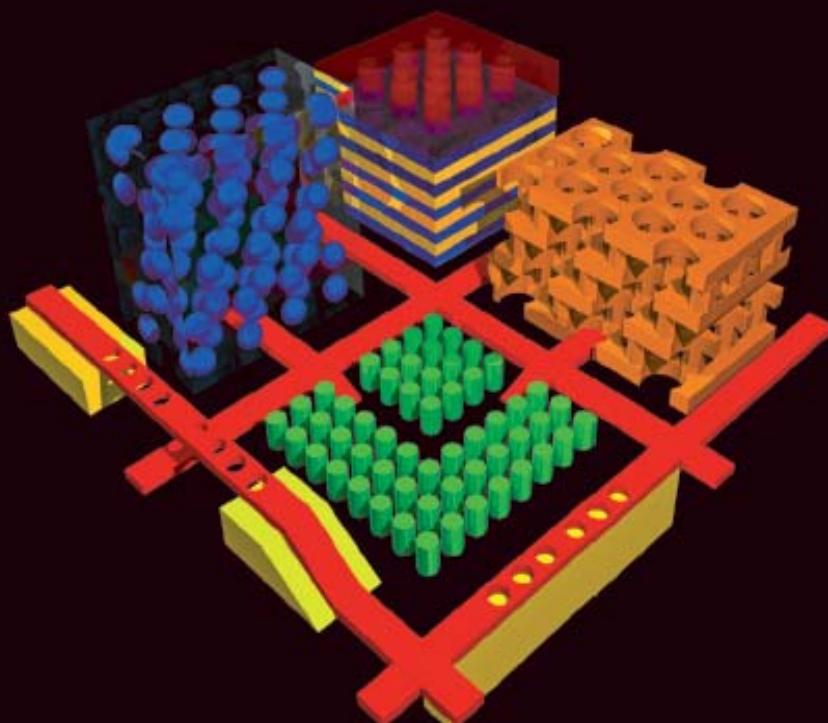


<http://ab-initio.mit.edu/book/>

# Photonic Crystals

Molding the Flow of Light

*SECOND EDITION*



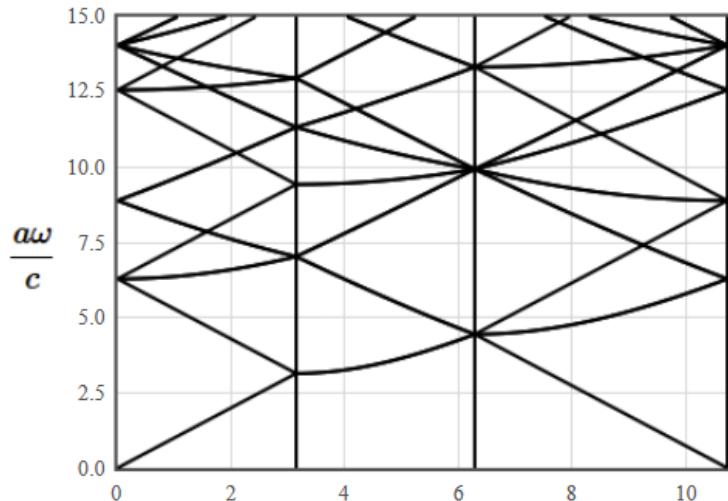
John D. Joannopoulos

Steven G. Johnson

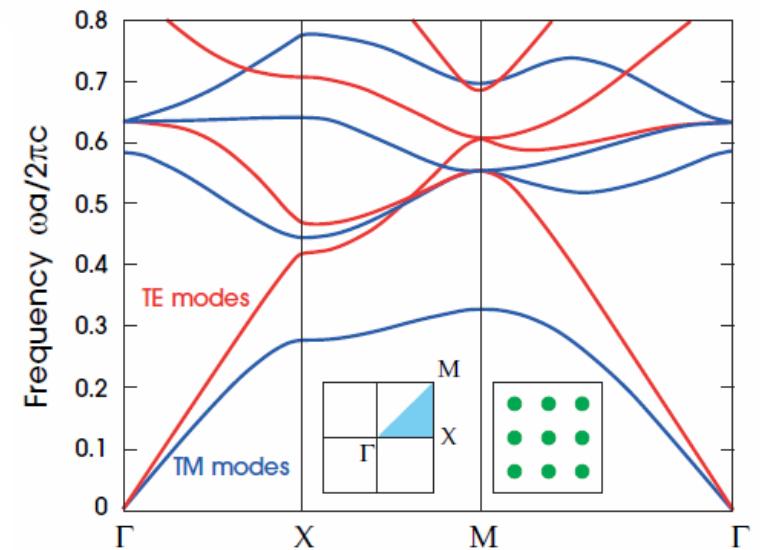
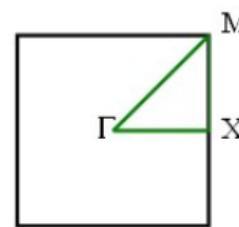
Joshua N. Winn

Robert D. Meade

# Empty lattice approximation

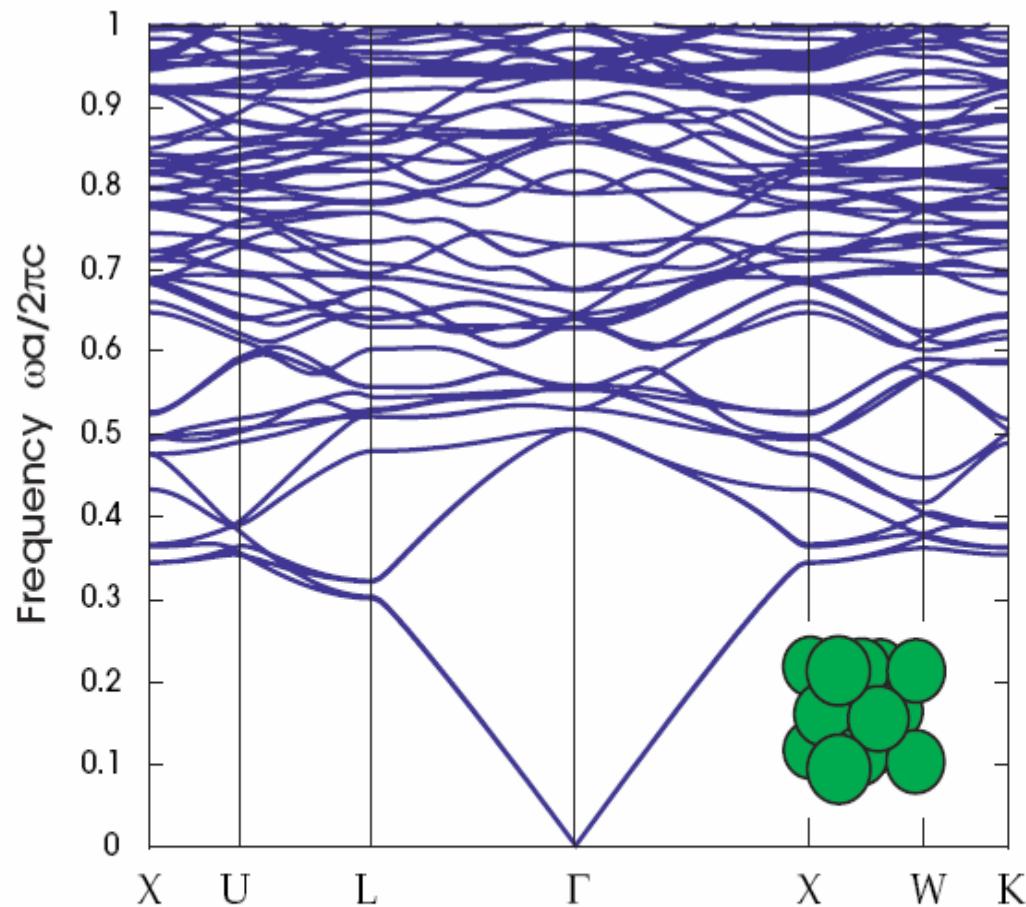
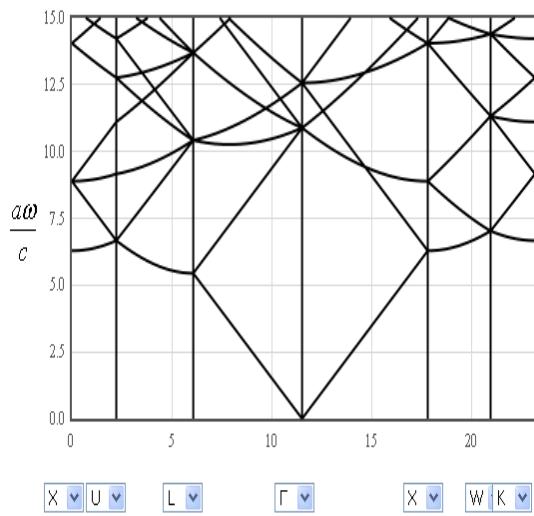


$\Gamma$  ▾    X ▾    M ▾     $\Gamma$  ▾



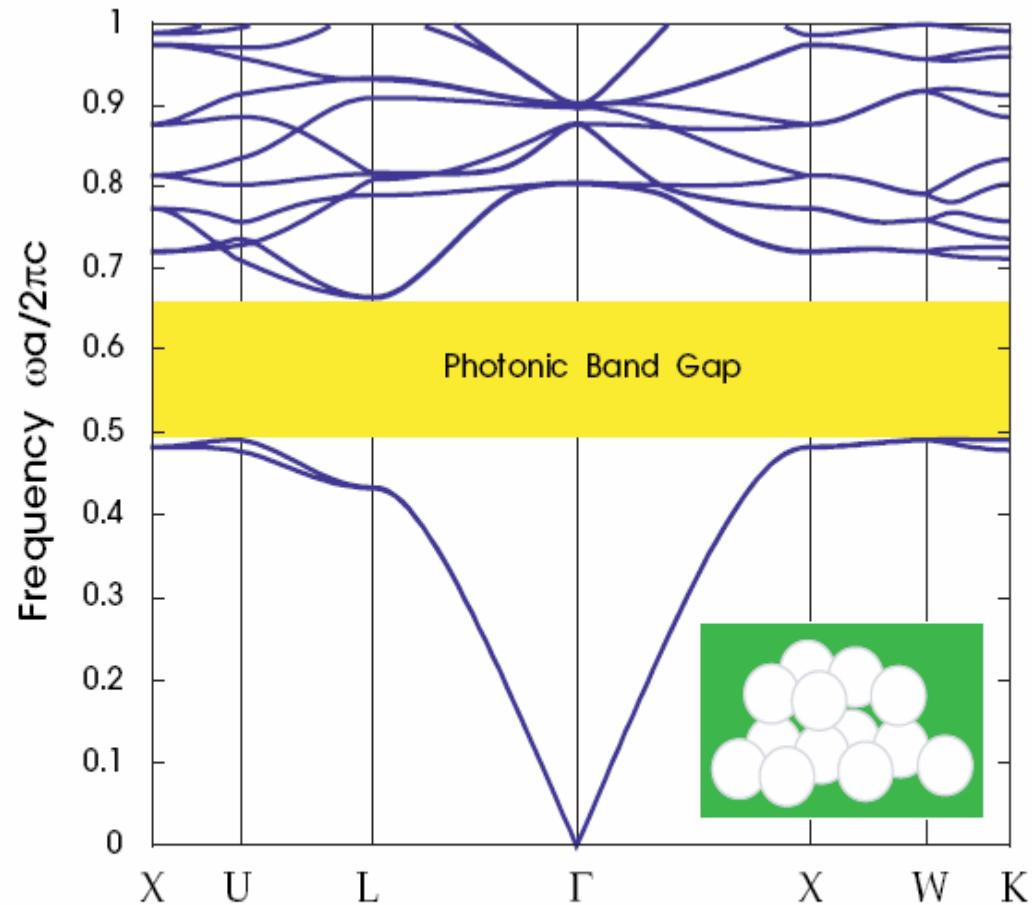
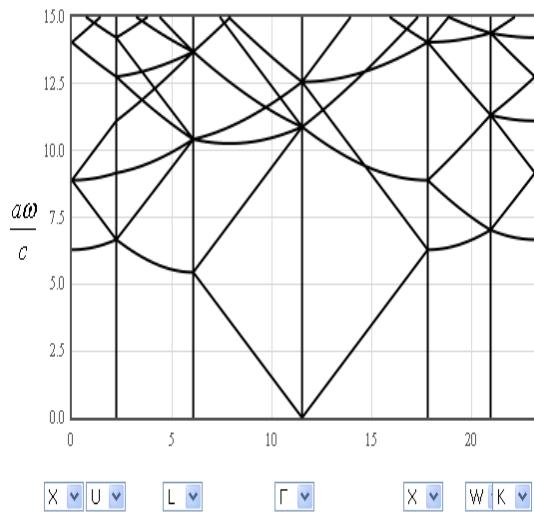
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fcc



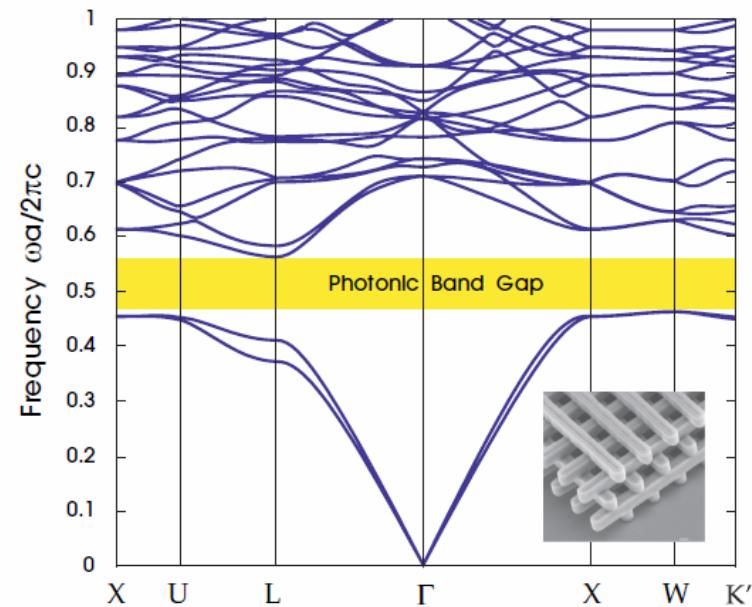
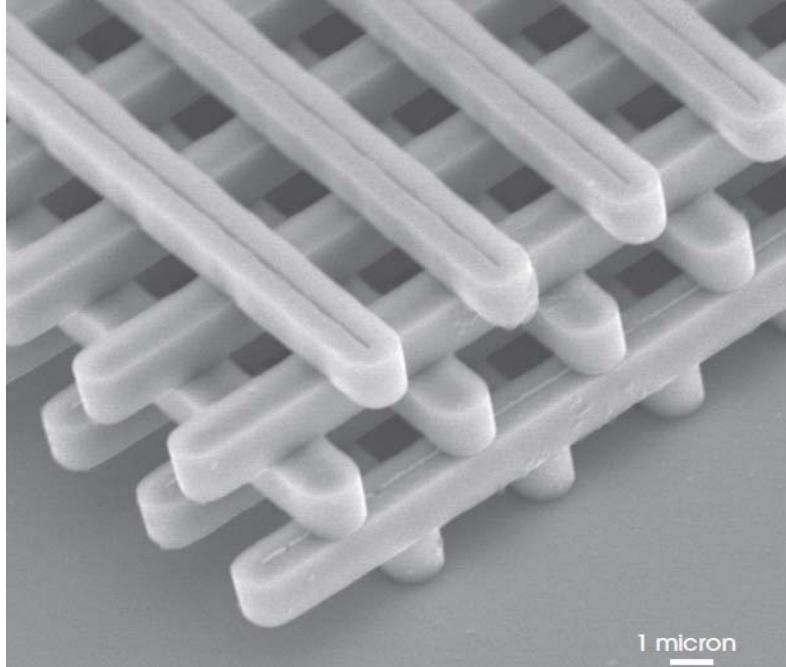
**Figure 2:** The photonic band structure for the lowest-frequency electromagnetic modes of a face-centered cubic (fcc) lattice of close-packed dielectric spheres ( $\epsilon = 13$ ) in air (inset). Note the absence of a complete photonic band gap. The wave vector varies across the irreducible Brillouin zone between the labelled high-symmetry points; see appendix B for a discussion of the Brillouin zone of an fcc lattice.

# diamond

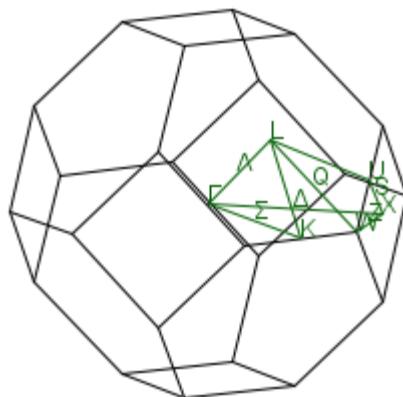


**Figure 3:** The photonic band structure for the lowest bands of a diamond lattice of air spheres in a high dielectric ( $\epsilon=13$ ) material (inset). A complete photonic band gap is shown in yellow. The wave vector varies across the irreducible Brillouin zone between the labelled high-symmetry points; see appendix B for a discussion of the Brillouin zone of an fcc lattice.

# Woodpile photonic crystal

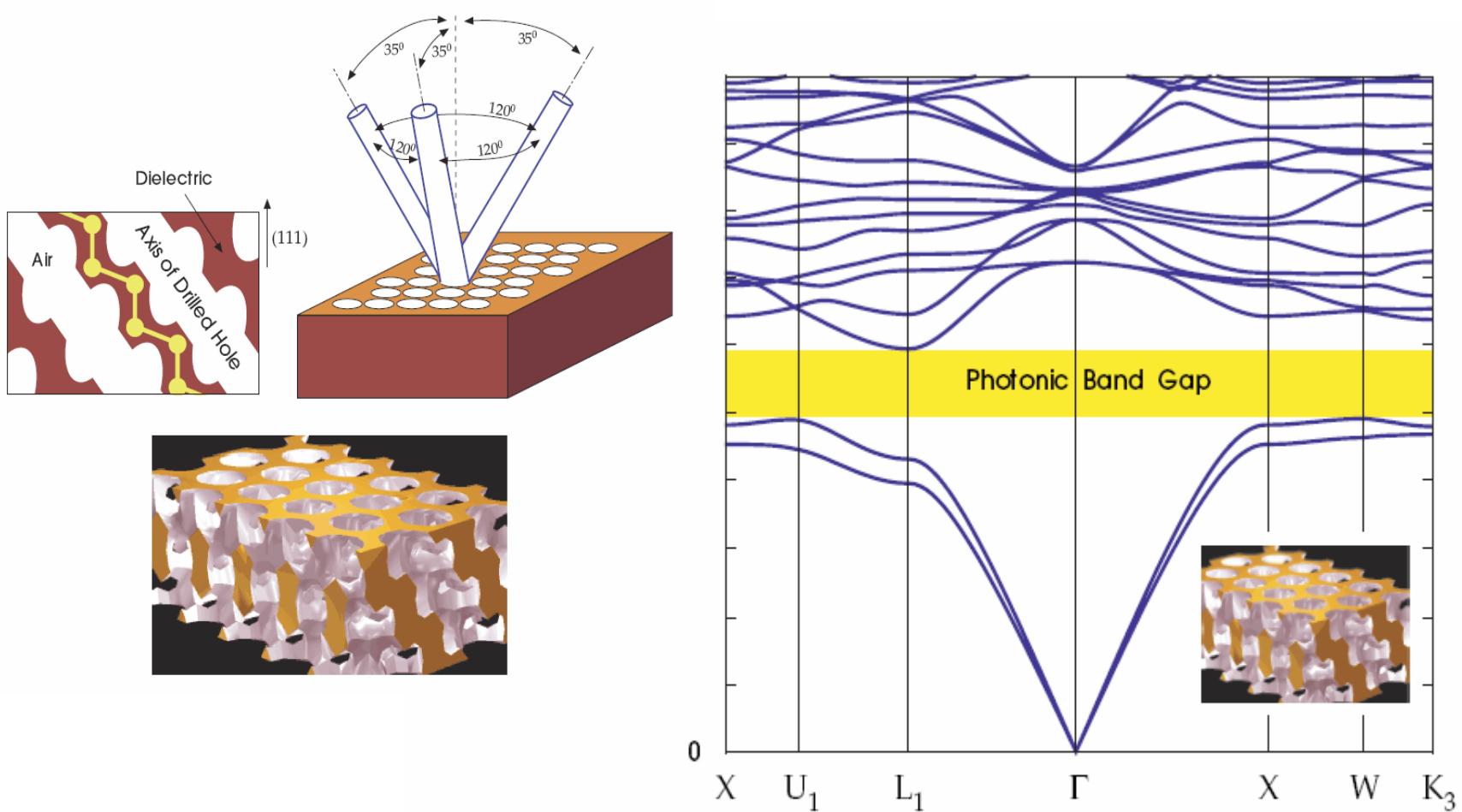


**Figure 7:** The photonic band structure for the lowest bands of the woodpile structure (inset, from figure 6) with  $\epsilon = 13$  logs in air. The irreducible Brillouin zone is larger than that of the fcc lattice described in appendix B, because of reduced symmetry—only a portion is shown, including the edges of the complete photonic band gap (yellow).



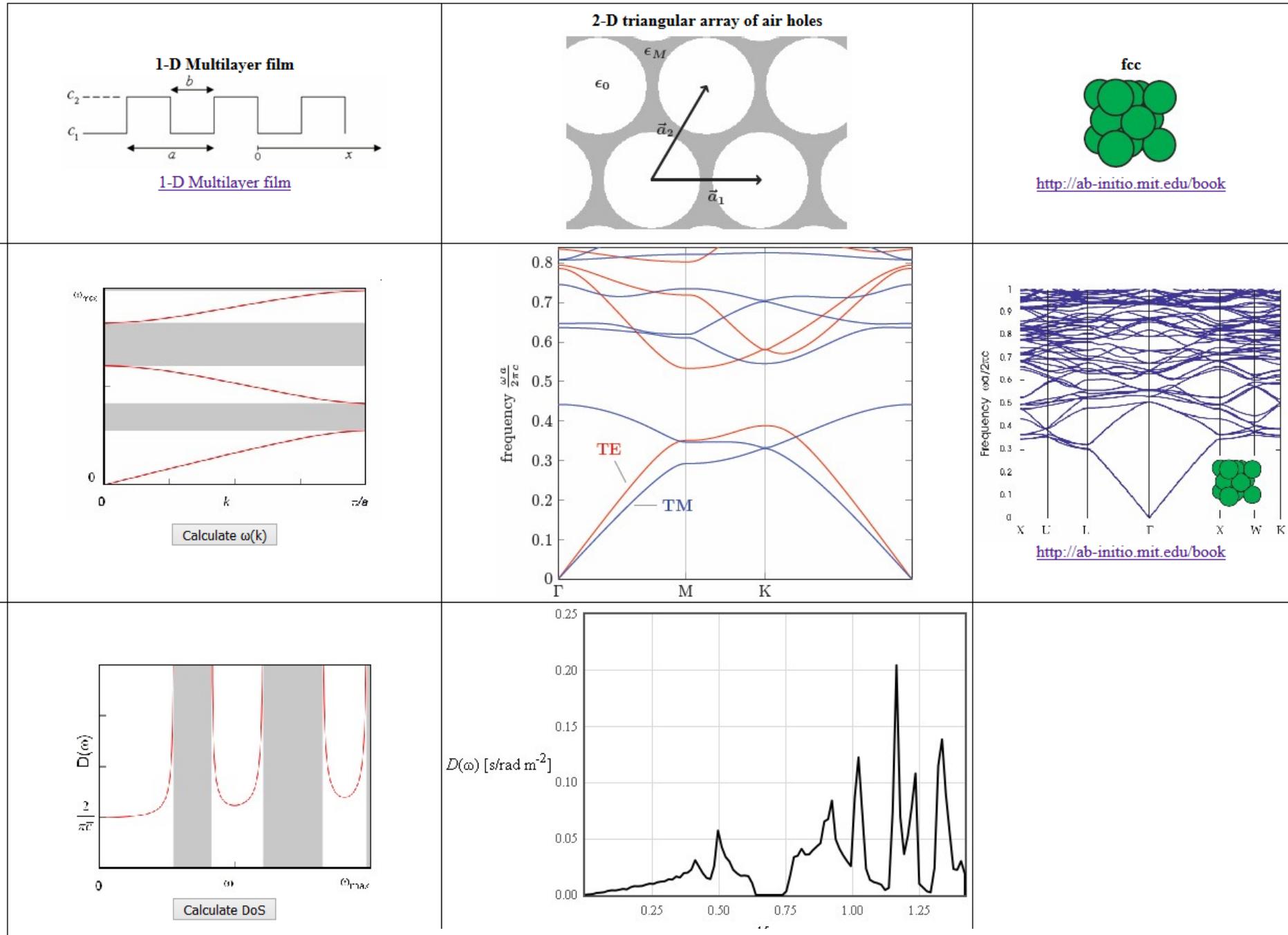
<http://ab-initio.mit.edu/book>

# Yablonovite



**Figure 5:** The photonic band structure for the lowest bands of Yablonovite (inset, from figure 4). Wave vectors are shown for a portion of the irreducible Brillouin zone that includes the edges of the complete gap (yellow). A detailed discussion of this band structure can be found in Yablonovitch et al. (1991a).

<http://ab-initio.mit.edu/book/>



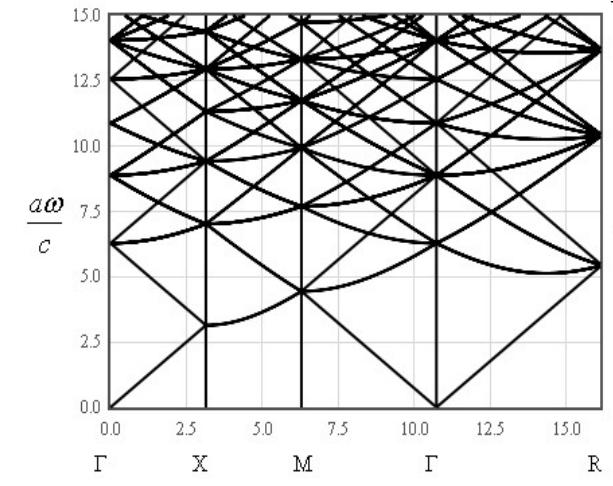
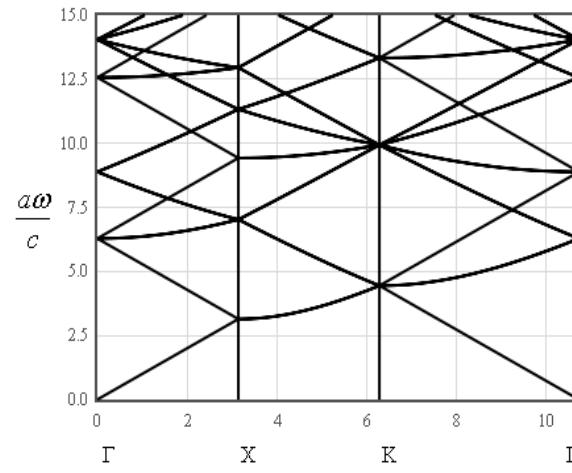
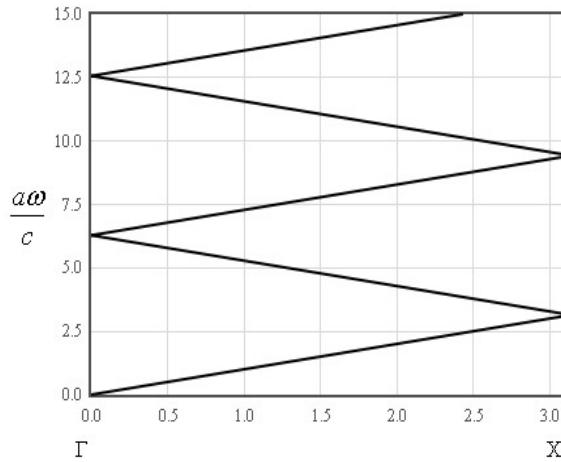
[http://lampx.tugraz.at/~hadley/ss1/emfield/photonic\\_crystals/photonic\\_table.html](http://lampx.tugraz.at/~hadley/ss1/emfield/photonic_crystals/photonic_table.html)

# Student projects

Use the plane wave method to calculate the dispersion relation for light in a 1-D layered material (or a 2-D or 3-D material)

Help complete the table of the empty lattice approximation

Adapt the program that solves the in 1-D Schroedinger equation to the wave equation



# Lattice vibrations / Phonons

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Phonons are quantum particles of sound

The simplest model for lattice vibrations is atoms connected by linear springs

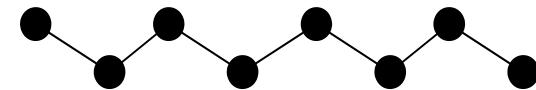
There is a shortest wavelength/maximum frequency

Find the normal mode solutions

Quantize the normal modes

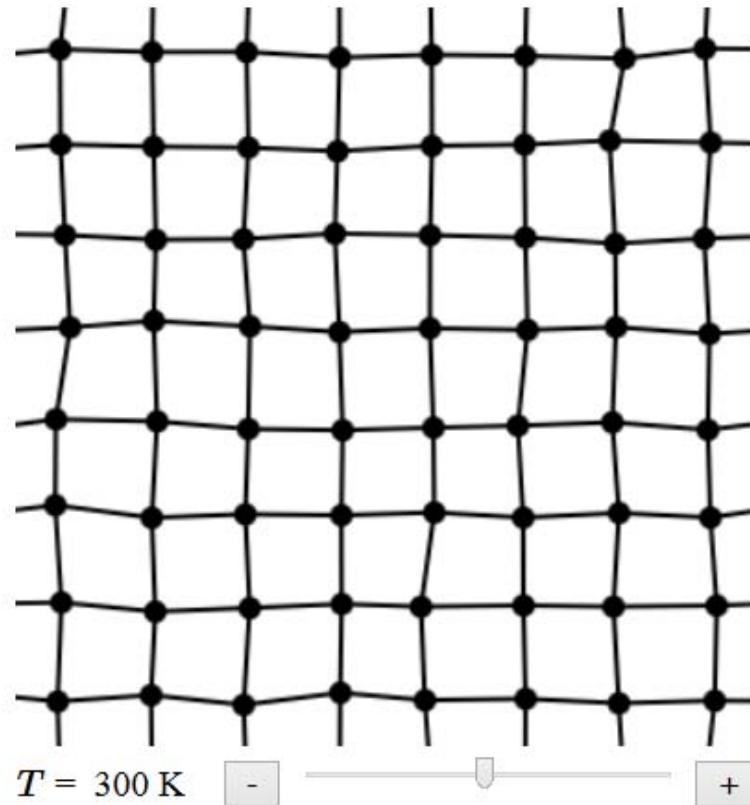
Find the phonon density of states

Calculate the thermodynamic properties



## Normal Modes and Phonons

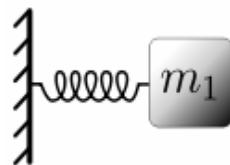
At finite temperatures, the atoms in a crystal vibrate. In the simulation below, the atoms move randomly around their equilibrium positions.



[http://lampx.tugraz.at/~hadley/ss1/phonons/phonon\\_script.php](http://lampx.tugraz.at/~hadley/ss1/phonons/phonon_script.php)

# Vibrations of a mass on a spring

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$$m \frac{d^2x}{dt^2} = -Cx$$

The solution has the form

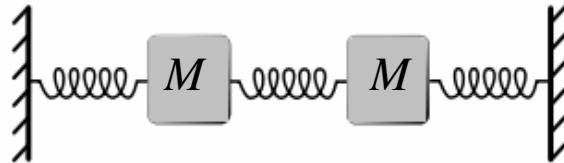
$$x = A e^{-i\omega t}$$

$$-\omega^2 m A e^{-i\omega t} = -C A e^{-i\omega t}$$

$$\omega = \sqrt{\frac{C}{m}}$$

# Coupled masses

---



Newton's law

$$M \frac{d^2x_1}{dt^2} = -Cx_1 + C(x_2 - x_1)$$

$$M \frac{d^2x_2}{dt^2} = -Cx_2 + C(x_1 - x_2)$$

assume harmonic solutions

$$x_1(t) = A_1 \exp(i\omega t) \quad x_2(t) = A_2 \exp(i\omega t)$$

$$-\omega^2 MA_1 e^{i\omega t} = -2CA_1 e^{i\omega t} + CA_2 e^{i\omega t}$$

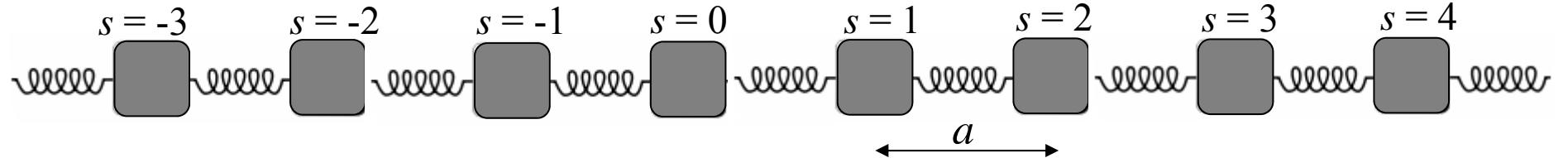
$$-\omega^2 MA_2 e^{i\omega t} = -2CA_2 e^{i\omega t} + CA_1 e^{i\omega t}$$

$$-\omega^2 M \begin{bmatrix} A_1 \\ A_2 \end{bmatrix} = \begin{bmatrix} -2C & C \\ C & -2C \end{bmatrix} \begin{bmatrix} A_1 \\ A_2 \end{bmatrix}$$

Find the eigenvectors of this matrix

The masses oscillate with the same frequency but different phases

# Linear Chain



$$m \frac{d^2 u_s}{dt^2} = C(u_{s+1} - u_s) - C(u_s - u_{s-1}) = C(u_{s+1} - 2u_s + u_{s-1})$$

Assume every atom oscillates with the same frequency  $u_s = A_s e^{-i\omega t}$

$$\begin{bmatrix} 2C - \omega^2 m & -C & 0 & 0 & 0 & -C \\ -C & 2C - \omega^2 m & -C & 0 & 0 & 0 \\ 0 & -C & 2C - \omega^2 m & -C & 0 & 0 \\ 0 & 0 & -C & 2C - \omega^2 m & -C & 0 \\ 0 & 0 & 0 & -C & 2C - \omega^2 m & -C \\ -C & 0 & 0 & 0 & -C & 2C - \omega^2 m \end{bmatrix} \begin{bmatrix} A_1 \\ A_2 \\ A_3 \\ A_4 \\ A_5 \\ A_6 \end{bmatrix} = 0$$

$$[(2C - \omega^2 m)I - C(T + T^{-1})] \vec{A} = 0.$$

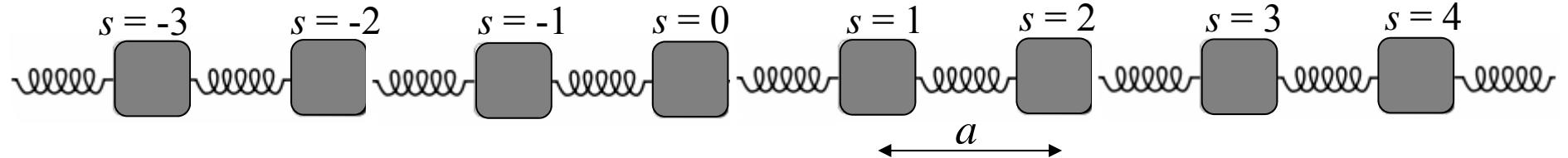
# Eigen vectors of the translation operator

---

$$T = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} \quad \begin{bmatrix} 1 \\ e^{i2\pi j/N} \\ e^{i4\pi j/N} \\ e^{i4\pi j/N} \\ \vdots \\ e^{i2\pi(N-1)j/N} \end{bmatrix} \quad j = 1, \dots, N$$

$$\begin{bmatrix} 1 \\ e^{ika} \\ e^{i2ka} \\ e^{i3ka} \\ \vdots \\ e^{-ika} \end{bmatrix} \quad k = 0, \pm \frac{2\pi}{Na}, \pm \frac{4\pi}{Na}, \dots$$

# Linear Chain



solution:  $u_s = A_k e^{i(ksa - \omega t)} = A_k e^{iksa} e^{-i\omega t}$

