

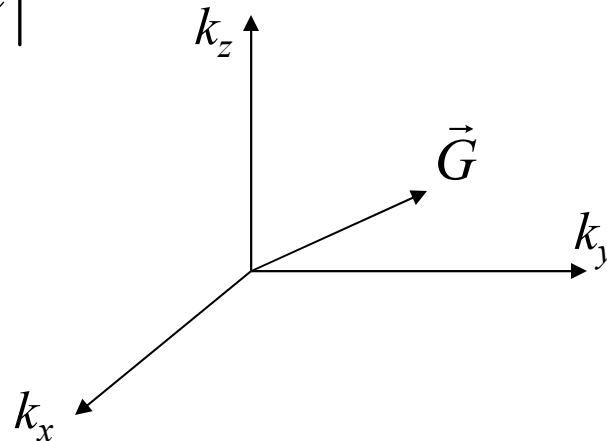
Reciprocal space (Reziproker Raum) k -space (k -Raum)

k -space is the space of all wave-vectors.

A k -vector points in the direction a wave is propagating.

wavelength: $\lambda = \frac{2\pi}{|\vec{k}|}$

momentum: $\vec{p} = \hbar\vec{k}$



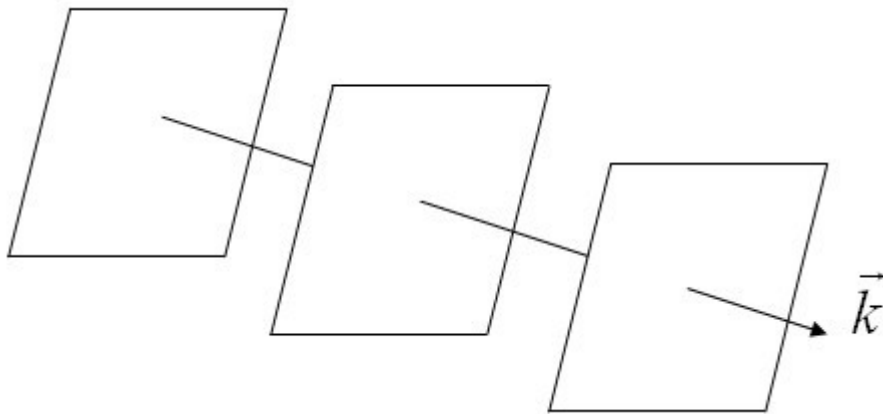
Plane wave:

$$\exp(i\vec{G} \cdot \vec{r}) = \cos(G_x x + G_y y + G_z z) + i \sin(G_x x + G_y y + G_z z)$$

Plane waves (Ebene Wellen)

$$e^{i\vec{k}\cdot\vec{r}} = \cos(\vec{k}\cdot\vec{r}) + i\sin(\vec{k}\cdot\vec{r})$$

$$\lambda = \frac{2\pi}{|\vec{k}|}$$



$$\exp(i\vec{k}\cdot(\vec{r} + \vec{r}_\perp)) = \exp(i\vec{k}\cdot\vec{r})$$

Most functions can be expressed in terms of plane waves

$$f(\vec{r}) = \int F(\vec{k}) e^{i\vec{k}\cdot\vec{r}} d\vec{k}$$

A k -vector points in the direction a wave is propagating.

Determine the structure factors in 1-D

$$f(x) = \sum_G f_G e^{iGx}$$

Multiply by $e^{-iG'x}$ and integrate over a period a

$$\begin{aligned} \int_{\text{unit cell}} f(x) e^{-iG'x} dx &= \int_{\text{unit cell}} \sum_G e^{i(G-G')x} dx \\ &= \sum_G \int_{\text{unit cell}} \cos((G-G')x) + i \sin((G-G')x) dx = f_{G'} a \end{aligned}$$

$$f_G = \frac{1}{a} \int_{-\infty}^{\infty} f_{\text{cell}}(x) e^{-iGx} dx$$

The structure factor is proportional to the Fourier transform of the pattern that gets repeated on the Bravais lattice, evaluated at that G -vector.

Fourier transforms

Most functions can be expressed in terms of plane waves

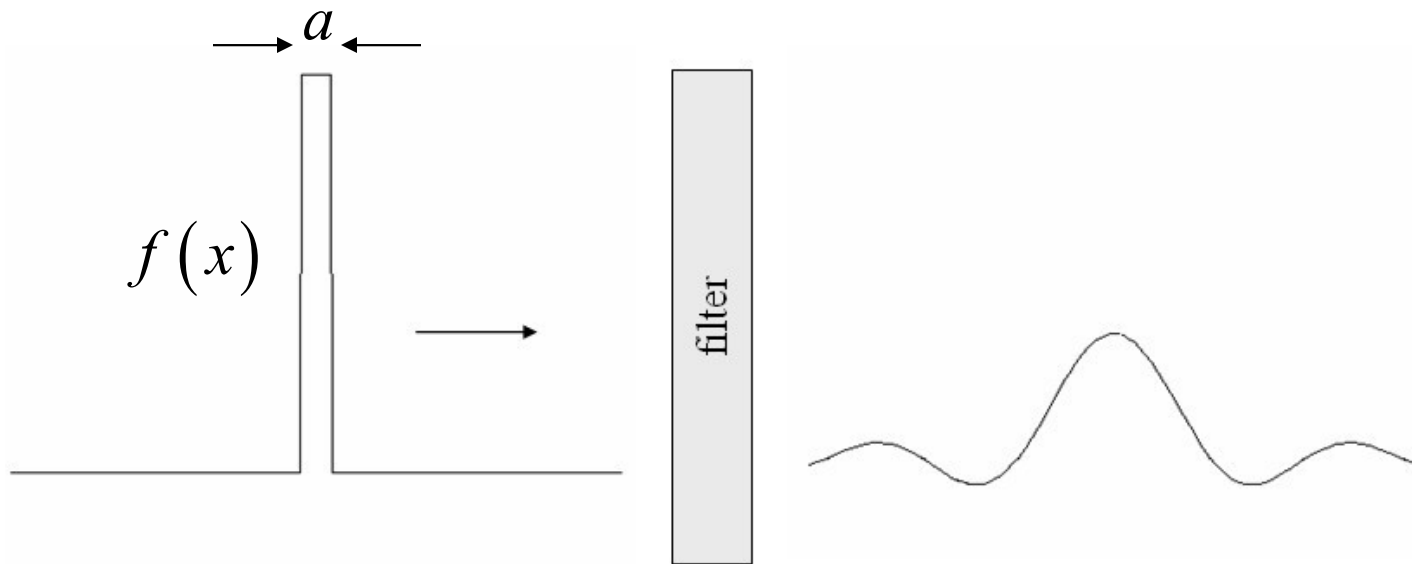
$$f(\vec{r}) = \int F(\vec{k}) e^{i\vec{k}\cdot\vec{r}} d\vec{k}$$

This can be inverted for $F(k)$

$$F(\vec{k}) = \frac{1}{(2\pi)^d} \int f(\vec{r}) e^{-i\vec{k}\cdot\vec{r}} d\vec{r}$$

Fourier transform of $f(r)$

Fourier transforms



Fourier transform:
$$F(k) = \frac{1}{2\pi} \int_{-a/2}^{a/2} e^{-ikx} dx = \frac{\sin(ka/2)}{\pi k}$$

Inverse transform:
$$f(x) = \int_{-\infty}^{\infty} \frac{\sin(ka/2)}{\pi k} e^{ikx} dk$$

Transmitted pulse:
$$f'(x) = \int_{-k_0}^{k_0} \frac{\sin(ka/2)}{\pi k} e^{ikx} dk = \frac{\text{Si}(k_0 x + \frac{1}{2}) + \text{Si}(k_0 x - \frac{1}{2})}{\pi}$$

Sine integral

Notations for Fourier Transforms

$$F_{a,b}(\vec{k}) = \mathcal{F}_{a,b}\{f(\vec{r})\} = \sqrt{\frac{|b|^d}{(2\pi)^{d(1-a)}}} \int_{-\infty}^{\infty} f(\vec{r}) e^{ib\vec{k}\cdot\vec{r}} d\vec{r}$$

$$f(\vec{r}) = \mathcal{F}_{a,b}^{-1}\{F(\vec{k})\} = \sqrt{\frac{|b|^d}{(2\pi)^{d(1+a)}}} \int_{-\infty}^{\infty} F_{a,b}(\vec{k}) e^{-ib\vec{k}\cdot\vec{r}} d\vec{k}$$

d = number of dimensions 1,2,3

a, b = constants

Notations for Fourier Transforms

$$F_{-1,-1}(\vec{k}) = \frac{1}{(2\pi)^d} \int f(\vec{r}) e^{-i\vec{k}\cdot\vec{r}} d\vec{r}.$$

$$f(\vec{r}) = \int F_{-1,-1}(\vec{k}) e^{i\vec{k}\cdot\vec{r}} d\vec{k}.$$

$f(r)$ is built of plane waves

Notations for Fourier Transforms

$$F_{1,-1}(\vec{k}) = \int f(\vec{r}) e^{-i\vec{k}\cdot\vec{r}} d\vec{r}.$$

$$f(\vec{r}) = \frac{1}{(2\pi)^d} \int F_{1,-1}(\vec{k}) e^{i\vec{k}\cdot\vec{r}} d\vec{k}.$$

Matlab

Notations for Fourier Transforms

$$F_{0,-1}(\vec{k}) = \frac{1}{(2\pi)^{d/2}} \int f(\vec{r}) e^{-i\vec{k}\cdot\vec{r}} d\vec{r}.$$

$$f(\vec{r}) = \frac{1}{(2\pi)^{d/2}} \int F_{0,-1}(\vec{k}) e^{i\vec{k}\cdot\vec{r}} d\vec{k}.$$

Mathematica

Notations for Fourier Transforms

$$F_{0,-2\pi}(\vec{q}) = \int f(\vec{r}) e^{-i2\pi\vec{q}\cdot\vec{r}} d\vec{r}.$$

$$f(\vec{r}) = \int F_{0,-2\pi}(\vec{q}) e^{i2\pi\vec{q}\cdot\vec{r}} d\vec{q}.$$

Engineering literature, usually on the 1-d case is considered.

$\exp(- a x)$	$\frac{ a }{\pi(a^2+k^2)}$	$\frac{2 a }{a^2+k^2}$
$\text{sgn}(x)$ $\text{sgn}(x) = -1$ for $x < 0$ and $\text{sgn}(x) = 1$ for $x > 0$	$\frac{-i}{\pi\omega}$	$\frac{-2i}{\omega}$
$\text{sgn}(x) \exp(- a x)$	$\frac{-ik}{\pi(a^2+k^2)}$	$\frac{-i2k}{a^2+k^2}$
$H(x) \exp(- a x)$	$\frac{ a -ik}{2\pi(a^2+k^2)}$	$\frac{ a -ik}{a^2+k^2}$
$\Pi(x) = H\left(x + \frac{1}{2}\right)H\left(\frac{1}{2} - x\right)$ Square pulse: height = 1, width = 1, centered at $x = 0$.	$\frac{\sin(k/2)}{\pi k}$	$\frac{2 \sin(k/2)}{k}$
$\Pi\left(\frac{x-x_0}{a}\right)$ Square pulse: height = 1, width = a , centered at x_0 .	$\frac{\sin(ka/2)}{\pi k} \exp(-ikx_0)$	$\frac{2 \sin(ka/2)}{k} \exp(-ikx_0)$
$\exp(i\vec{k}_0 \cdot \vec{r})$ Plane wave	$\delta(\vec{k} - \vec{k}_0)$	$(2\pi)^d \delta(\vec{k} - \vec{k}_0)$
1	$\delta(k)$	$2\pi\delta(k)$
$\delta(x)$ $\delta\left(\frac{\vec{r}-\vec{r}_0}{a}\right)$	$\frac{1}{2\pi}$ $\left(\frac{a}{2\pi}\right)^d \exp(-i\vec{k} \cdot \vec{r}_0)$	1 $a^d \exp(-i\vec{k} \cdot \vec{r}_0)$
$\exp\left(-\frac{ \vec{r}-\vec{r}_0 ^2}{a^2}\right)$	$\left(\frac{a}{2\sqrt{\pi}}\right)^d \exp\left(-\frac{a^2 k^2}{4}\right) \exp(-i\vec{k} \cdot \vec{r}_0)$	$(a\sqrt{\pi})^d \exp\left(-\frac{a^2 k^2}{4}\right) \exp(-i\vec{k} \cdot \vec{r}_0)$
$H(R - \vec{r} - \vec{r}_0)$ Disc of radius R centered at \vec{r}_0 , $\vec{r} \in \mathbb{R}^2$	$\frac{R}{2\pi \vec{k} } J_1(\vec{k} R) \exp(-i\vec{k} \cdot \vec{r}_0)$	$\frac{2\pi R}{ \vec{k} } J_1(\vec{k} R) \exp(-i\vec{k} \cdot \vec{r}_0)$
$H(R - \vec{r} - \vec{r}_0)$ Sphere of radius R centered at \vec{r}_0 , $\vec{r} \in \mathbb{R}^3$	$\frac{1}{(2\pi)^3 \vec{k} ^3} \left(\sin(\vec{k} R) - \vec{k} R \cos(\vec{k} R) \right) \exp(-i\vec{k} \cdot \vec{r}_0)$	$\frac{4\pi}{ \vec{k} ^3} \left(\sin(\vec{k} R) - \vec{k} R \cos(\vec{k} R) \right) \exp(-i\vec{k} \cdot \vec{r}_0)$

Here $H(x)$ is the Heaviside step function, $\delta(x)$ is the Dirac delta function, $J_1(x)$ is the first order Bessel function of the first kind, and d is the number of dimension

Calculate a Fourier transform numerically.

<http://lamp.tu-graz.ac.at/~hadley/ss1/crystaldiffraction/ft/ft.php>

Discrete Fourier Transforms

The Discrete Fourier Transform (DFT) is an algorithm that takes a discrete sequence of points and returns the Fourier components of a continuous periodic function that passes through all of those points. It is widely used in data analysis and digital signal processing. The standard form of the discrete Fourier transform of a sequence of N points $(f_0, f_1, \dots, f_{N-1})$ is,¹

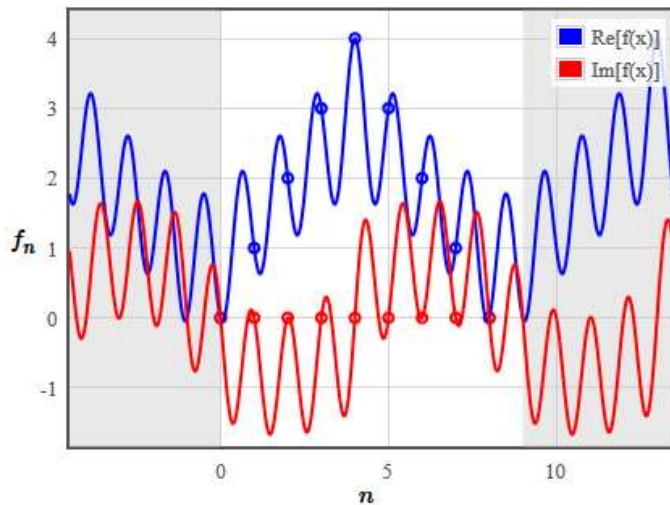
$$F_q = \frac{1}{N} \sum_{n=0}^{N-1} f_n e^{-i2\pi qn/N} \quad q = 0, 1, \dots, N-1.$$

The original sequence of points can be recovered via the inverse transform,

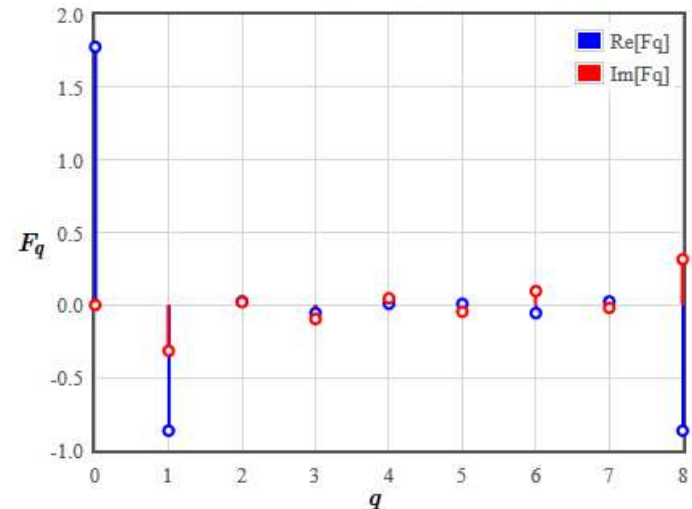
$$f_n = \sum_{q=0}^{N-1} F_q e^{i2\pi qn/N}.$$

A continuous periodic function $f(x)$ that passes through the points f_0 at $x = 0$, f_1 at $x = 1$, etc. is,

$$f(x) = \sum_{q=0}^{N-1} F_q e^{i2\pi qx/N}.$$



→ DFT →
← invDFT ←



Properties of Fourier transforms

Linearity and superposition

$\mathcal{F}\{\alpha f(\vec{r}) + \beta g(\vec{r})\} = \alpha \mathcal{F}\{f(\vec{r})\} + \beta \mathcal{F}\{g(\vec{r})\}$ where α and β are any constants.

Similarity

$$\mathcal{F}\left\{f\left(\frac{\vec{r}}{a}\right)\right\} = |a|^d \mathcal{F}\{f(\vec{r})\}.$$

Shift

$$\mathcal{F}\{f(\vec{r} - \vec{r}_0)\} = \mathcal{F}\{f(\vec{r})\} \exp\left(-i\vec{k} \cdot \vec{r}_0\right).$$

Convolution (Faltung)

$$f(\vec{r}) * g(\vec{r}) = \int f(\vec{r}') g(\vec{r} - \vec{r}') d\vec{r}'$$

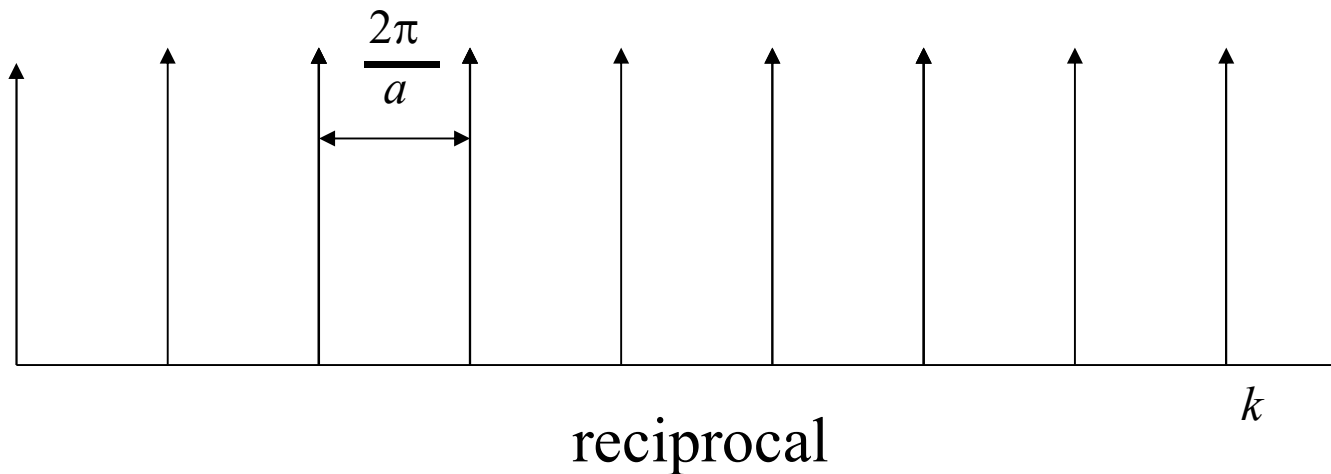
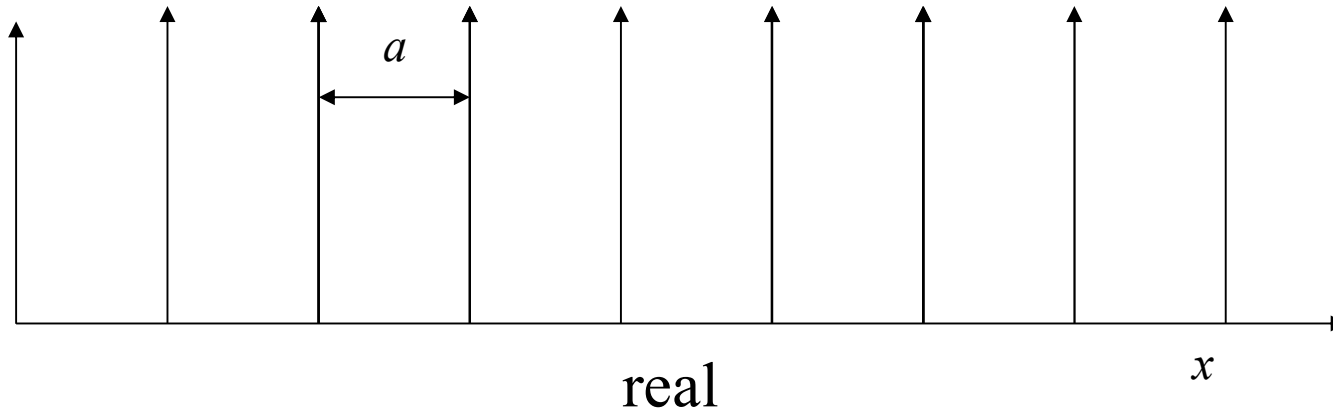
Notation [-1,-1]: $\mathcal{F}\{fg\} = \mathcal{F}\{f\} * \mathcal{F}\{g\}, \quad \mathcal{F}^{-1}\{FG\} = \frac{1}{2\pi} \mathcal{F}^{-1}\{F\} * \mathcal{F}^{-1}\{G\}.$

Notation [1,-1]: $\mathcal{F}\{fg\} = \frac{1}{2\pi} \mathcal{F}\{f\} * \mathcal{F}\{g\}, \quad \mathcal{F}^{-1}\{FG\} = \mathcal{F}^{-1}\{F\} * \mathcal{F}^{-1}\{G\}.$

Notation [0,-1]: $\mathcal{F}\{fg\} = \frac{1}{\sqrt{2\pi}} \mathcal{F}\{f\} * \mathcal{F}\{g\}, \quad \mathcal{F}^{-1}\{FG\} = \frac{1}{\sqrt{2\pi}} \mathcal{F}^{-1}\{F\} * \mathcal{F}^{-1}\{G\}.$

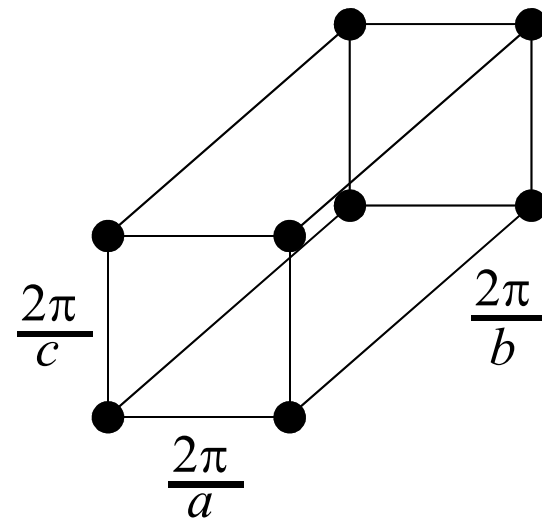
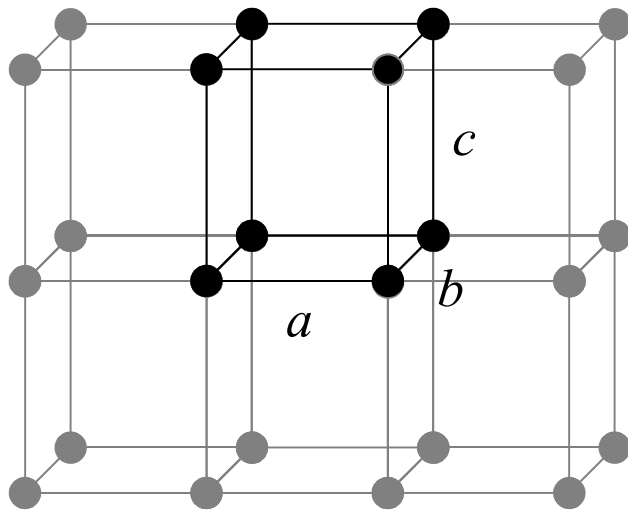
Notation [0,-2 π]: $\mathcal{F}\{fg\} = \mathcal{F}\{f\} * \mathcal{F}\{g\}, \quad \mathcal{F}^{-1}\{FG\} = \mathcal{F}^{-1}\{F\} * \mathcal{F}^{-1}\{G\}.$

Bravais lattice and reciprocal lattice in 1-D



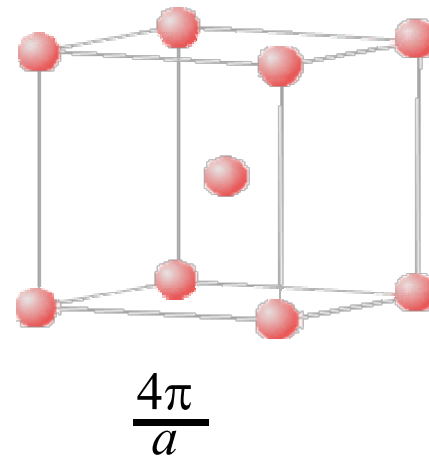
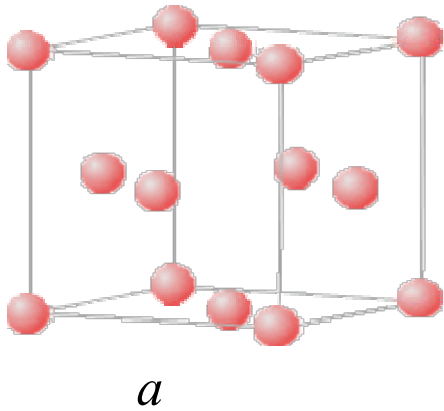
$$\cos\left(\frac{2\pi px}{a}\right) \Rightarrow \cos(Gx) \quad G = p \frac{2\pi}{a}$$

Reciprocal lattice of an orthorhombic lattice is an orthorhombic lattice



reciprocal lattice

The reciprocal lattice of an fcc lattice is a bcc lattice



$$\vec{a}_1 = \frac{a}{2}\hat{x} + \frac{a}{2}\hat{y}$$

$$\vec{a}_2 = \frac{a}{2}\hat{x} + \frac{a}{2}\hat{z}$$

$$\vec{a}_3 = \frac{a}{2}\hat{y} + \frac{a}{2}\hat{z}$$

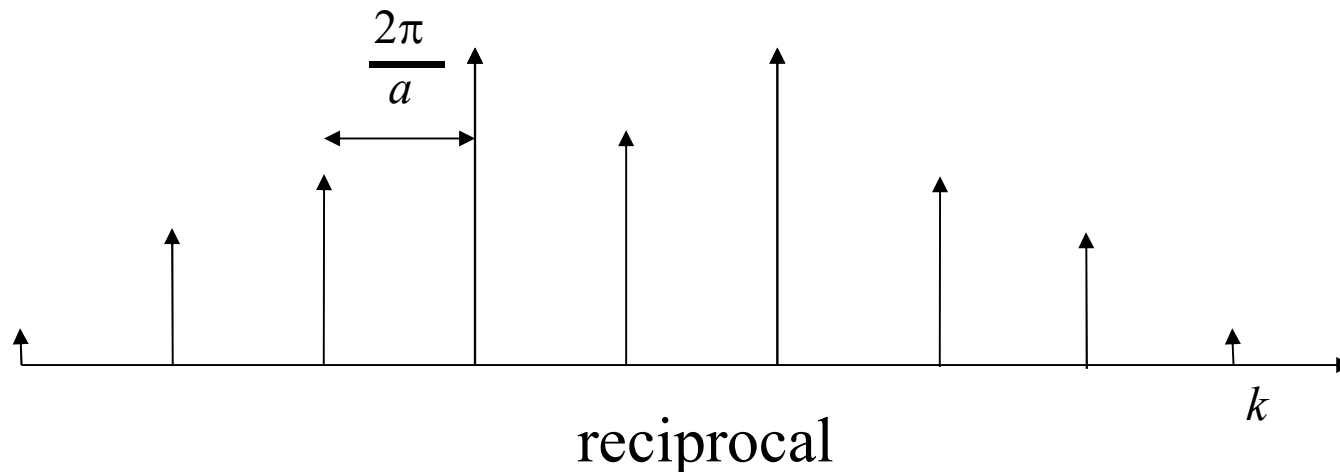
$$\vec{b}_3 = 2\pi \frac{\vec{a}_1 \times \vec{a}_2}{\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)}$$

$$\vec{b}_3 = \frac{2\pi}{a}(\hat{x} - \hat{y} - \hat{z})$$

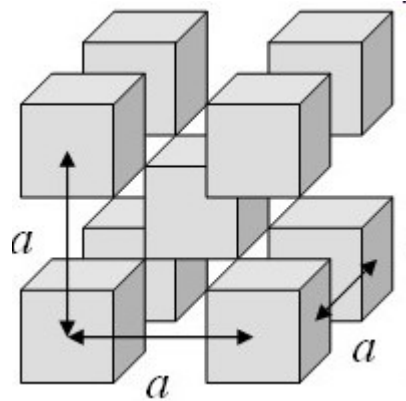
The reciprocal lattice is the Fourier transform of the real space lattice

$$\text{crystal} = \text{Bravais_lattice}(r) * \text{unit_cell}(r)$$

$$\mathcal{F}(\text{crystal}) = \mathcal{F}(\text{Bravais_lattice}(r))\mathcal{F}(\text{unit_cell}(r))$$



Cubes on a bcc lattice



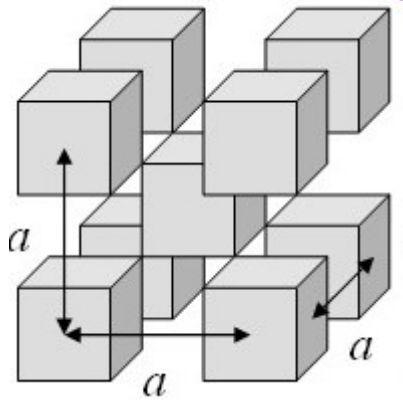
$$f(\vec{r}) = \sum_{\vec{G}} f_{\vec{G}} e^{i\vec{G}\cdot\vec{r}}$$

Multiply by $e^{-i\vec{G}'\cdot\vec{r}}$ and integrate over a primitive unit cell.

$$\int_{\text{unit cell}} f(\vec{r}) e^{-i\vec{G}\cdot\vec{r}} d^3r = f_{\vec{G}} V$$

<http://lamp.tu-graz.ac.at/~hadley/ss1/crystaldiffraction/fourier.php>

Cubes on a bcc lattice



$$\int_{\text{unit cell}} f(\vec{r}) e^{-i\vec{G}\cdot\vec{r}} d^3r = f_{\vec{G}} V$$

V is the volume of the primitive unit cell.

$$f_{\vec{G}} = \frac{1}{V} \int f_{\text{cell}}(\vec{r}) \exp(-i\vec{G}\cdot\vec{r}) d^3r$$

$f_{\vec{G}}$ is the Fourier transform of f_{cell} evaluated at G .

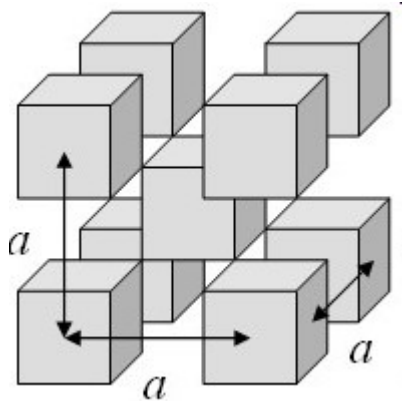
f_{cell} is zero outside the primitive unit cell.

$$f_{\vec{G}} = \frac{1}{V} \int f_{\text{cell}}(\vec{r}) \exp(-i\vec{G}\cdot\vec{r}) d^3r = \frac{2C}{a^3} \int_{-\frac{a}{4}}^{\frac{a}{4}} \int_{-\frac{a}{4}}^{\frac{a}{4}} \int_{-\frac{a}{4}}^{\frac{a}{4}} \exp(-iG_x x) \exp(-iG_y y) \exp(-iG_z z) dx dy dz$$

Volume of conventional u.c. a^3 . Two Bravais points per conventional u.c.

Cubes on a bcc lattice

$$\int_{-\frac{a}{4}}^{\frac{a}{4}} \exp(-iG_x x) dx = \frac{\exp(-iG_x x)}{-iG_x} \Big|_{-\frac{a}{4}}^{\frac{a}{4}} = \frac{\cos(-G_x x) + i \sin(-G_x x)}{-iG_x} \Big|_{-\frac{a}{4}}^{\frac{a}{4}} = \frac{2 \sin\left(\frac{G_x a}{4}\right)}{G_x}$$

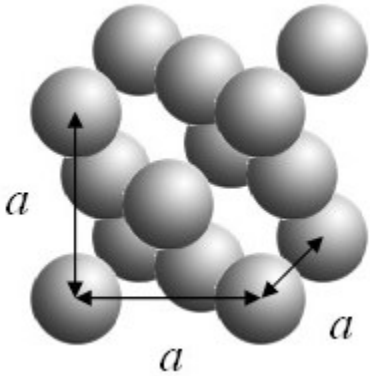


$$f_{\vec{G}} = \frac{16C \sin\left(\frac{G_x a}{4}\right) \sin\left(\frac{G_y a}{4}\right) \sin\left(\frac{G_z a}{4}\right)}{a^3 G_x G_y G_z}$$

The Fourier series for any rectangular cuboid with dimensions $L_x \times L_y \times L_z$ repeated on any three-dimensional Bravais lattice is:

$$f(\vec{r}) = \sum_{\vec{G}} \frac{8C \sin\left(\frac{G_x L_x}{2}\right) \sin\left(\frac{G_y L_y}{2}\right) \sin\left(\frac{G_z L_z}{2}\right)}{V G_x G_y G_z} \exp(i\vec{G} \cdot \vec{r})$$

Spheres on an fcc lattice



$$f(\vec{r}) = \sum_{\vec{G}} f_{\vec{G}} e^{i\vec{G} \cdot \vec{r}}$$

Multiply by $e^{-i\vec{G}' \cdot \vec{r}}$ and integrate over a primitive unit cell.

$$f_{\vec{G}} = \frac{1}{V} \int f_{cell}(\vec{r}) \exp(-i\vec{G} \cdot \vec{r}) d^3 r = \frac{C}{V} \int_{\text{sphere}} \exp(-i\vec{G} \cdot \vec{r}) d^3 r.$$

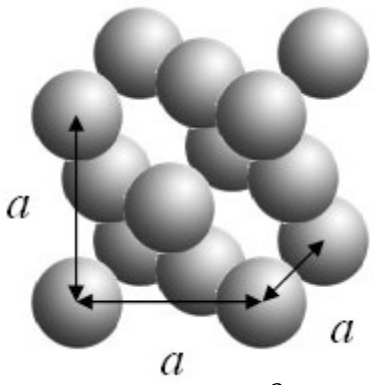
$$f_{\vec{G}} = \frac{C}{V} \int_0^R \int_0^\pi \int_{-\pi}^\pi \exp(-i\vec{G} \cdot \vec{r}) r^2 \sin \theta dr d\theta d\varphi$$

$$= \frac{C}{V} \int_0^R \int_0^\pi \int_{-\pi}^\pi \left(\cos(|G|r \cos \theta) - i \sin(|G|r \cos \theta) \right) r^2 \sin \theta dr d\theta d\varphi$$

Integrate over φ

$$f_{\vec{G}} = \frac{2\pi C}{V} \int_0^R \int_0^\pi \left(\cos(|G|r \cos \theta) - i \sin(|G|r \cos \theta) \right) r^2 \sin \theta dr d\theta$$

Spheres on an fcc lattice



$$f_{\vec{G}} = \frac{2\pi C}{V} \int_0^R \int_0^\pi \left(\cos(|G|r \cos \theta) - i \sin(|G|r \cos \theta) \right) r^2 \sin \theta dr d\theta$$

Both terms are perfect differentials

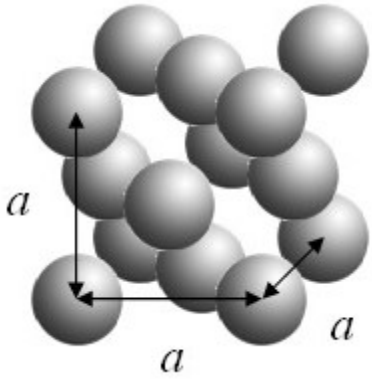
$$\frac{d}{d\theta} \cos(|G|r \cos \theta) = |G|r \sin(|G|r \cos \theta) \sin \theta \quad \text{and}$$

$$\frac{d}{d\theta} \sin(|G|r \cos \theta) = -|G|r \cos(|G|r \cos \theta) \sin \theta,$$

Integrate over θ :

$$f_{\vec{G}} = \frac{2\pi C}{V} \int_0^R \left(-\sin(|G|r \cos \theta) - i \cos(|G|r \cos \theta) \right) \Big|_0^\pi dr$$

$$f_{\vec{G}} = \frac{4\pi C}{V} \int_0^R \frac{\sin(|G|r)}{|G|} r dr$$



Spheres on any lattice

$$f_{\vec{G}} = \frac{4\pi C}{V} \int_0^R \frac{\sin(|G|r)}{|G|r} r^2 dr$$

Integrate over r

$$f_G = \frac{4\pi C}{V|G|^3} \left(\sin(|G|R) - |G|R \cos(|G|R) \right).$$

The Fourier series for non-overlapping spheres on any three-dimensional Bravais lattice is:

$$f(\vec{r}) = \frac{4\pi C}{V} \sum_{\vec{G}} \frac{\sin(|G|R) - |G|R \cos(|G|R)}{|G|^3} \exp(i\vec{G} \cdot \vec{r}).$$

Molecular orbital potential

$$U(\vec{r}) = \frac{-Ze^2}{4\pi\epsilon_0} \sum_{r_j} \frac{1}{|\vec{r} - \vec{r}_j|}$$

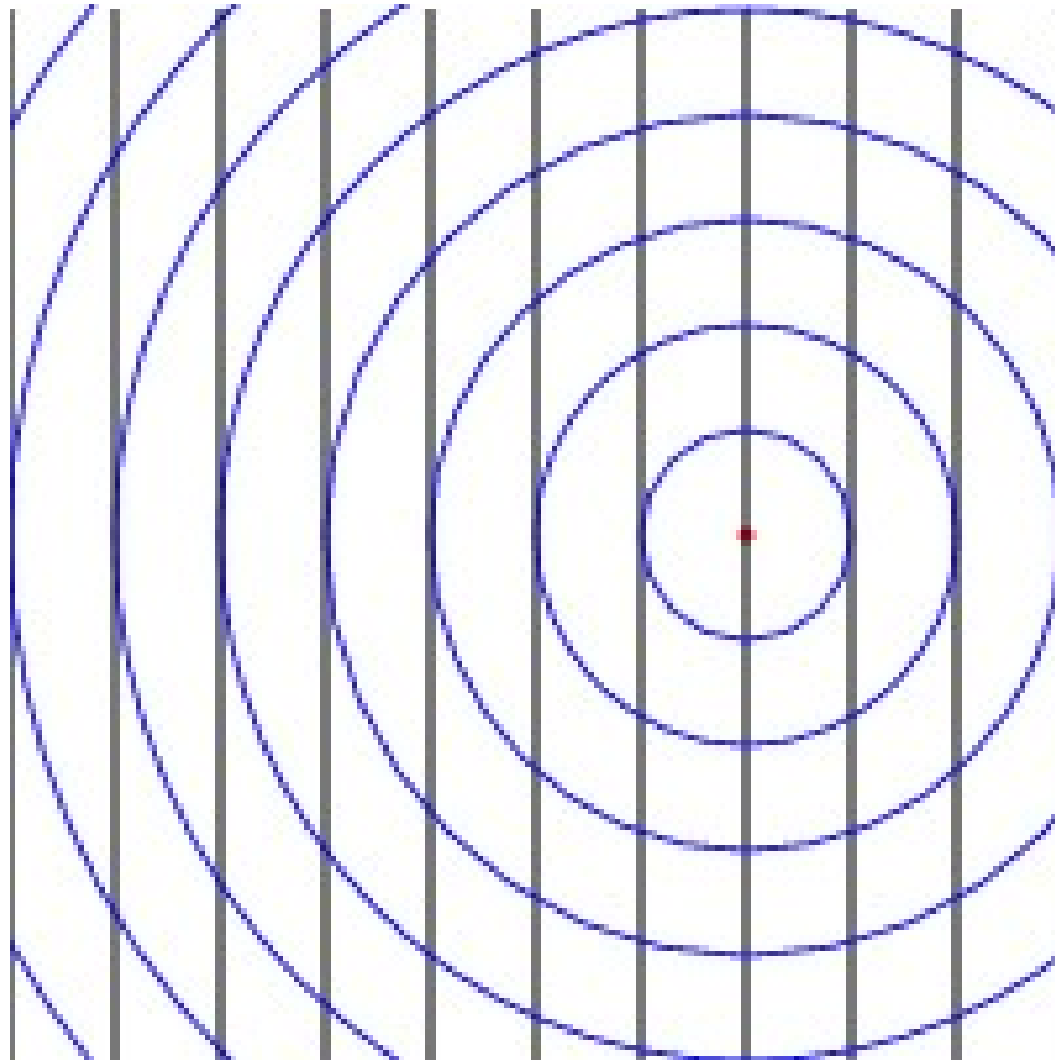
position of atom j

The Fourier series for any molecular orbital potential is:

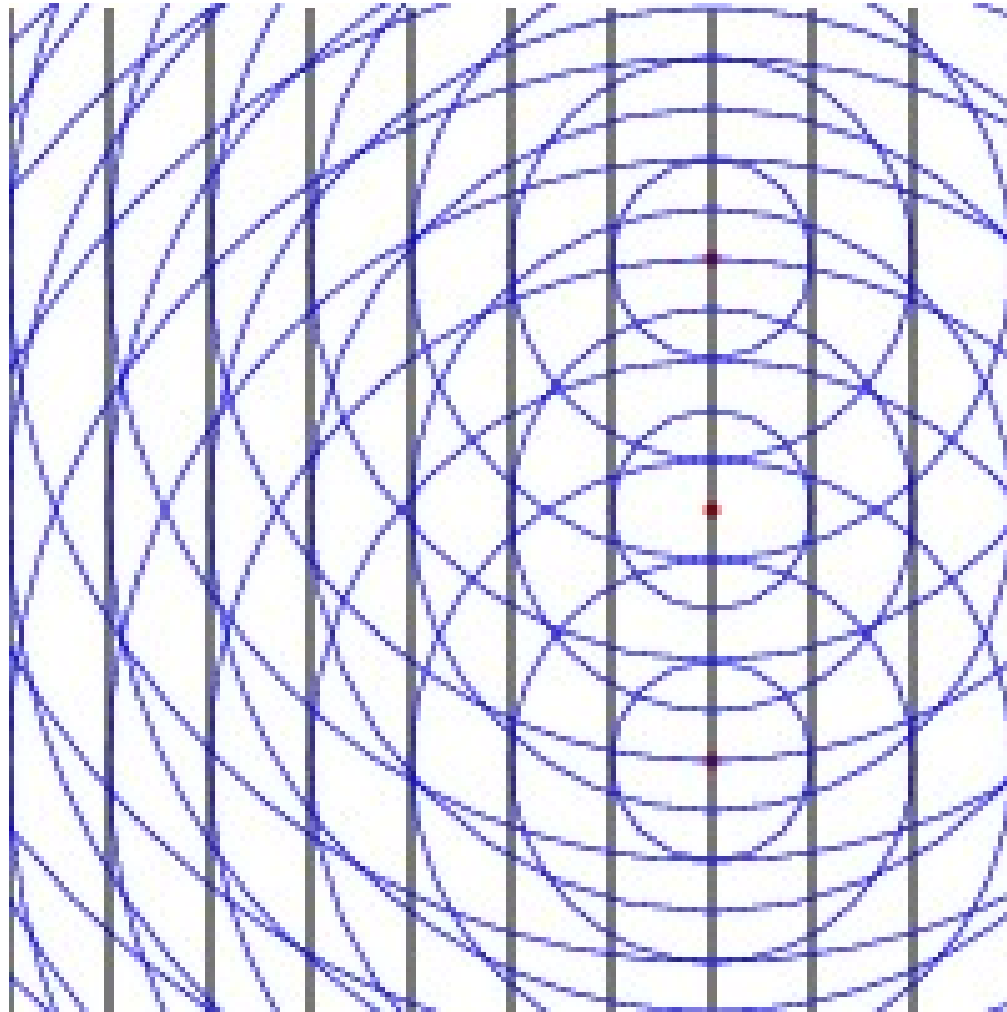
$$U(\vec{r}) = \frac{-Ze^2}{V\epsilon_0} \sum_{\vec{G}} \frac{\exp(i\vec{G} \cdot \vec{r})}{|G|^2}$$

Volume of the primitive unit cell

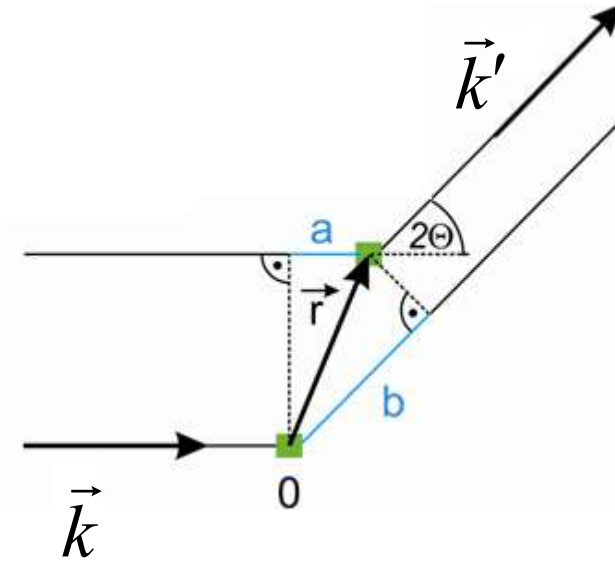
Interference



Interference



Interference



$$a = \frac{\vec{r} \cdot \vec{k}}{|\vec{k}|} \quad b = \frac{\vec{r} \cdot \vec{k}'}{|\vec{k}'|}$$

elastic scattering

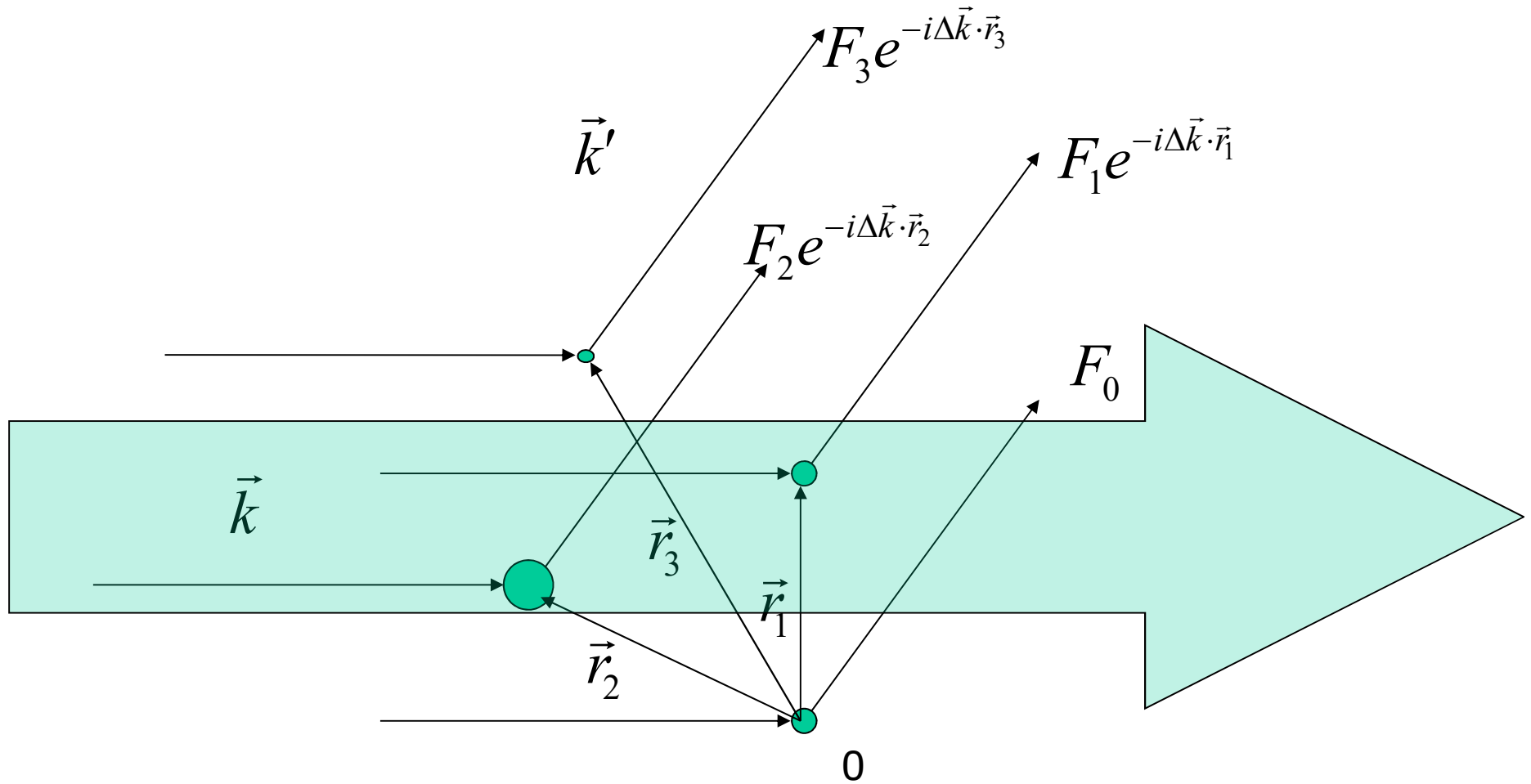
$$|\vec{k}| = |\vec{k}'|$$

path difference:
$$a - b = \frac{-\vec{r} \cdot (\vec{k}' - \vec{k})}{|\vec{k}|}$$

phase shift:
$$\varphi = 2\pi \frac{a - b}{\lambda} = 2\pi \frac{-\vec{r} \cdot (\vec{k}' - \vec{k})}{|\vec{k}| \lambda} = -\vec{r} \cdot (\vec{k}' - \vec{k}) = -\Delta\vec{k} \cdot \vec{r}$$

Amplitude:
$$F = F_0 + F_0 e^{-i\Delta\vec{k} \cdot \vec{r}}$$

Interference



Amplitude:
$$F_{tot} = \sum_i F_i e^{-i\Delta\vec{k} \cdot \vec{r}_i}$$