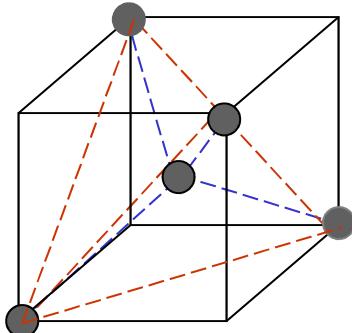
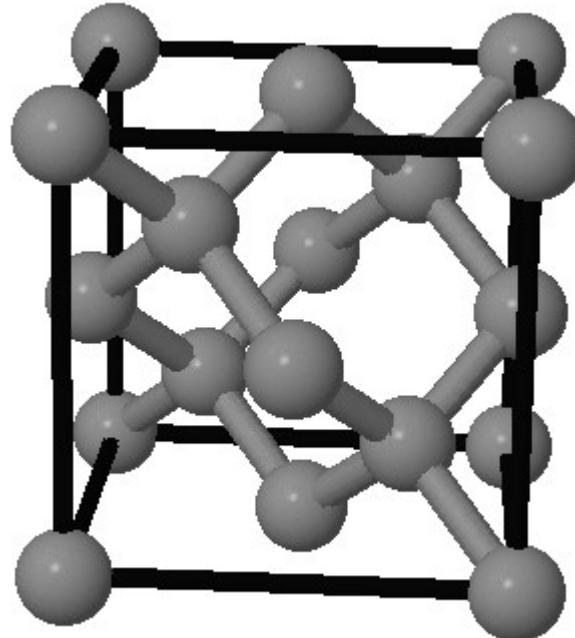


# Diamond



$$a = b = c, \quad \alpha = 90^\circ, \beta = 90^\circ, \gamma = 90^\circ$$

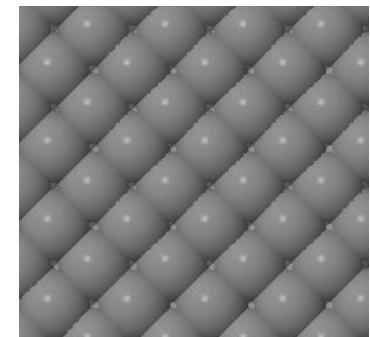


Space group: 227  
point group: m3m

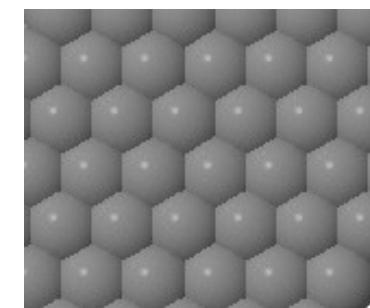
Primitive lattice vectors:

$$\vec{a}_1 = \frac{a}{2} \hat{x} + \frac{a}{2} \hat{y}, \quad \vec{a}_2 = \frac{a}{2} \hat{x} + \frac{a}{2} \hat{z}, \quad \vec{a}_3 = \frac{a}{2} \hat{y} + \frac{a}{2} \hat{z}.$$

Basis:  $\vec{B}_1 = (0, 0, 0), \quad \vec{B}_2 = (0.25, 0.25, 0.25).$



$$(100): \frac{2}{a^2}$$



$$(111): \frac{4}{\sqrt{3}a^2}$$

# zincblende

ZnS

GaAs

InP

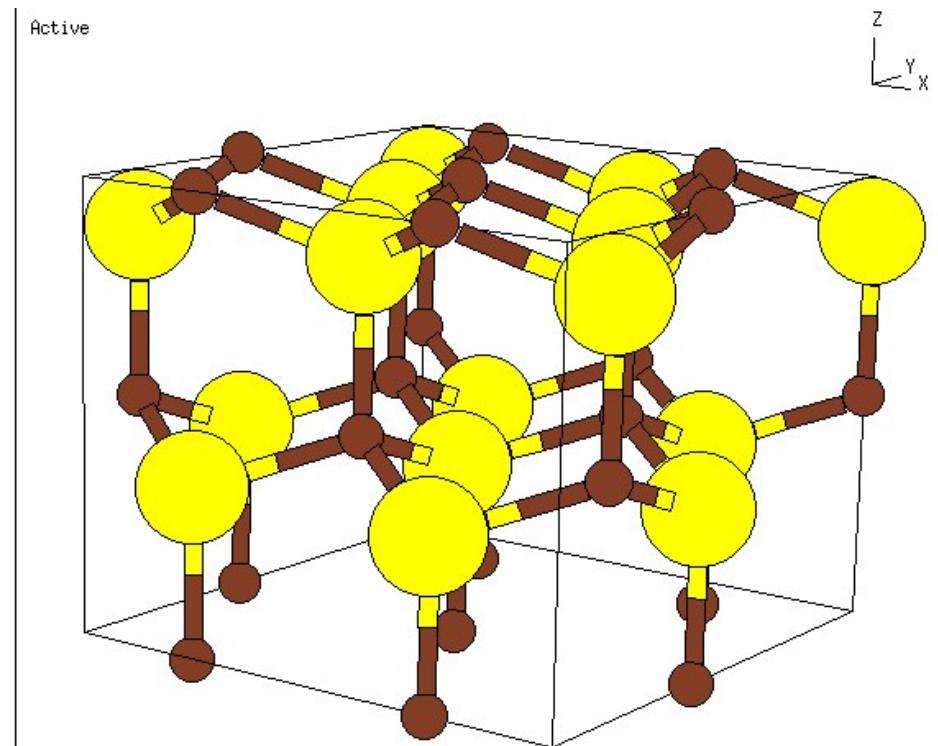
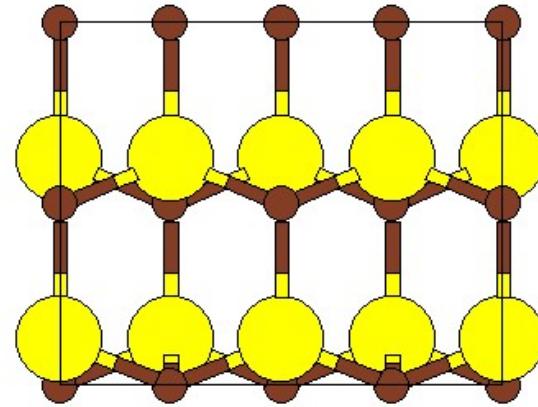
**HM: F-43M**  
**a=5.434 Å**  
**b=5.434 Å**  
**c=5.434 Å**  
**α=90.000°**  
**β=90.000°**  
**γ=90.000°**

space group 216  
F $\bar{4}$ 3m

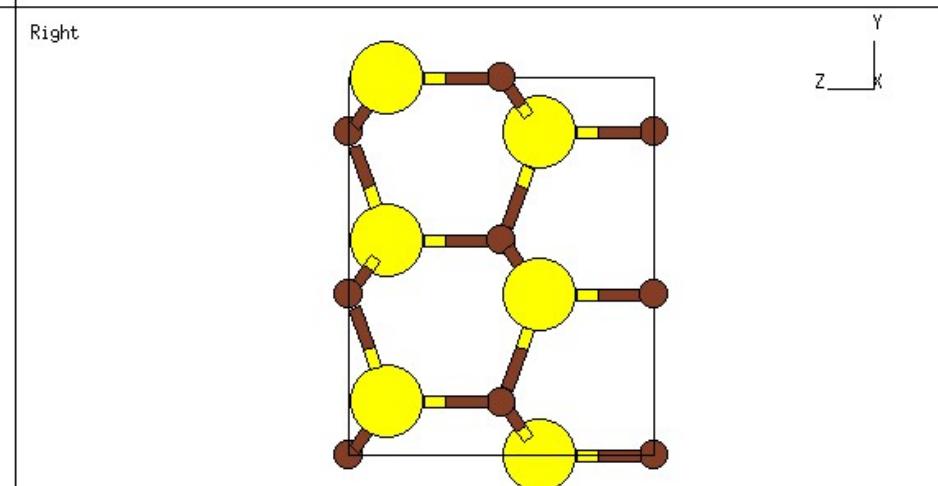
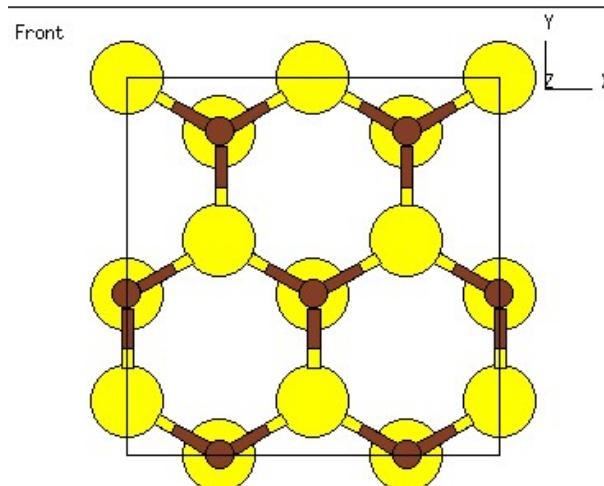


# wurtzite

ZnS  
ZnO  
CdS  
CdSe  
GaN  
AlN



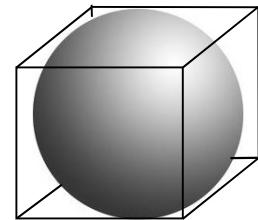
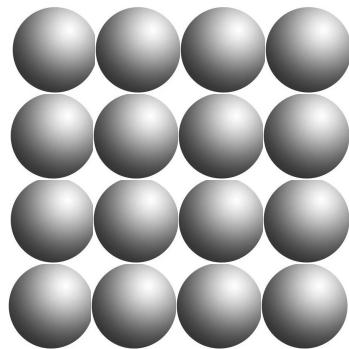
Number 186



There are 2 polytypes of ZnS: zincblende and wurtzite

# atomic packing density

---



$$\frac{\frac{4}{3}\pi(L/2)^3}{L^3} = \frac{\pi}{6} \approx 0.52$$

fcc, hcp = 0.74

random close pack = 0.64

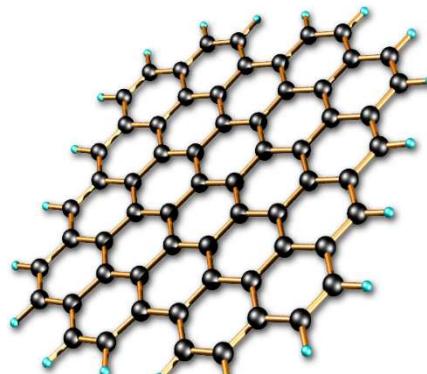
simple cubic = 0.52

diamond = 0.34

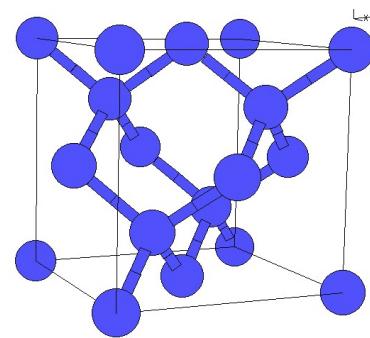
# Coordination number

---

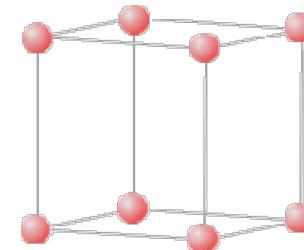
Number of nearest neighbors an atom has in a crystal



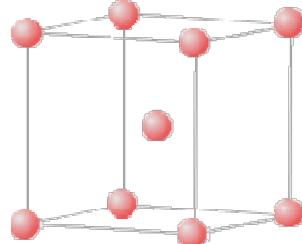
Graphene 3



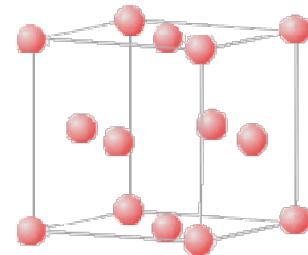
diamond 4



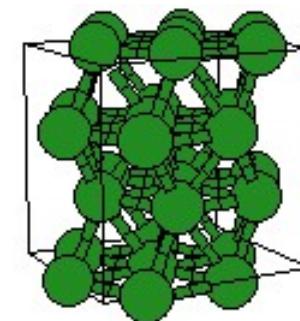
sc 6



bcc 8



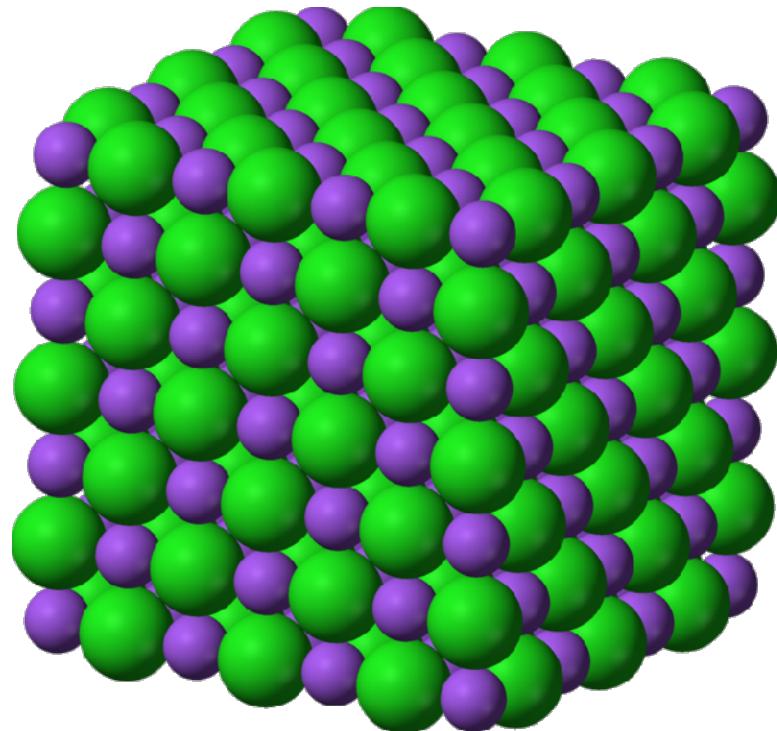
fcc 12



hcp 12

# Ionic crystals

A crystal is a three dimensional periodic arrangement of atoms.

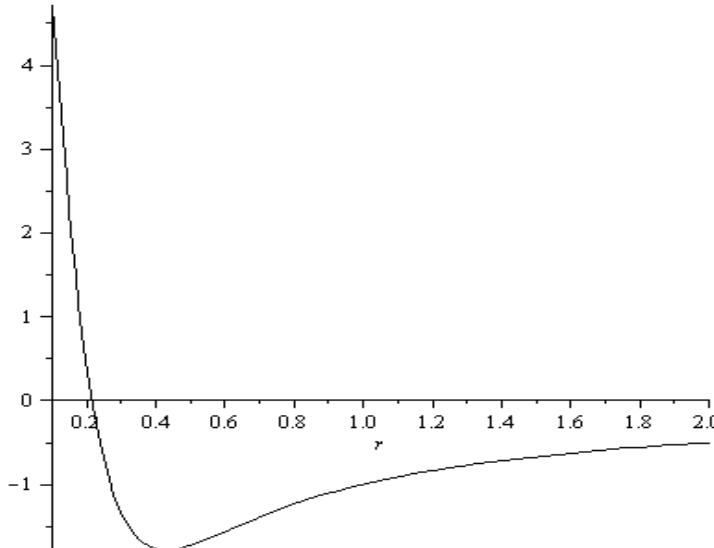


Read the first half of chapter 3 in Kittel

# Ionic crystals

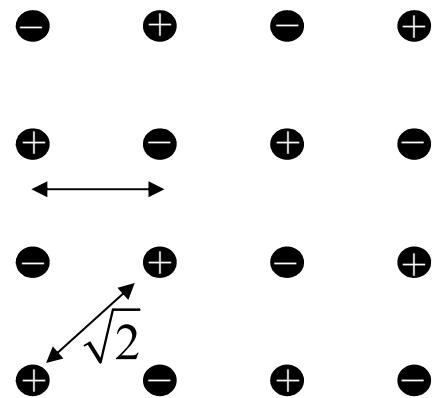
---

Nearest neighbors:  $U_{ij} = \lambda e^{-\frac{r_{ij}}{\rho}} - \frac{e^2}{4\pi\epsilon_0 r_{ij}}$

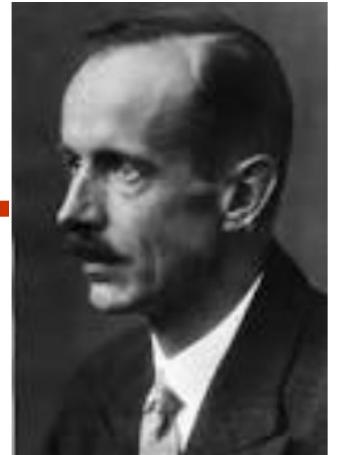


Distant neighbors:  $U_{ij} = \frac{\pm e^2}{4\pi\epsilon_0 r_{ij}}$

# Ionic crystals



$R$  = nearest neighbor separation



Ernst Madelung

$$U_{\text{ionic}} = -\frac{6e^2}{4\pi\epsilon_0 R} + \frac{8e^2}{4\pi\epsilon_0 \sqrt{2}R} + \frac{6e^2}{4\pi\epsilon_0 2R} + \dots$$

$$U_{\text{ionic}} = -\frac{e^2}{4\pi\epsilon_0 R} \left( 6 - \frac{8}{\sqrt{2}} - 3 + \dots \right)$$



$\alpha$  Madelung constant

# Madelung constant in 1-D

---

⊕ ⊖ ⊕ ⊖ ⊕ ⊖ ⊕ ⊖ ⊕ ⊖ ⊕ ⊖ ⊕ ⊖ ⊕ ⊖ ⊕ ⊖

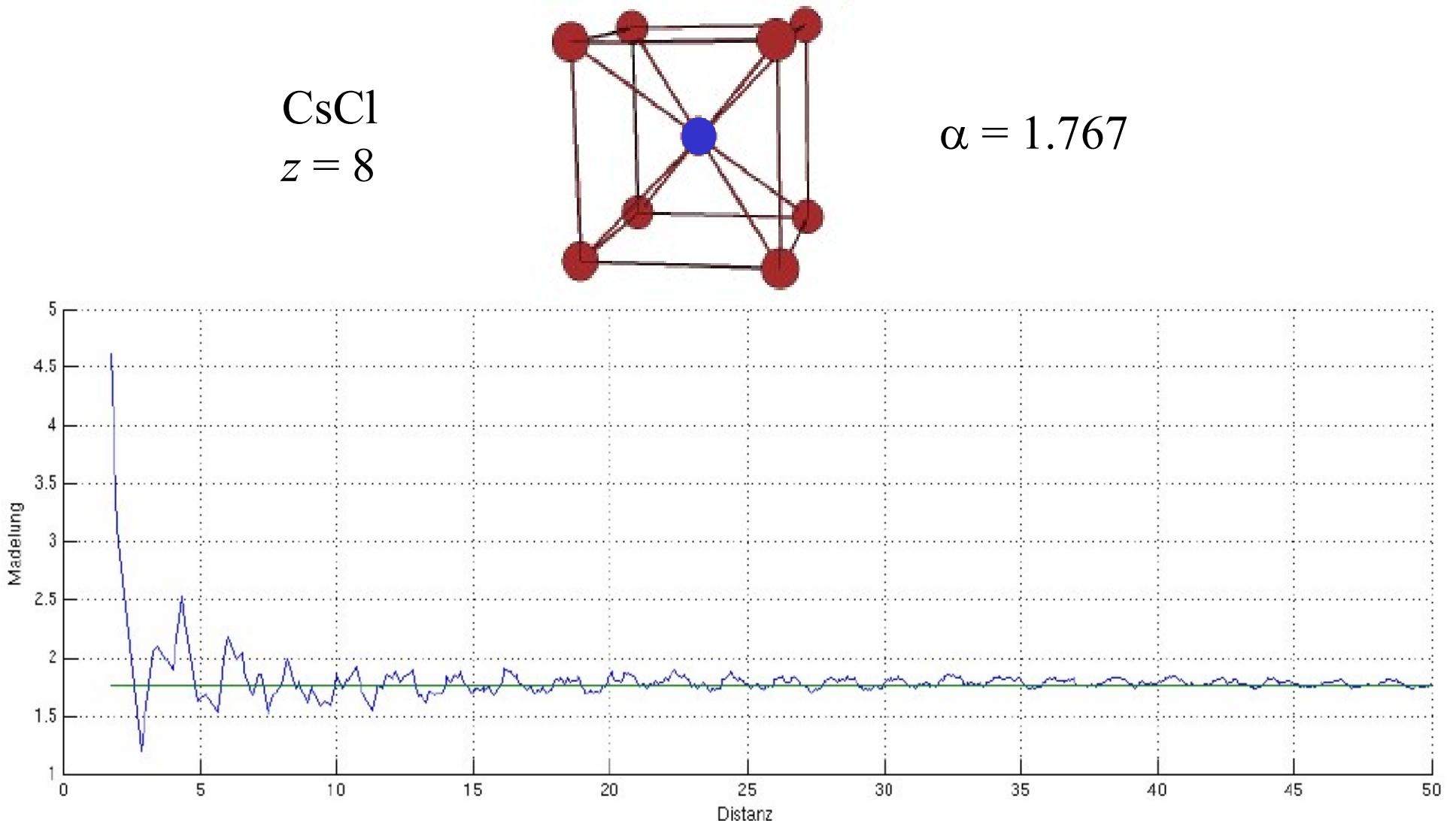
$$\alpha = 2 \left[ 1 - \frac{1}{2} + \frac{1}{3} - \frac{1}{4} + \frac{1}{5} - \dots \right]$$

Taylor expansion:  $\ln(1+x) = \left[ x - \frac{x^2}{2} + \frac{x^3}{3} - \frac{x^4}{4} + \frac{x^5}{5} - \dots \right]$

$$\ln(2) = \left[ 1 - \frac{1}{2} + \frac{1}{3} - \frac{1}{4} + \frac{1}{5} - \dots \right]$$

$$\alpha = 2 \ln 2 = 1.38629436$$

# Calculating the Madelung constant

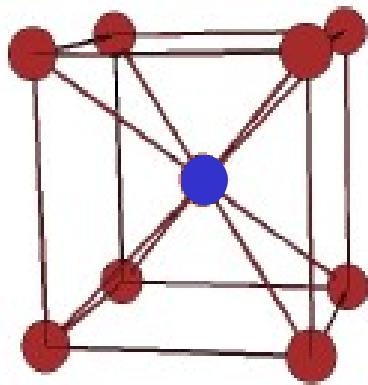


Iterative Bestimmung der Madelung-Konstante für CsCl - Yao Shan und Robert Krisper, 2010

# Ionic Crystals

---

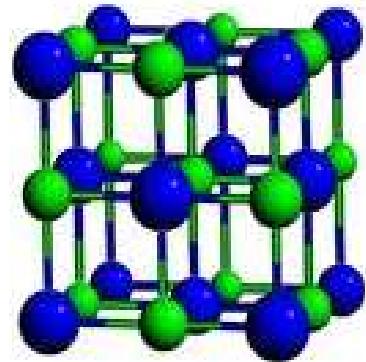
$\alpha = 1.767$



CsCl

$z = 8$

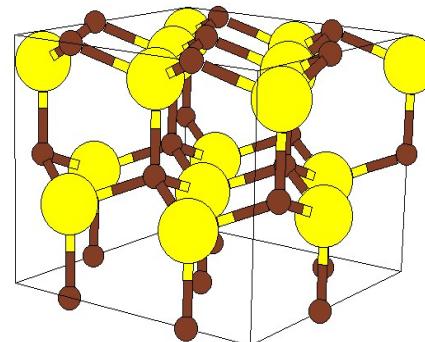
$\alpha = 1.747$



NaCl

$z = 6$

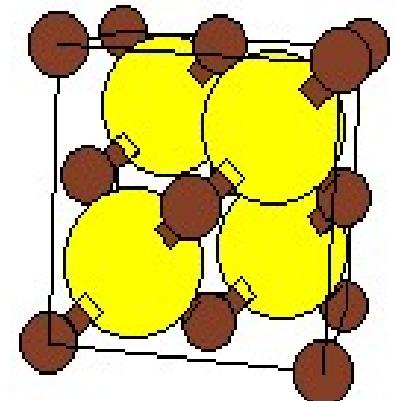
$\alpha = 1.641$



Wurtzite

$z = 4$

$\alpha = 1.638$

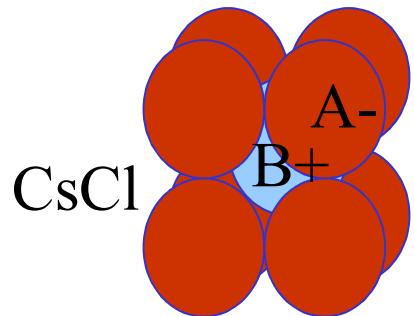


Zincblende

$z = 4$

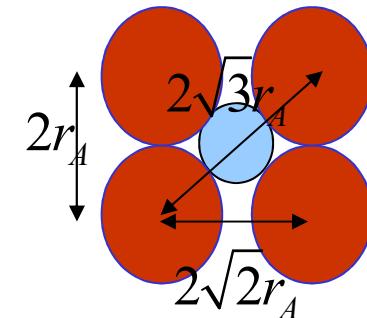
# Ionic radius

---

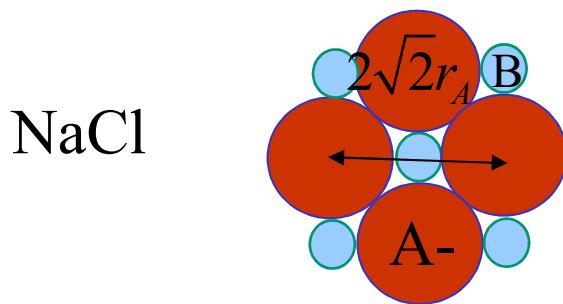


CsCl

CsCl unstable:  $\frac{r_A}{r_B} > \frac{1}{\sqrt{3}-1} = 1.366$



CsCl 110 plane



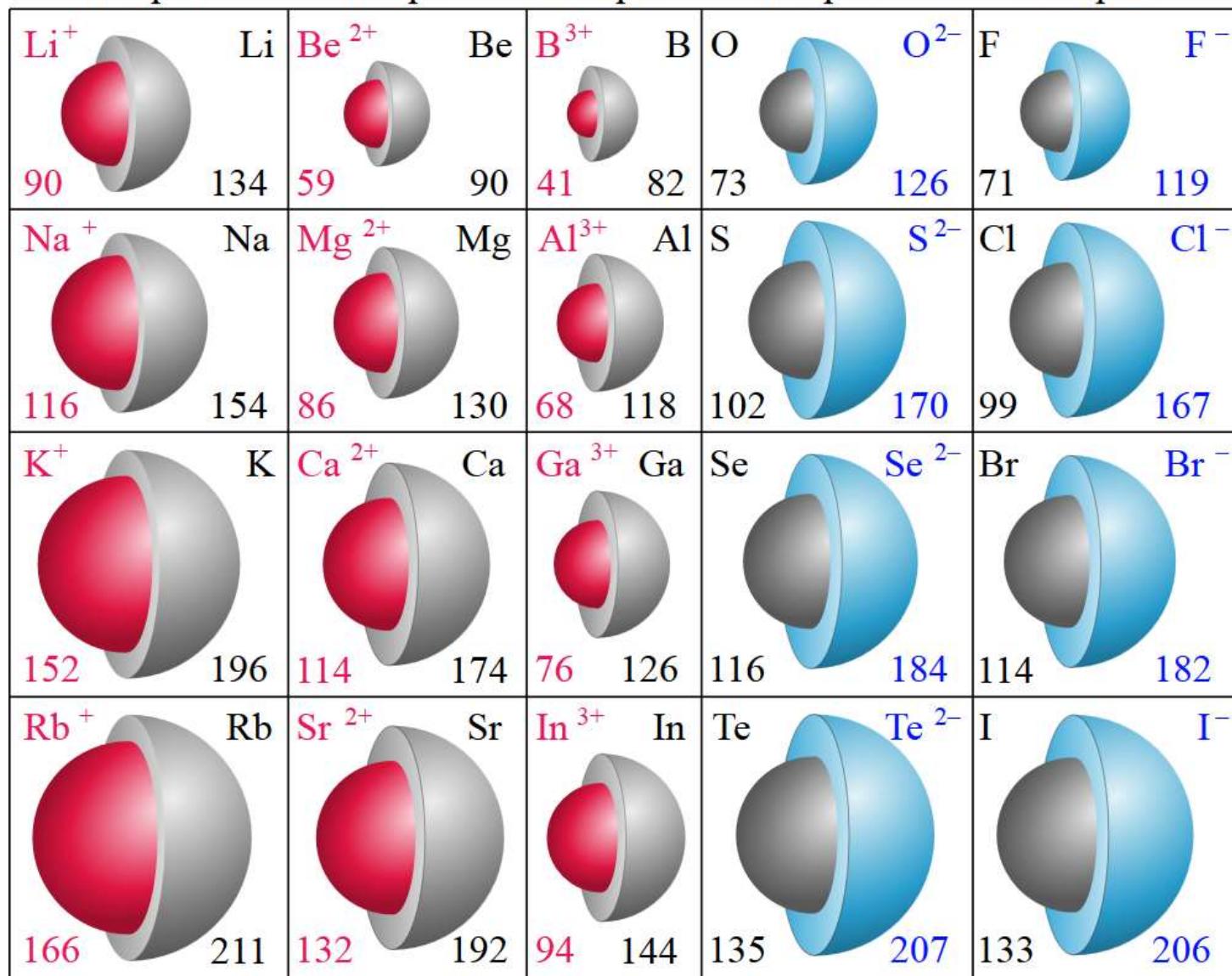
NaCl

NaCl unstable:  $\frac{r_A}{r_B} > \frac{1}{\sqrt{2}-1} = 2.41$

NaCl 100 plane

## Sizes of atoms and their ions in pm

Group 1      Group 2      Group 13      Group 16      Group 17



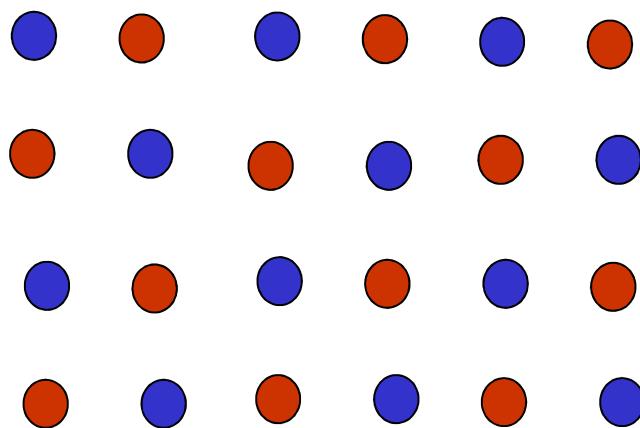
CsCl:

$$\frac{r_A}{r_B} < 1.366$$

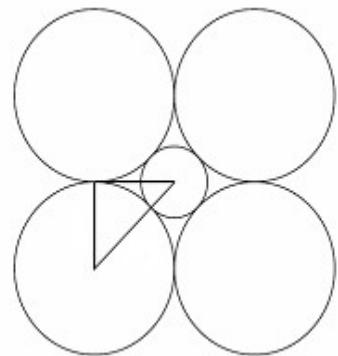
$$\frac{r_{Cl}}{r_{Na}} = 1.44$$

# 2-D crystals

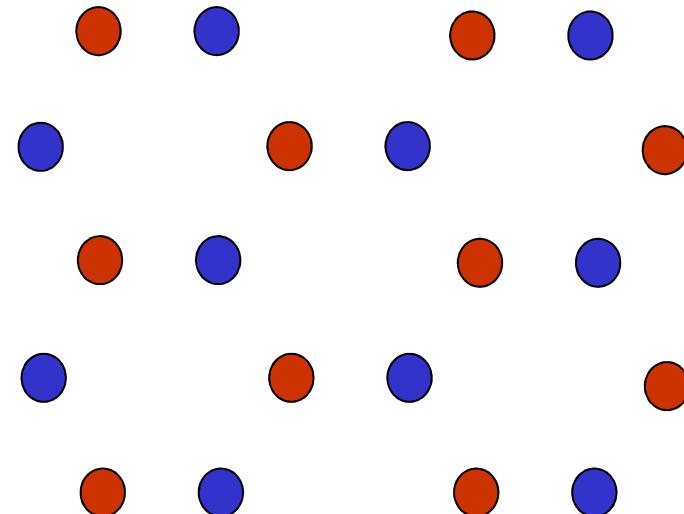
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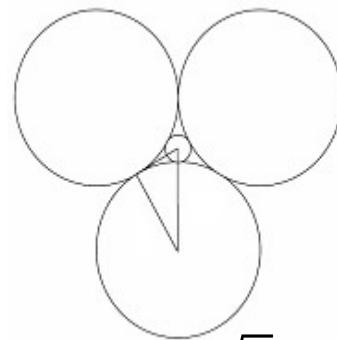
Checkerboard  $\alpha = 1.616$



$$\text{unstable: } \frac{r_A}{r_B} > \frac{1}{\sqrt{2}-1} = 2.41$$



Boron nitride  $\alpha = 1.542$



$$\text{unstable: } \frac{r_A}{r_B} > \frac{\sqrt{3}}{2-\sqrt{3}} = 6.464$$

# Fit the constants $\rho$ and $\lambda$

---

$$U_{tot} = N \left( z\lambda e^{-\frac{R}{\rho}} - \frac{\alpha e^2}{4\pi\varepsilon_0 R} \right)$$

$$\frac{dU_{tot}}{dR} = N \left( -\frac{z\lambda e^{-\frac{R}{\rho}}}{\rho} + \frac{\alpha e^2}{4\pi\varepsilon_0 R^2} \right) = 0$$

$R_0$  is the equilibrium separation

$$R_0^2 e^{-\frac{R_0}{\rho}} = \frac{\alpha e^2 \rho}{4\pi\varepsilon_0 z \lambda}$$

x-ray determination of atomic spacing is accurate to 1 part in  $10^5$

# Elastic constant

---

Near the minimum, the potential energy is approximately a parabola.

$$U_{tot} \approx \frac{1}{2} k (R - R_0)^2$$

$$\frac{dU_{tot}}{dR} \approx k(R - R_0) = -F$$

$$k = \left. \frac{d^2 U_{tot}}{dR^2} \right|_{R=R_0} = \left( \frac{z\lambda e^{-\frac{R_0}{\rho}}}{\rho^2} - \frac{\alpha e^2}{2\pi\epsilon_0 R_0^3} \right)$$

↗  
spring constant of a bond

**Table 7 Properties of alkali halide crystals with the NaCl structure**

All values (except those in square brackets) at room temperature and atmospheric pressure, with no correction for changes in  $R_0$  and  $U$  from absolute zero. Values in square brackets at absolute zero temperature and zero pressure, from private communication by L. Brewer.

	Nearest-neighbor separation $R_0$ in Å	Bulk modulus $B$ , in $10^{11}$ dyn/cm <sup>2</sup> or $10^{10}$ N/m <sup>2</sup>	Repulsive energy parameter $z\lambda$ , in $10^{-8}$ erg	Repulsive range parameter $\rho$ , in Å	Lattice energy compared to free ions, in kcal/mol	
					Experimental	Calculated
LiF	2.014	6.71	0.296	0.291	242.3[246.8]	242.2
LiCl	2.570	2.98	0.490	0.330	198.9[201.8]	192.9
LiBr	2.751	2.38	0.591	0.340	189.8	181.0
LiI	3.000	(1.71)	0.599	0.366	177.7	166.1
NaF	2.317	4.65	0.641	0.290	214.4[217.9]	215.2
NaCl	2.820	2.40	1.05	0.321	182.6[185.3]	178.6
NaBr	2.989	1.99	1.33	0.328	173.6[174.3]	169.2
NaI	3.237	1.51	1.58	0.345	163.2[162.3]	156.6
KF	2.674	3.05	1.31	0.298	189.8[194.5]	189.1
KCl	3.147	1.74	2.05	0.326	165.8[169.5]	161.6
KBr	3.298	1.48	2.30	0.336	158.5[159.3]	154.5
KI	3.533	1.17	2.85	0.348	149.9[151.1]	144.5
RbF	2.815	2.62	1.78	0.301	181.4	180.4
RbCl	3.291	1.56	3.19	0.323	159.3	155.4
RbBr	3.445	1.30	3.03	0.338	152.6	148.3
RbI	3.671	1.06	3.99	0.348	144.9	139.6

Data from various tables by M. P. Tosi, Solid State Physics **16**, 1 (1964).

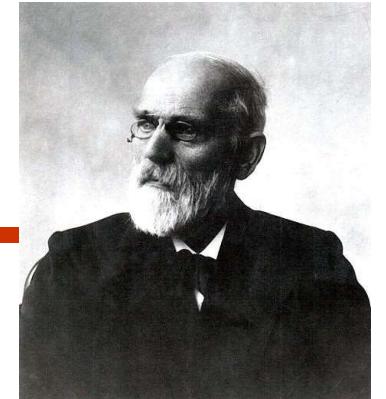
$$B = \frac{1}{V} \frac{dp}{dV} = \frac{1}{\kappa}$$

$\kappa$  is the compressibility

Kittel

# Van der Waals bonds

---



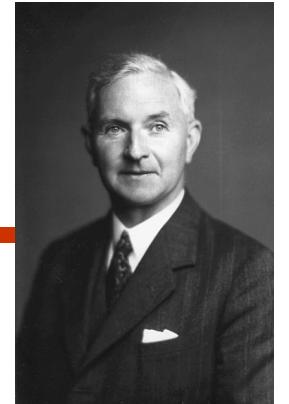
Johannes van der Waals

- Weak bonds  $\sim 0.01$  eV
- Bonding due to fluctuations of the charge
- Responsible for crystals of ideal gasses (usually close -packed)
- Difficult to calculate



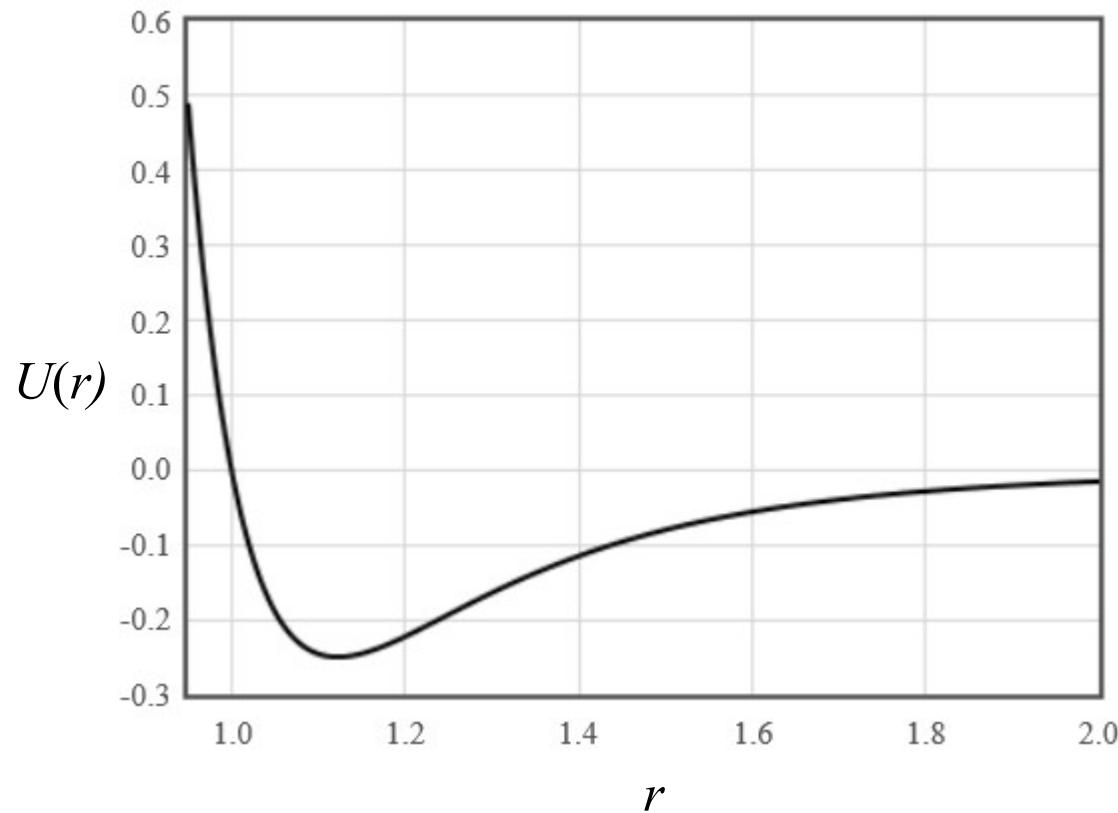
# Lennard – Jones potential

---



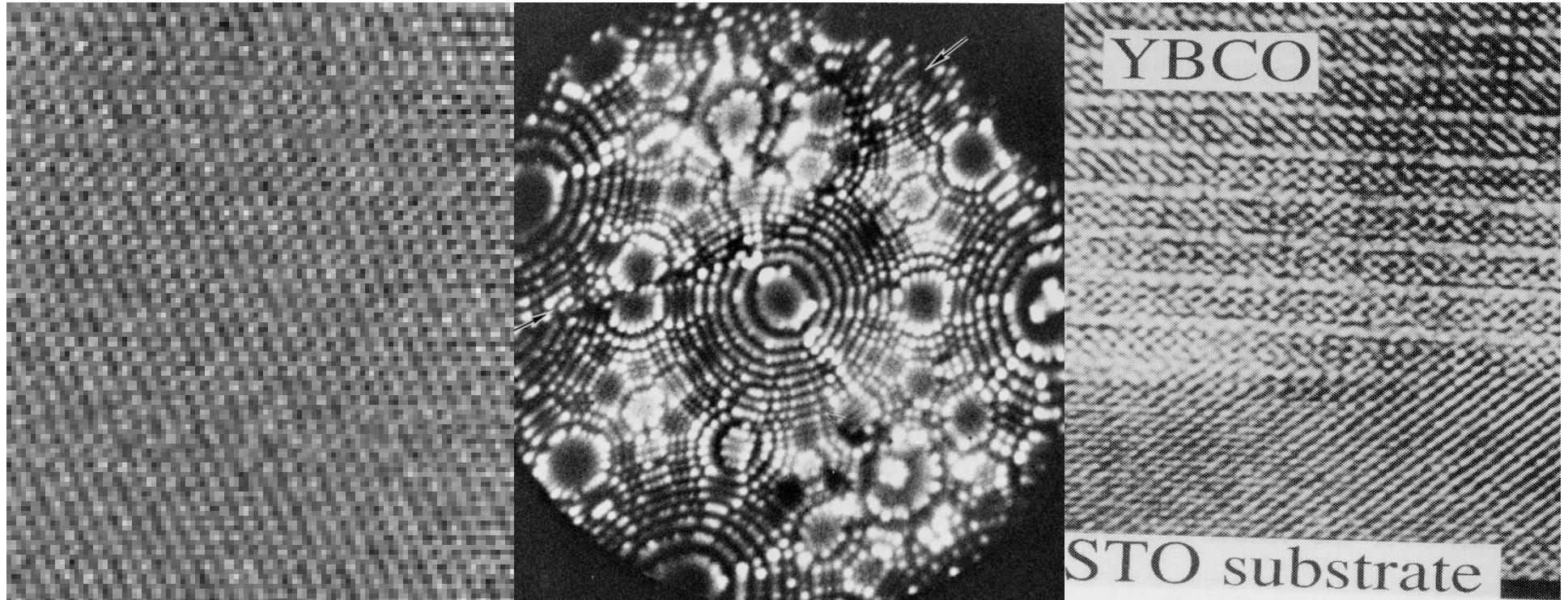
John Lennard-Jones

$$U(r) = 4\epsilon \left( \left( \frac{\sigma}{r} \right)^{12} - \left( \frac{\sigma}{r} \right)^6 \right)$$



# Crystal structure determination

---



Scanning tunneling  
microscope

Field ion microscope

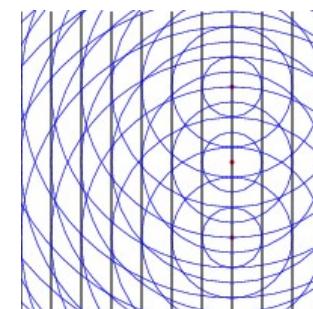
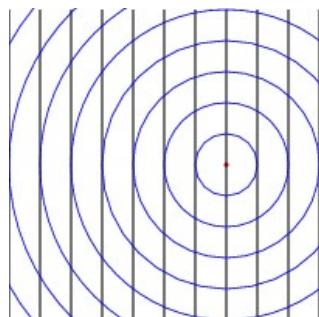
Transmission electron  
microscope

Usually x-ray diffraction is used to determine the crystal structure

# Crystal diffraction (Beugung)

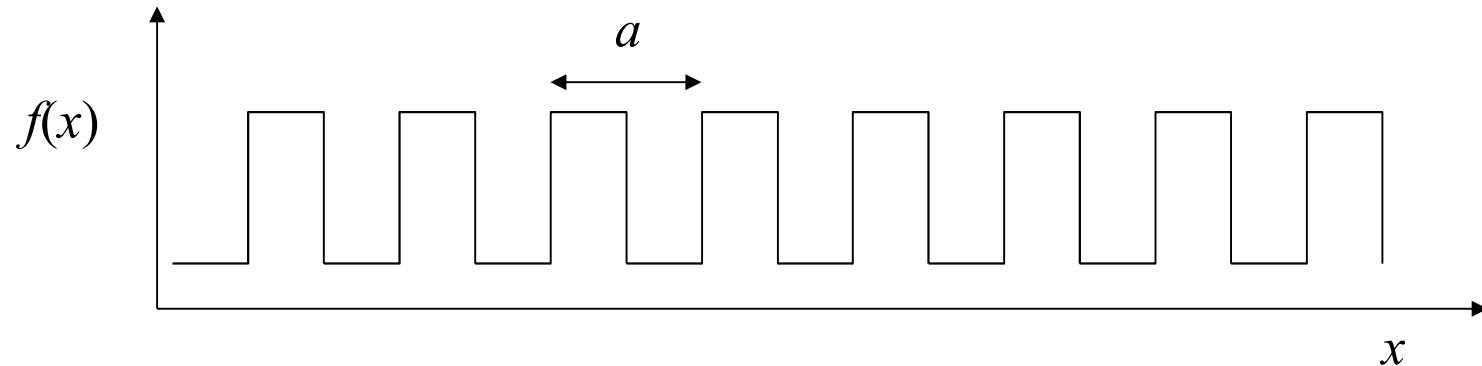
Everything moves like a wave but exchanges energy and momentum as a particle

light	photons
sound	phonons
electron waves	electrons
neutron waves	neutrons
positron waves	positrons
plasma waves	plasmons



# Expanding a 1-d function in a Fourier series

---



Any periodic function can be represented as a Fourier series.

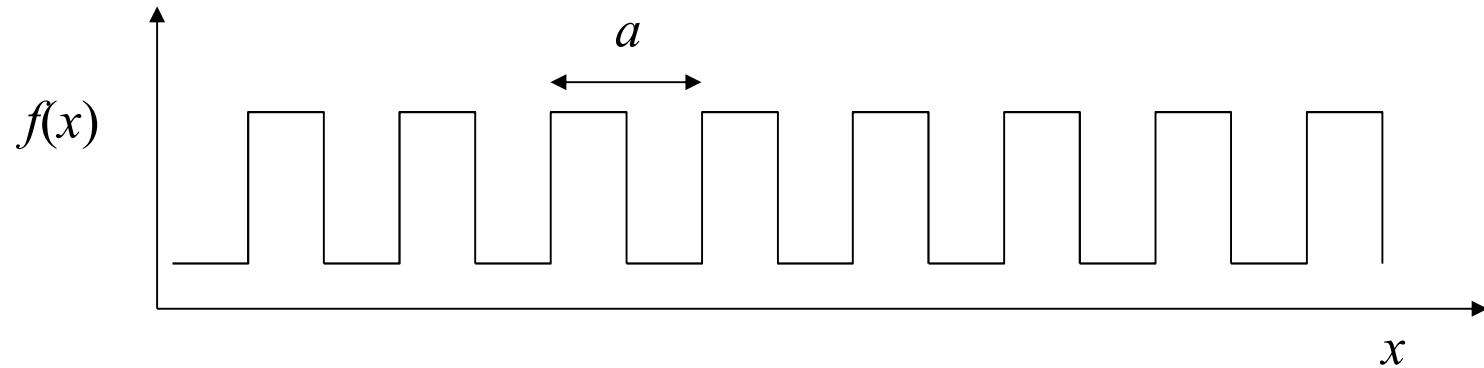
$$f(x) = f_0 + \sum_{p=1}^{\infty} c_p \cos(2\pi px/a) + s_p \sin(2\pi px/a)$$

multiply by  $\cos(2\pi p'x/a)$  and integrate over a period.

$$\int_0^a f(x) \cos(2\pi p/a) dx = c_p \int_0^a \cos(2\pi p/a) \cos(2\pi p/a) dx = \frac{ac_p}{2}$$

$$c_p = \frac{2}{a} \int_0^a f(x) \cos(2\pi p/a) dx$$

# Expanding a 1-d function in a Fourier series



Any periodic function can be represented as a Fourier series.

$$f(x) = f_0 + \sum_{p=1}^{\infty} c_p \cos(2\pi px/a) + s_p \sin(2\pi px/a)$$

$$\cos x = \frac{e^{ix} + e^{-ix}}{2} \quad \sin x = \frac{e^{ix} - e^{-ix}}{2i}$$

$$f(x) = \sum_{G=-\infty}^{\infty} f_G e^{iGx} \quad f_G = \frac{c_p}{2} - i \frac{s_p}{2} \quad G = \frac{2\pi p}{a}$$

For real functions:  $f_G^* = f_{-G}$

reciprocal lattice vector

# Fourier series in 1-D, 2-D, or 3-D

In two or three dimensions, a periodic function can be thought of as a pattern repeated on a Bravais lattice. It can be written as a Fourier series

$$f(\vec{r}) = \sum_{\vec{G}} f_{\vec{G}} e^{i\vec{G} \cdot \vec{r}}$$

Reciprocal lattice vectors  
(depend on the Bravais lattice)

Structure factors  
(complex numbers)

In 1-D:



$$\vec{G} = v\vec{b}$$

$$v = -\infty, \dots, -1, 0, 1, \dots, \infty$$

$$|\vec{b}| = \frac{2\pi}{a}$$

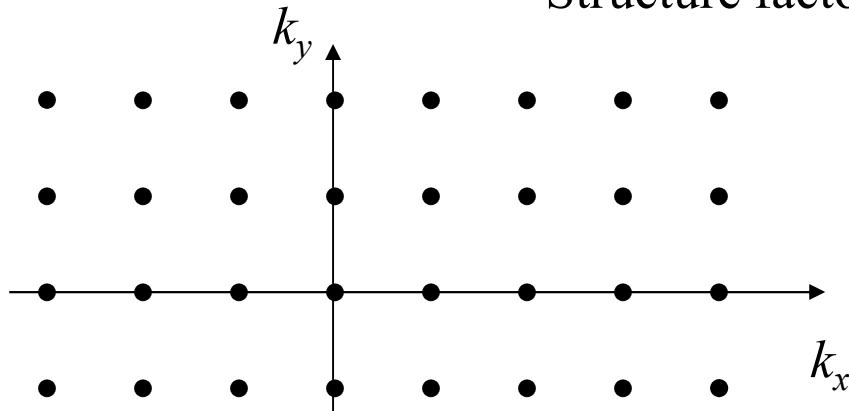
# Reciprocal lattice (Reziprokes Gitter)

Any periodic function can be written as a Fourier series

$$f(\vec{r}) = \sum_{\vec{G}} f_{\vec{G}} e^{i\vec{G} \cdot \vec{r}}$$

↑ Reciprocal lattice vector  $G$

## Structure factor



$$\vec{G} = v_1 \vec{b}_1 + v_2 \vec{b}_2 + v_3 \vec{b}_3$$

$v_i$  integers

$$\vec{b}_1 = 2\pi \frac{\vec{a}_2 \times \vec{a}_3}{\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)}, \quad \vec{b}_2 = 2\pi \frac{\vec{a}_3 \times \vec{a}_1}{\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)}, \quad \vec{b}_3 = 2\pi \frac{\vec{a}_1 \times \vec{a}_2}{\vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)}$$