

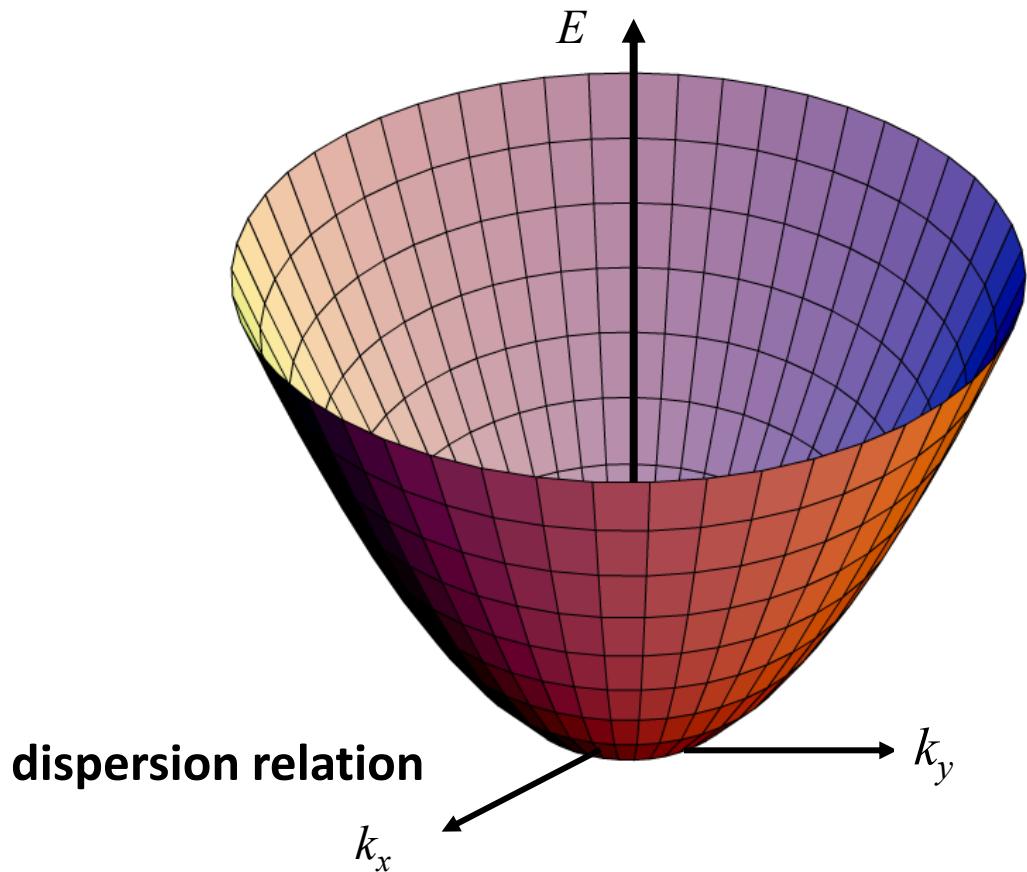
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# Intrinsic semiconductors

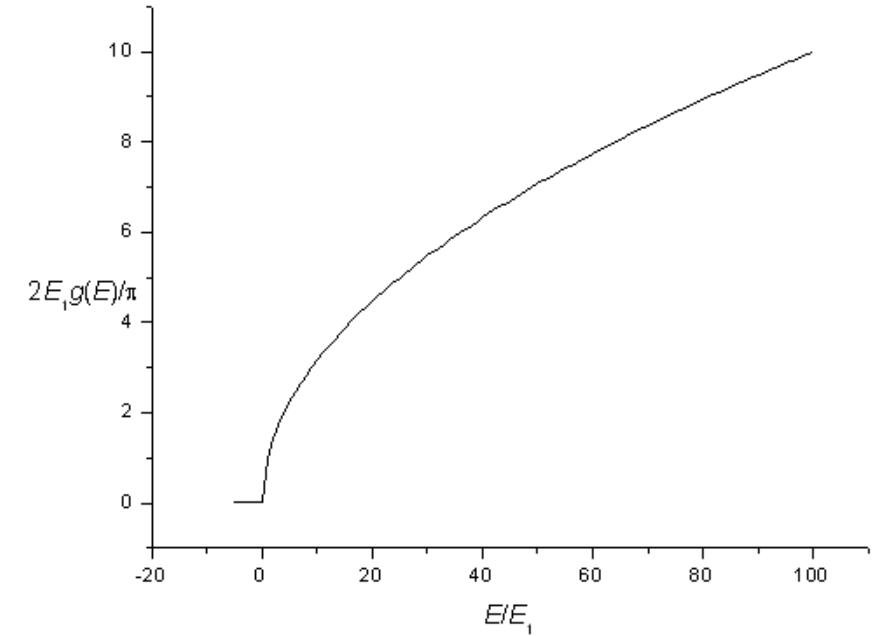
# free electrons (simple model for a metal)

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$$E(\vec{k}) = \frac{\hbar^2}{2m} (k_x^2 + k_y^2 + k_z^2) = \frac{p^2}{2m} = \frac{1}{2} mv^2$$

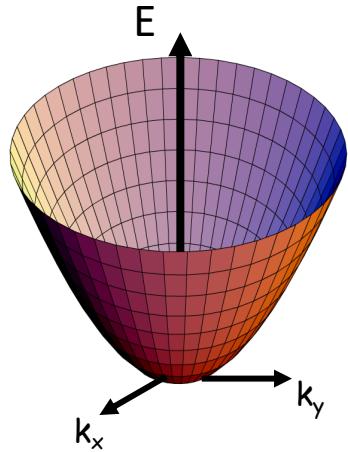


3-d density of states



$$D(E) = \begin{cases} 0 & \text{for } E < 0 \\ \frac{(2m)^{3/2}}{2\pi^2\hbar^3} \sqrt{E} & \text{for } E > 0 \end{cases}$$

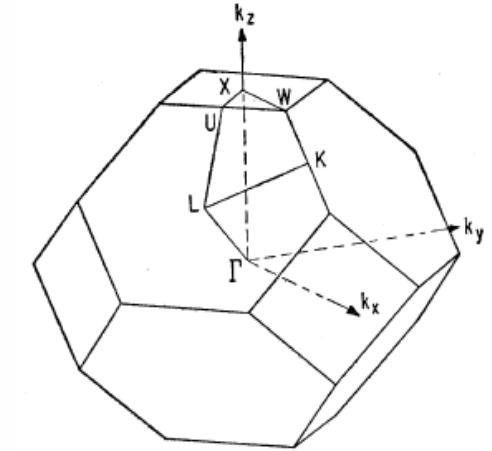
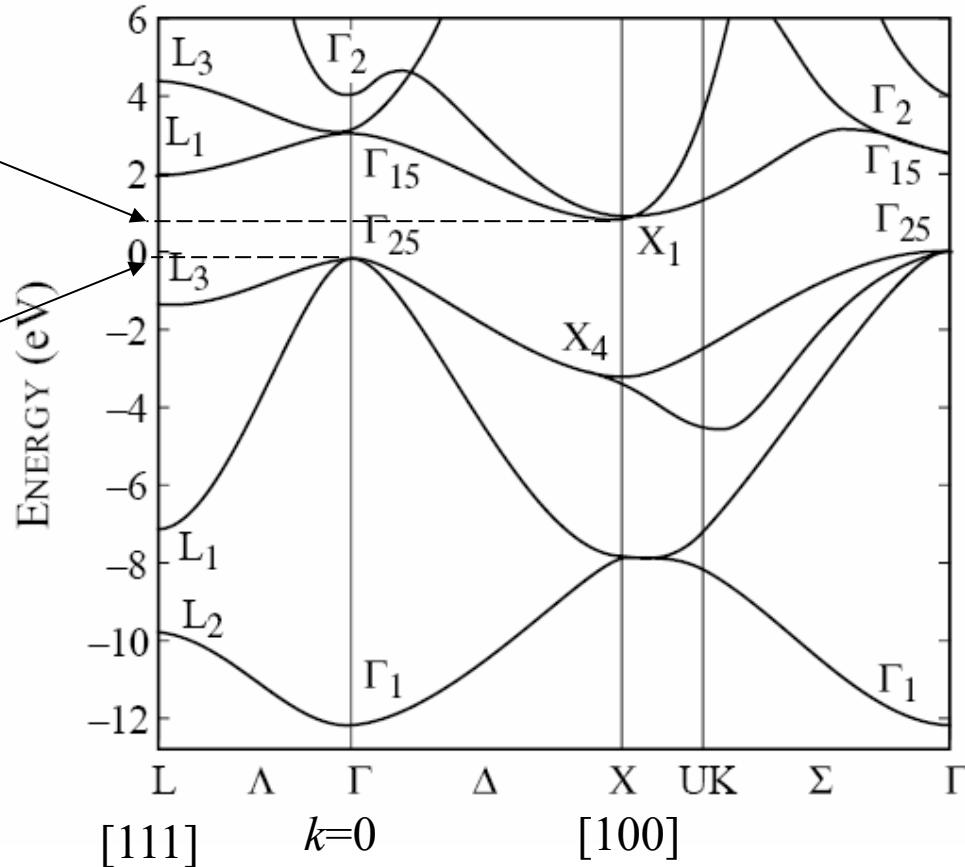
# Silicon band structure



$E_c$  = bottom of the conduction band

$$E_g = E_c - E_v$$

$E_v$  = top of the valence band



near the **bottom of the conduction band**, the band structure looks like a **parabola**.

# Effective mass

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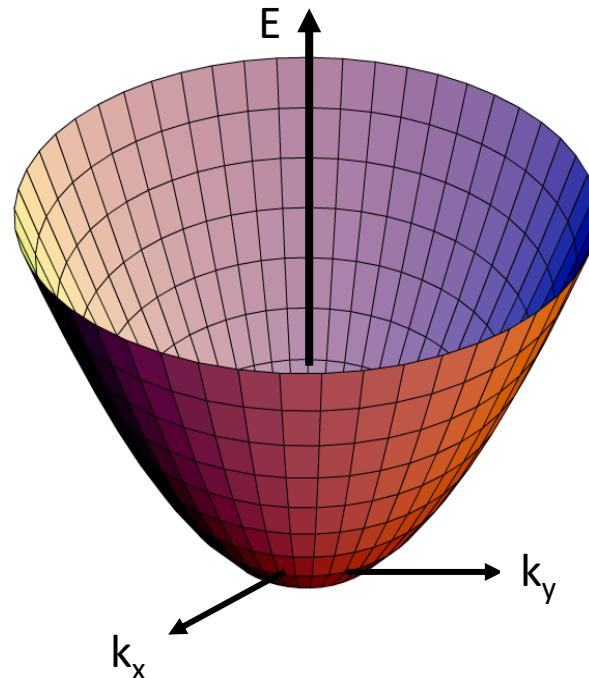
$$E(\vec{k}) = \frac{\hbar^2}{2m} (k_x^2 + k_y^2 + k_z^2) = \frac{p^2}{2m} = \frac{1}{2} m v^2$$

$$\frac{dE(\vec{k})}{dk_x} = \frac{\hbar^2 k_x}{m}$$

$$\frac{d^2E(\vec{k})}{dk_x^2} = \frac{\hbar^2}{m}$$

**Effective mass**

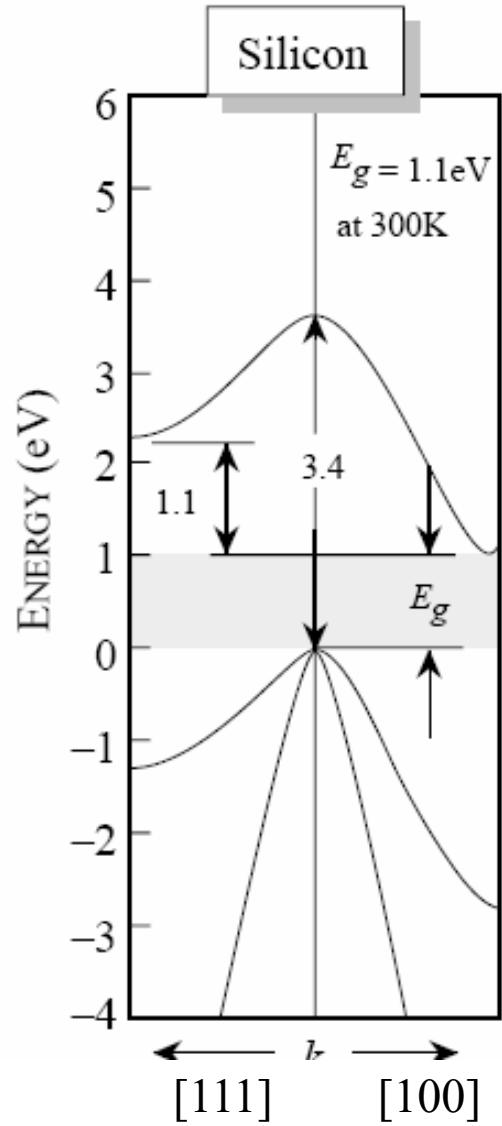
$$m_x^* = \frac{\hbar^2}{\frac{d^2E(\vec{k})}{dk_x^2}}$$



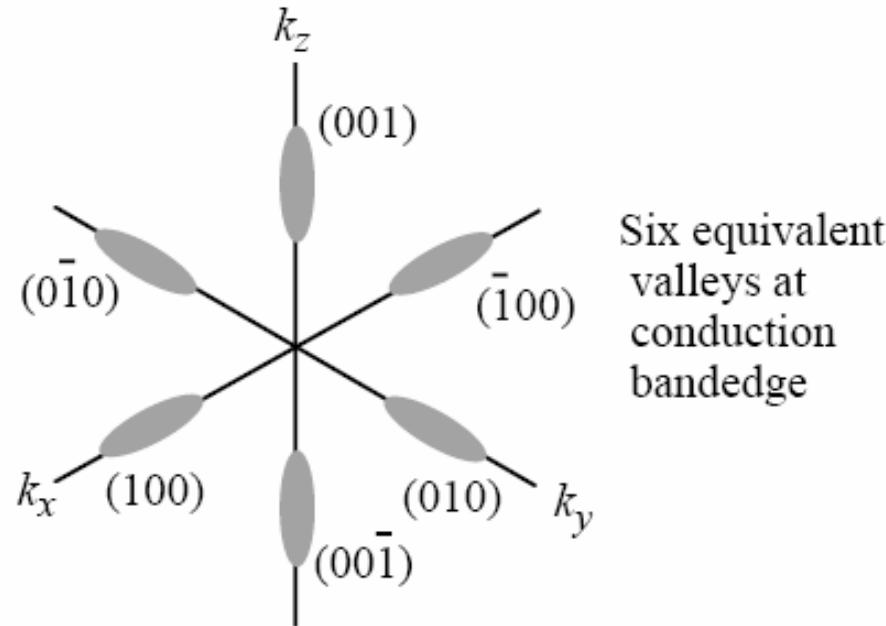
This effective mass is used to describe the response of electrons to external forces in the particle picture.

$$\vec{F} = -e\vec{E} = m^* \vec{a}$$

# Anisotropic effective mass in silicon



The electrons seem to have different masses when the electric field is applied in different directions.



# valence band, holes

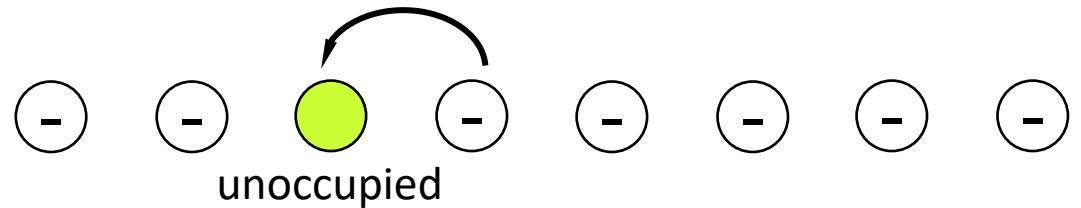
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- When all states in a band are occupied, the band does not contribute to the current.
- There are as many left-moving electrons as right-moving electrons.

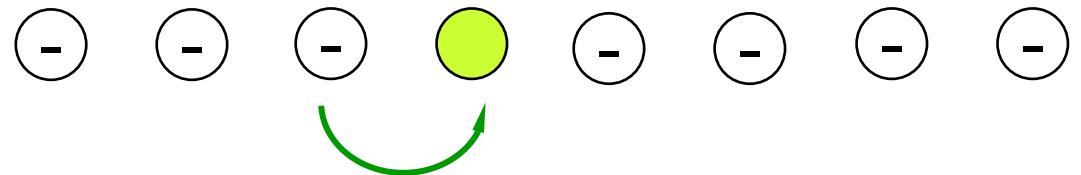
$$I \propto \sum_{\text{occupied } \vec{k}} (-e\vec{v}_{\vec{k}})$$

$$I \propto \sum_{\text{all } \vec{k}} (-e\vec{v}_{\vec{k}}) - \sum_{\text{empty } \vec{k}} (-e\vec{v}_{\vec{k}})$$

$$I \propto \sum_{\text{empty } \vec{k}} e\vec{v}_{\vec{k}}$$



holes move like gaps in a densely packed garage

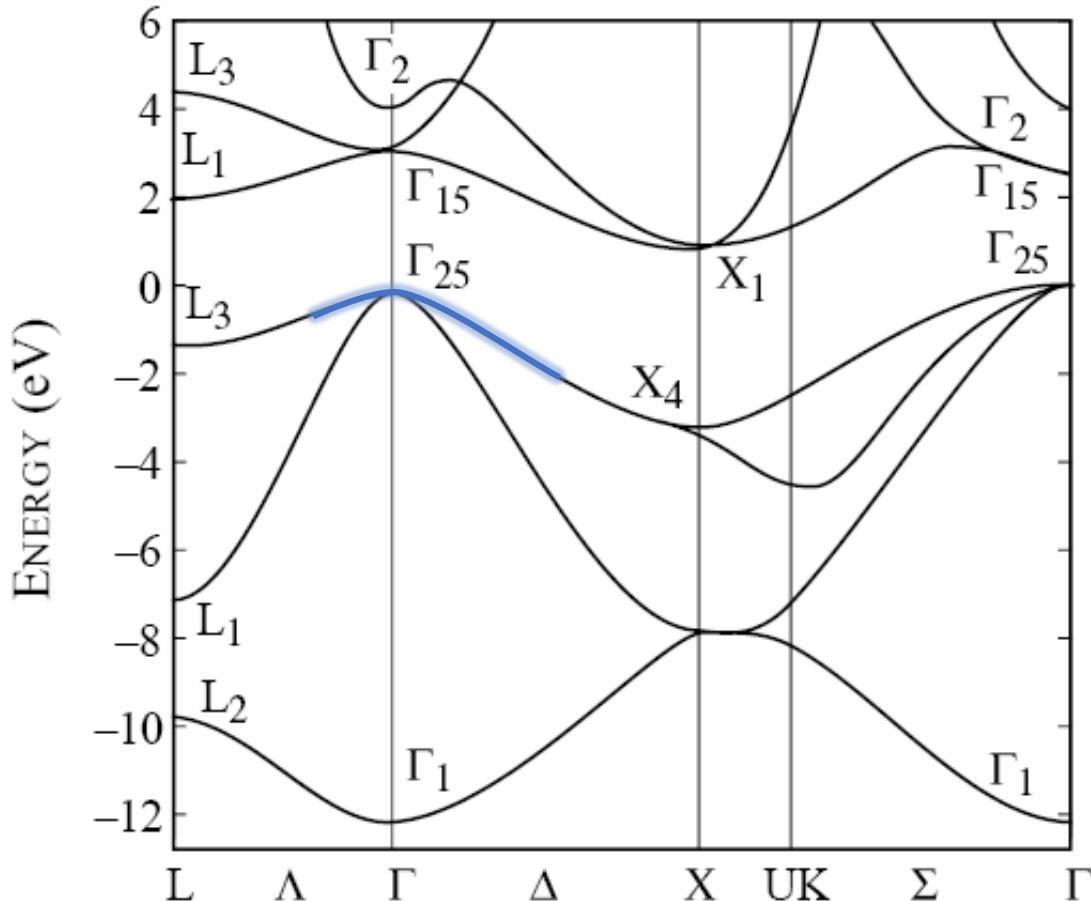


# valence band, holes

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In the valence band, the effective mass is negative.

$$m^* = \frac{\hbar^2}{d^2E(\vec{k})/dk_x^2} < 0$$



# Holes

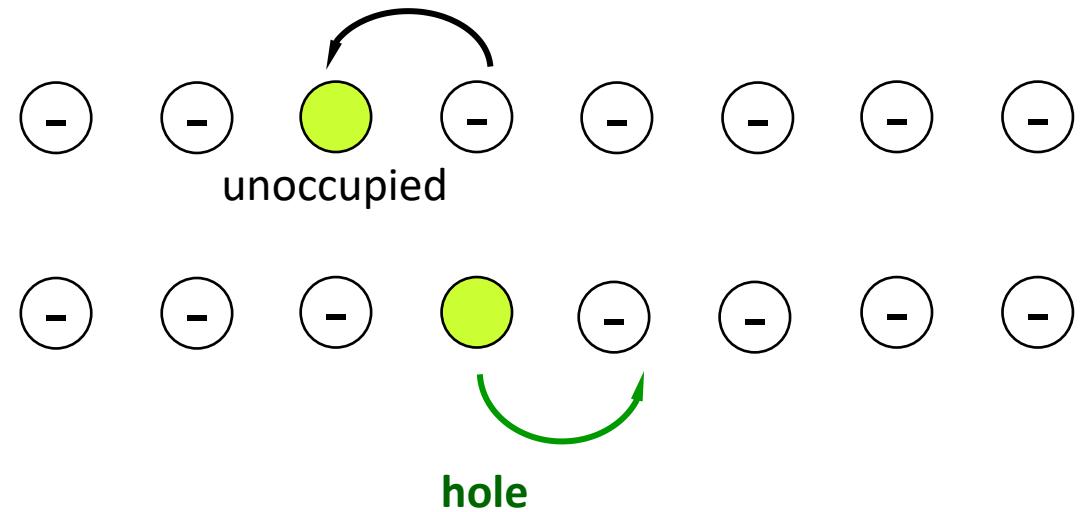
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- Charge carriers in the valence band can be considered to be positively charged holes.
- The number of holes in the valence band is the number of missing electrons.

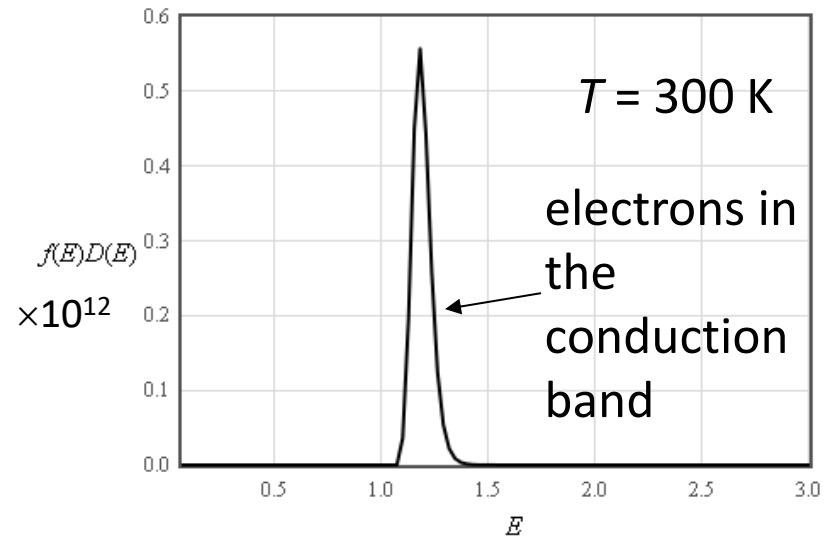
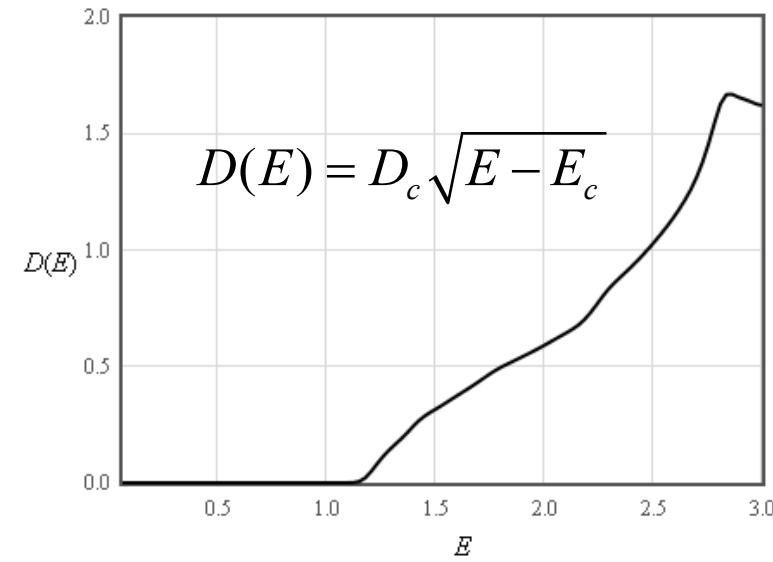
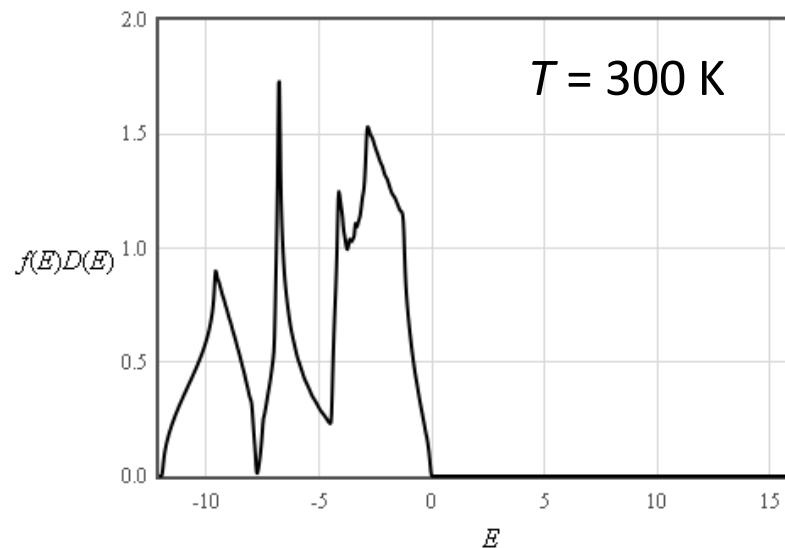
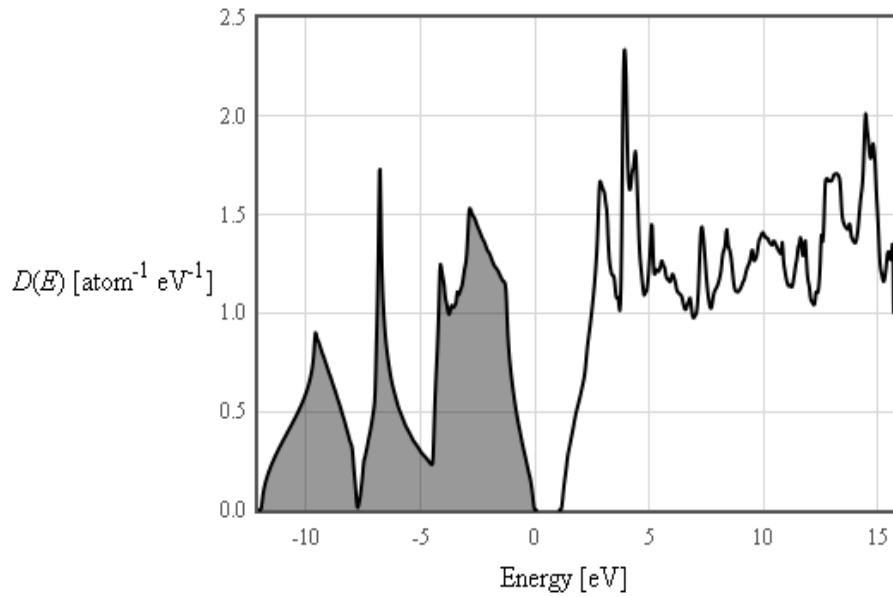
effective mass of holes  $m_h^*$

$$m_h^* = -\frac{\hbar^2}{\frac{d^2 E(\vec{k})}{dk_x^2}}$$

$$\vec{F} = e\vec{E} = m_h^*\vec{a}$$



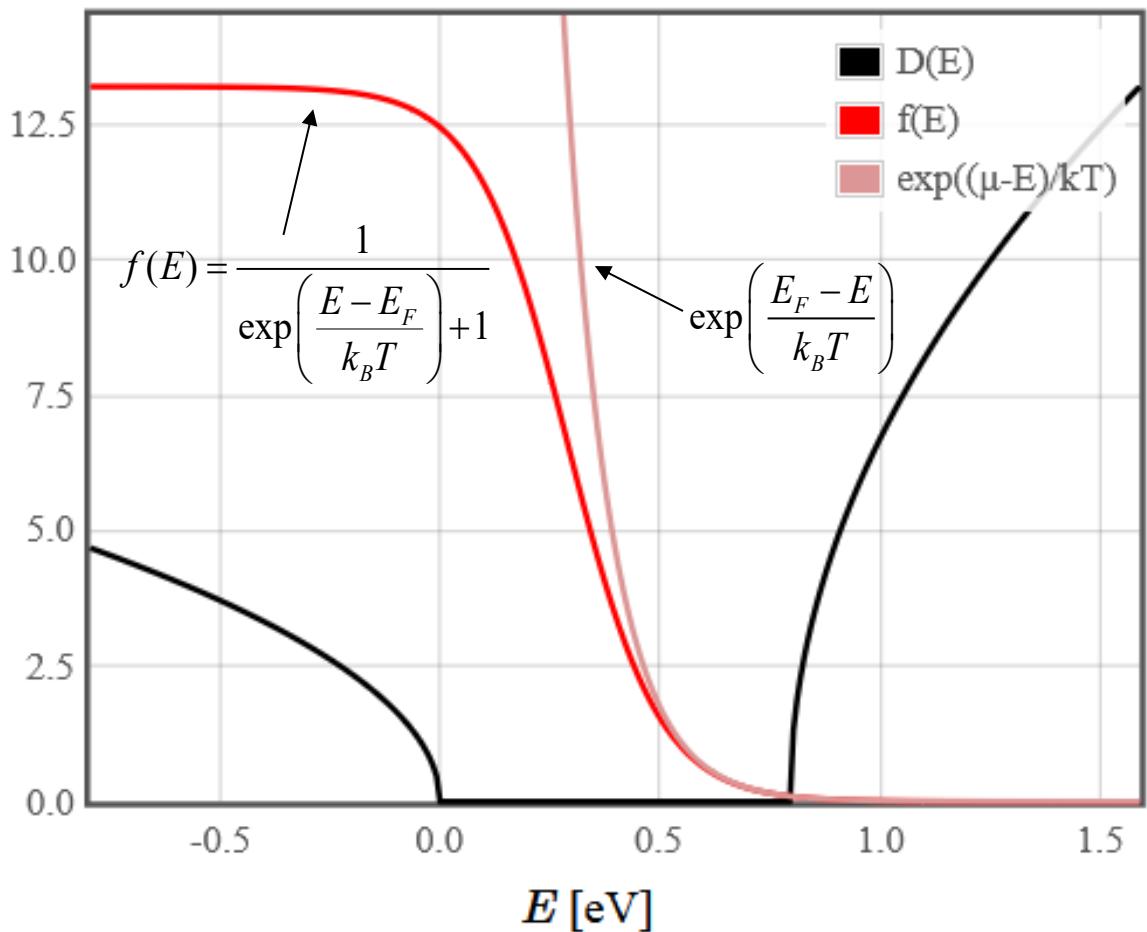
# Silicon density of states



# Boltzmann approximation

$$n = \int_{E_c}^{\infty} D(E)f(E)dE$$

$$D(E) [\text{eV}^{-1} \text{cm}^{-3}] \times 10^{-30}$$



# Density of electrons in the conduction band

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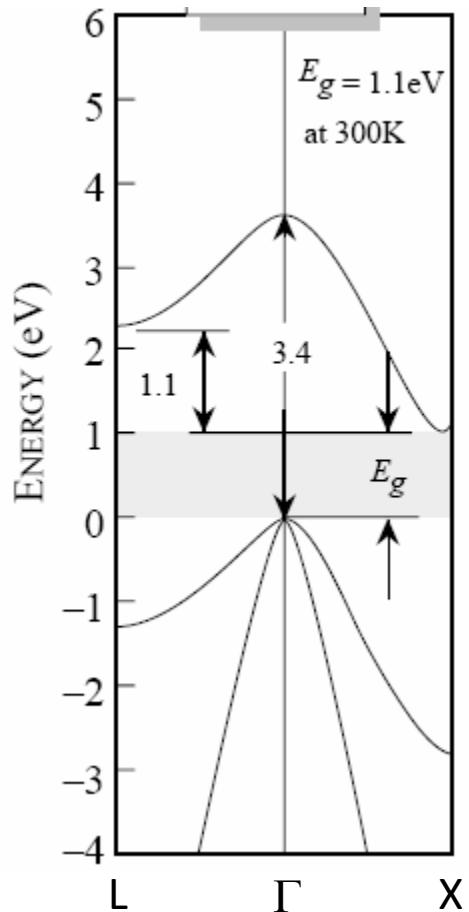
$$n = \int_{E_c}^{\infty} D(E)f(E)dE \approx D_c \int_{E_c}^{\infty} \exp\left(\frac{E_F - E}{k_B T}\right) \sqrt{E - E_c} dE$$

$$x = E - E_c \quad \int_0^{\infty} \sqrt{x} \exp\left(\frac{-x}{k_B T}\right) dx = \frac{2}{\sqrt{\pi}} (k_B T)^{3/2}$$

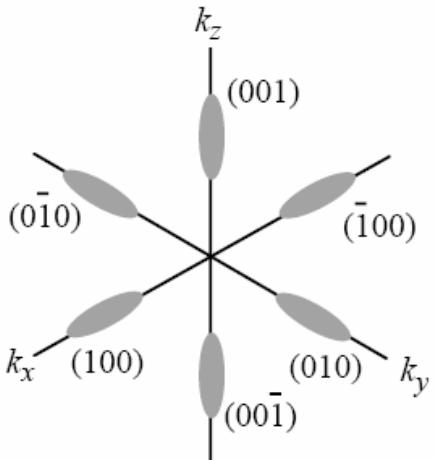
$$n = N_c(T) \exp\left(\frac{E_F - E_c}{k_B T}\right) = \frac{2D_c}{\sqrt{\pi}} (k_B T)^{3/2} \exp\left(\frac{E_F - E_c}{k_B T}\right)$$

$$N_c = \frac{2D_c}{\sqrt{\pi}} (k_B T)^{3/2} = 2 \left( \frac{m^* k_B T}{2\pi\hbar^2} \right)^{3/2} = \text{effective density of states of the conduction band}$$

# Density of electrons in the conduction band



$$n = 2 \left( \frac{m^* k_B T}{2\pi\hbar^2} \right)^{3/2} \exp \left( \frac{E_F - E_c}{k_B T} \right)$$



$$n = N_c \left( \frac{T}{300} \right)^{3/2} \exp \left( \frac{E_F - E_c}{k_B T} \right)$$

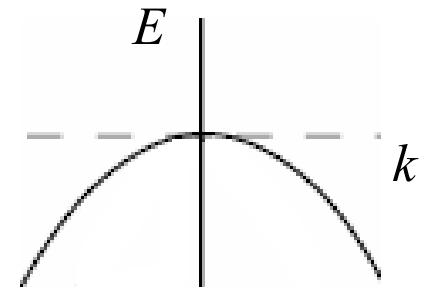
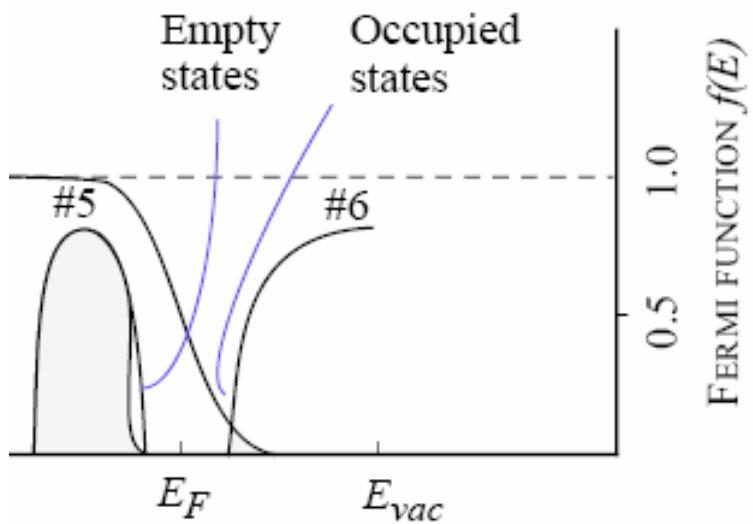
| Properties   | Si                                   | Ge                                   | GaAs                                 |
|--|--------------------------------------|--------------------------------------|--------------------------------------|
| Bandgap $E_g$  | 1.12 eV                              | 0.66 eV                              | 1.424 eV                             |
| Effective density of states in conduction band (300 K) $N_c$ | $2.78 \times 10^{25} \text{ m}^{-3}$ | $1.04 \times 10^{25} \text{ m}^{-3}$ | $4.45 \times 10^{23} \text{ m}^{-3}$ |

# Density of holes in the valence band

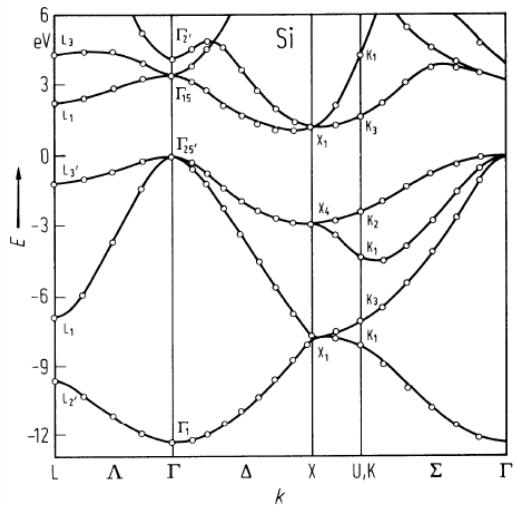
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$$D(E) = D_v \sqrt{E_v - E}$$

$$1 - f(E) = 1 - \frac{1}{1 + \exp\left(\frac{E - E_F}{k_B T}\right)} \approx \exp\left(\frac{E - E_F}{k_B T}\right)$$



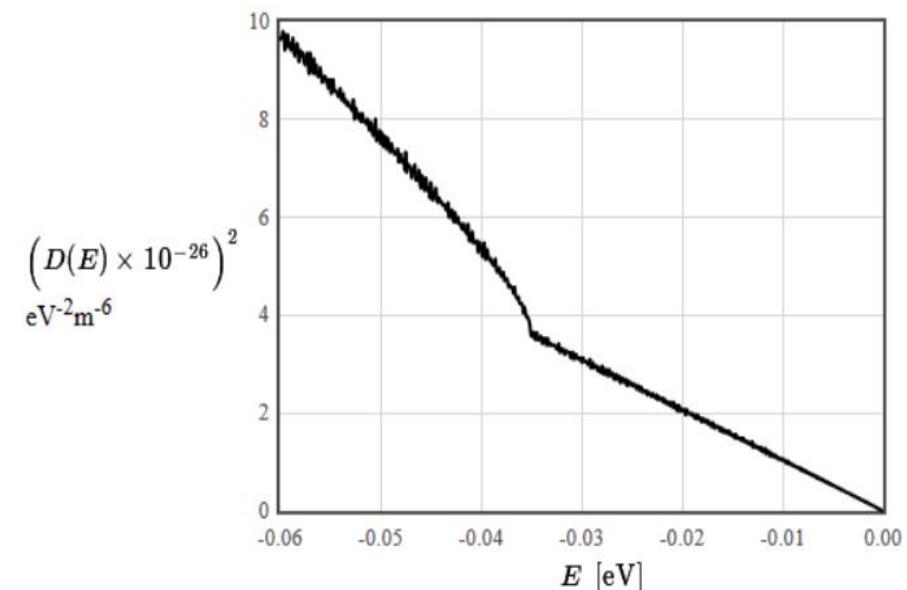
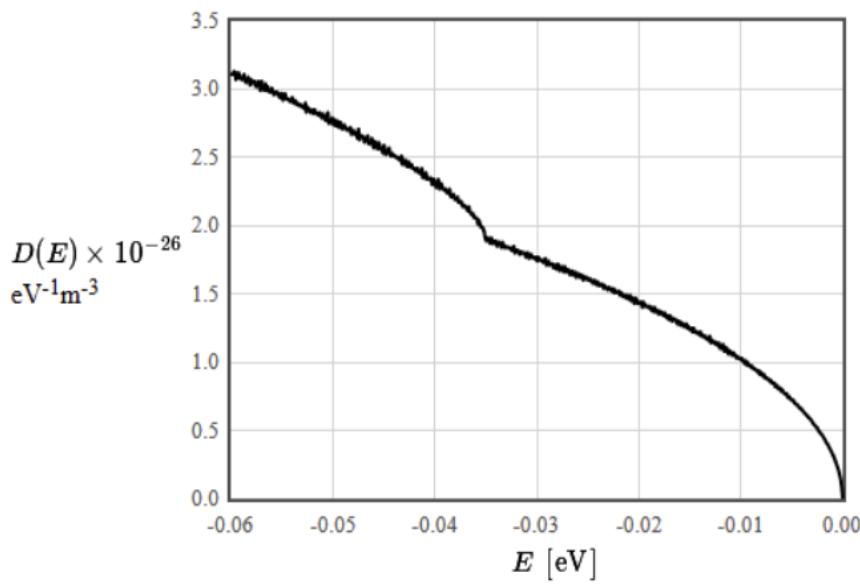
# Silicon valence bands



$$E_{v,lh} = -\frac{\hbar^2}{2m_e} \left( 4.1k^2 + \sqrt{1.21k^4 + 16.81(k_x^2k_y^2 + k_x^2k_z^2 + k_y^2k_z^2)} \right)$$

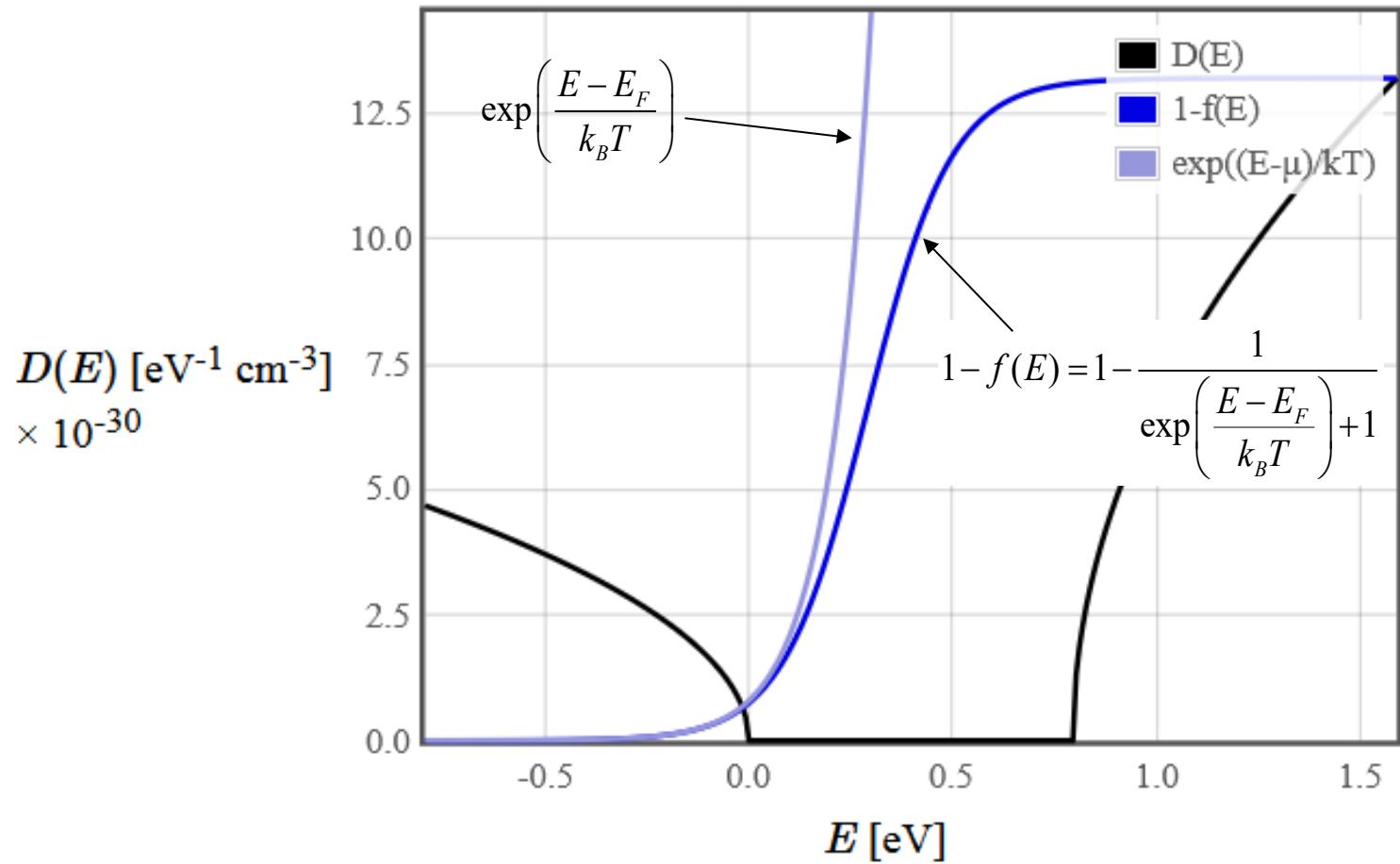
$$E_{v,hh} = -\frac{\hbar^2}{2m_e} \left( 4.1k^2 - \sqrt{1.21k^4 + 16.81(k_x^2k_y^2 + k_x^2k_z^2 + k_y^2k_z^2)} \right)$$

$$E_{v,so} = -E_{so} - \frac{\hbar^2 k^2}{2m_{so}}.$$

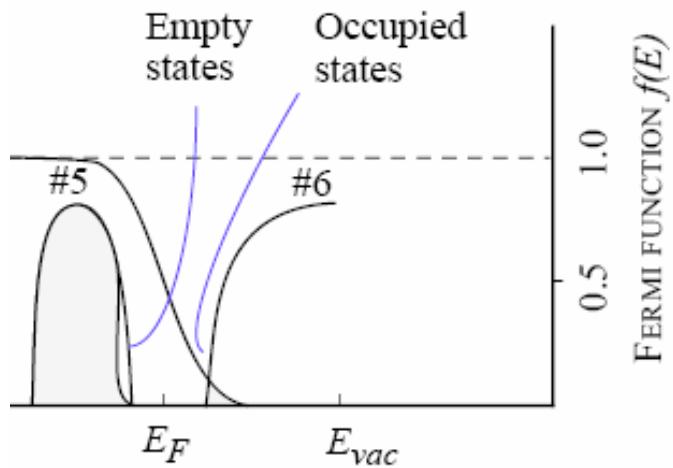


# Boltzmann approximation

$$p = \int_{-\infty}^{E_\nu} D(E)(1 - f(E))dE$$



# Density of holes in the valence band



$$p = \int_{-\infty}^{E_v} D(E)(1 - f(E))dE \approx D_v \int_{-\infty}^{E_v} \exp\left(\frac{E - E_F}{k_B T}\right) \sqrt{E_v - E} dE$$

$$p = N_v \exp\left(\frac{E_v - E_F}{k_B T}\right) = \frac{2D_v}{\sqrt{\pi}} (k_B T)^{3/2} \exp\left(\frac{E_v - E_F}{k_B T}\right)$$

$$N_v = 2 \left( \frac{m_h^* k_B T}{2\pi\hbar^2} \right)^{3/2} = \text{effective density of states in the valence band}$$

## Boltzmann approximation

Near the top of the valence band and the bottom of the conduction band the density of states of a semiconductor can be approximated as,

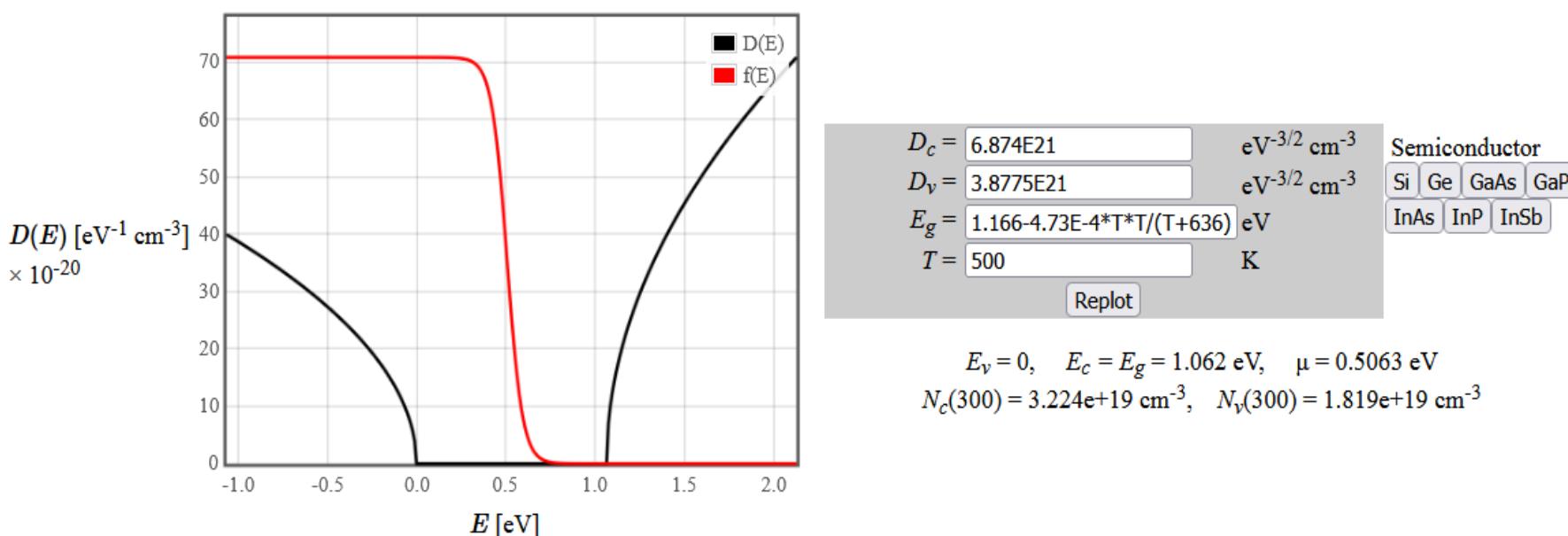
$$D(E) = \begin{cases} D_v\sqrt{E_v - E}, & \text{for } E < E_v \\ 0, & \text{for } E_v < E < E_c \\ D_c\sqrt{E - E_c}, & \text{for } E_c < E \end{cases}$$

Where  $D_v$  and  $D_c$  are constants that describe the form of the density of states near the band edges. Often in the literature, these constants are given in terms of the 'density states effective masses'  $m_h^*$  and  $m_e^*$  or the 'effective density of states at 300 K'  $N_v(300)$  and  $N_c(300)$ . The relations to  $D_v$  and  $D_c$  are,

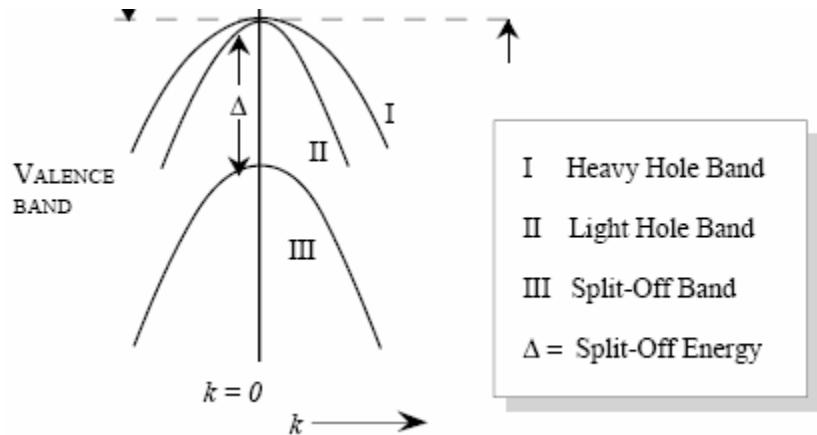
$$D_v = \frac{(2m_h^*)^{3/2}}{2\pi^2\hbar^3} = \frac{\sqrt{\pi}N_v(300)}{2(k_B T)^{3/2}}, \quad D_c = \frac{(2m_e^*)^{3/2}}{2\pi^2\hbar^3} = \frac{\sqrt{\pi}N_c(300)}{2(k_B 300)^{3/2}}.$$

Data for different semiconducting materials can be found in the [NSM Archive](#).

The plot below shows the density of states of various semiconductors in this approximation. The Fermi function is plotted as well. At low energies the value of the Fermi function is 1 and those states are occupied. At high energies the Fermi function goes to zero and those states are unoccupied. In the limit of low temperature, the Fermi energy is at the middle of the band gap,  $E_F = E_g/2$ . As the temperature increases, the Fermi energy moves towards the band with the lower density of states.



# Density of holes in the valence band



$$p = 2 \left( \frac{m_h^* k_B T}{2\pi\hbar^2} \right)^{3/2} \exp\left(\frac{E_v - E_F}{k_B T}\right)$$

$$p = N_v \left( \frac{T}{300} \right)^{3/2} \exp\left(\frac{E_v - E_F}{k_B T}\right)$$

| Properties   | Si                                     | Ge                                      | GaAs                                    |
|--|--|---|---|
| Bandgap $E_g$  | 1.12 eV                                | 0.66 eV                                 | 1.424 eV                                |
| Effective density of states in conduction band (300 K) $N_c$ | $2.78 \times 10^{25} \text{ m}^{-3}$   | $1.04 \times 10^{25} \text{ m}^{-3}$    | $4.45 \times 10^{23} \text{ m}^{-3}$    |
| Effective density of states in valence band (300 K) $N_v$    | $9.84 \times 10^{24} \text{ m}^{-3}$   | $6.0 \times 10^{24} \text{ m}^{-3}$     | $7.72 \times 10^{24} \text{ m}^{-3}$    |
| Effective mass electrons<br>$m^*/m_0$                        | $m_l^* = 0.98$<br>$m_t^* = 0.19$       | $m_l^* = 1.64$<br>$m_t^* = 0.082$       | $m^* = 0.067$                           |
| Effective mass holes<br>$m^*/m_0$                            | $m_{lh}^* = 0.16$<br>$m_{hh}^* = 0.49$ | $m_{lh}^* = 0.044$<br>$m_{hh}^* = 0.28$ | $m_{lh}^* = 0.082$<br>$m_{hh}^* = 0.45$ |
| Crystal structure  | diamond                                | diamond                                 | zincblende                              |
| Density  | 2.328 g/cm <sup>3</sup>                | 5.3267 g/cm <sup>3</sup>                | 5.32 g/cm <sup>3</sup>                  |
| Atoms/m <sup>3</sup>   | $5.0 \times 10^{28}$                   | $4.42 \times 10^{28}$                   | $4.42 \times 10^{28}$                   |

# New Semiconductor Materials. Biology systems.

## Characteristics and Properties

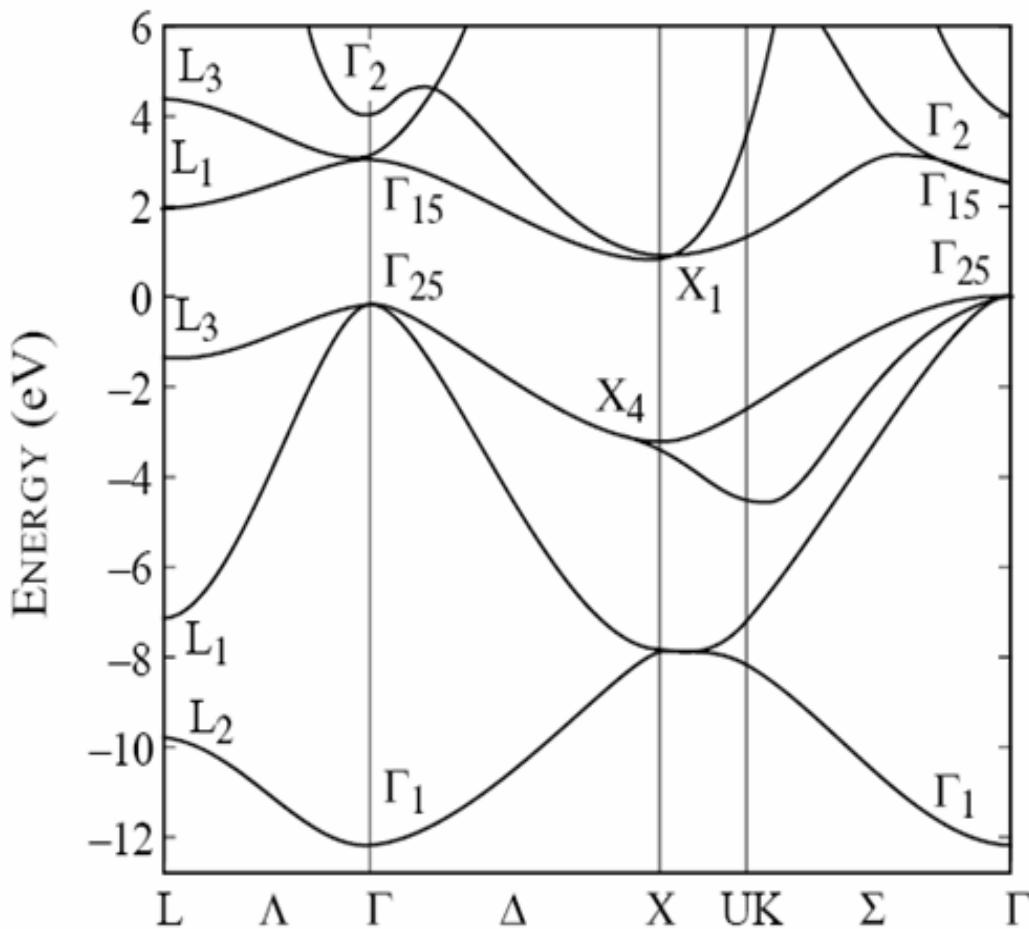
| Semiconductors<br>database | n,k<br>InGaAsP | Levels | Equivalents | Bibliografic database |
|----------------------------|----------------|--------|-------------|-----------------------|
|----------------------------|----------------|--------|-------------|-----------------------|

## NSM Archive - Physical Properties of Semiconductors

|                                      |                              |                                     |                               |
|--------------------------------------|------------------------------|-------------------------------------|-------------------------------|
| Si                                   | - Silicon                    | Ge                                  | - Germanium                   |
| GaP                                  | - Gallium Phosphide          | GaAs                                | - Gallium Arsenide            |
| InAs                                 | - Indium Arsenide            | C                                   | - Diamond                     |
| GaSb                                 | - Gallium Antimonide         | InSb                                | - Indium Antimonide           |
| InP                                  | - Indium Phosphide           | GaAs <sub>1-x</sub> Sb <sub>x</sub> | - Gallium Arsenide Antimonide |
| Al <sub>x</sub> Ga <sub>1-x</sub> As | - Aluminium Gallium Arsenide |                                     |                               |
| AlN                                  | - Aluminium Nitride          | InN                                 | - Indium Nitride              |
| BN                                   | - Boron Nitride              | GaN                                 | - Gallium Nitride             |

# Exam March 2007 Problem 1

The band structure of a semiconductor is shown below. The zero of energy is chosen to be the top of the valence band.



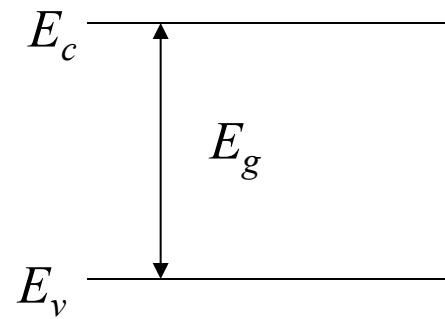
- Is this a direct or an indirect semiconductor? Why?
- What is the band gap?
- What are light holes and heavy holes? Explain how you can determine the effective mass of the holes from this diagram.

# Law of mass action

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$$np = N_c \exp\left(\frac{E_F - E_c}{k_B T}\right) N_v \exp\left(\frac{E_v - E_F}{k_B T}\right)$$

$$np = N_c N_v \exp\left(\frac{-E_g}{k_B T}\right)$$



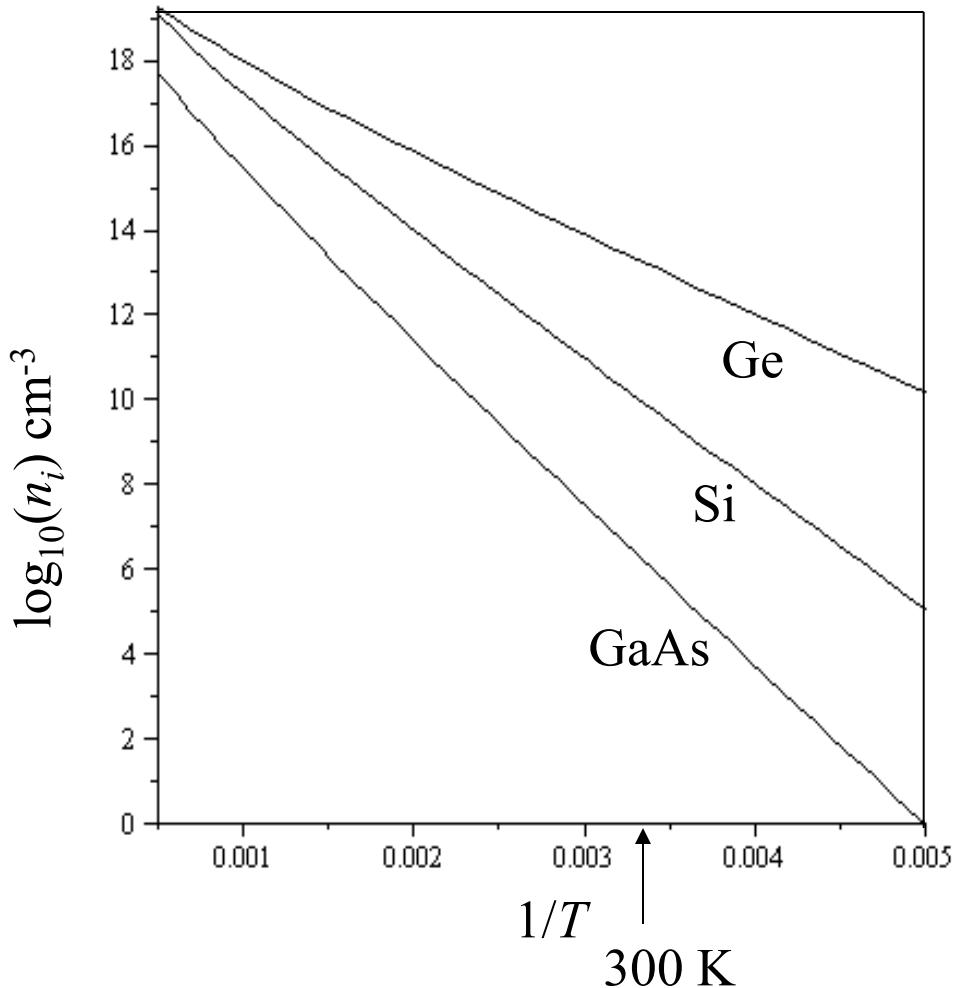
For intrinsic semiconductors (no impurities)

$$n = p = n_i = \sqrt{N_c N_v} \exp\left(\frac{-E_g}{2k_B T}\right)$$

intrinsic carrier density

# Intrinsic carrier concentration

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$$n_i = \sqrt{N_v N_c \left( \frac{T}{300} \right)^3} \exp\left(-\frac{E_g}{2k_B T}\right)$$

Silicon has  $\sim 5 \times 10^{22}$  atoms/ $\text{cm}^3$

Good for thermometer, bad for designing circuits.

# Fermi energy of an intrinsic semiconductor

$$E_F = \frac{E_c + E_v}{2} + \frac{k_B T}{2} \ln \left( \frac{N_v}{N_c} \right)$$

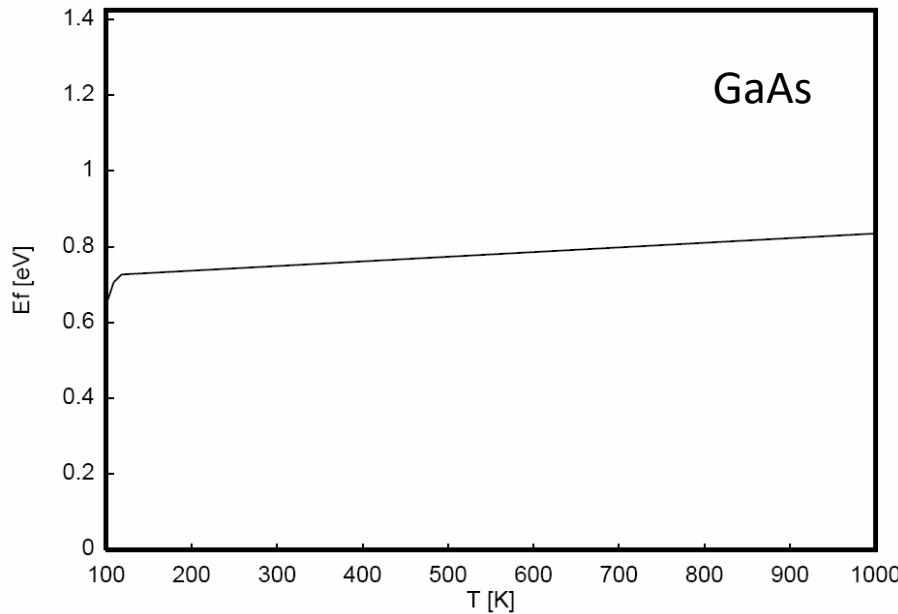
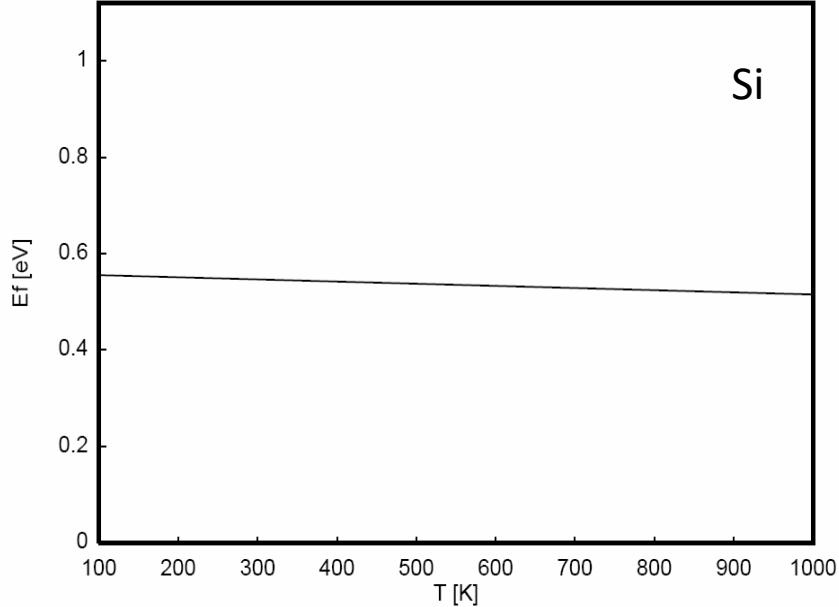
$$n = p \Rightarrow N_c \exp \left( \frac{E_F - E_c}{k_B T} \right) = N_v \exp \left( \frac{E_v - E_F}{k_B T} \right)$$

$$\frac{N_v}{N_c} = \exp \left( \frac{E_F - E_c - E_v + E_F}{k_B T} \right)$$

$$\frac{2E_F}{k_B T} = \frac{E_c + E_v}{k_B T} + \ln \left( \frac{N_v}{N_c} \right)$$

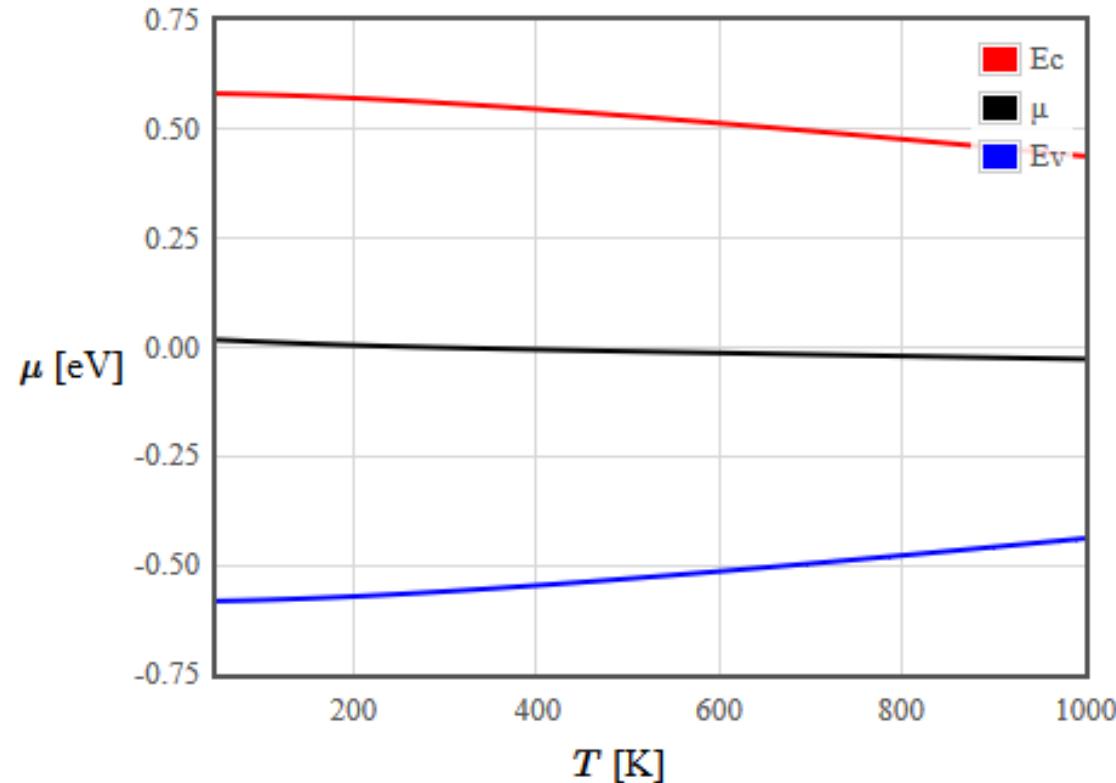
# Temperature dependence of $E_F$

$$E_F = \frac{E_c + E_v}{2} + \frac{k_B T}{2} \ln \left( \frac{N_v}{N_c} \right)$$



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# Intrinsic semiconductors



Calculator for intrinsic carrier density and energy gap of semiconductors

Given parameters:

- $N_c(300 \text{ K}) = 2.78\text{E}19 \text{ } 1/\text{cm}^3$
- $N_v(300 \text{ K}) = 9.84\text{E}18 \text{ } 1/\text{cm}^3$
- $N_{so}(300 \text{ K}) = 2.98\text{E}18 \text{ } 1/\text{cm}^3$
- $E_g = 1.166 - 4.73\text{E}-4 \cdot T \cdot T / (T + 636) \text{ eV}$
- $E_v - E_{so} = 0.044 \text{ eV}$
- $T_1 = 50 \text{ K}$
- $T_2 = 1000 \text{ K}$

Semiconductor selection:

- Si
- Ge
- GaAs

Replot