

Symmetries, Crystal physics

Cubic crystals

All second rank tensors of cubic crystals reduce to constants

Electrical conductivity, thermal conductivity, electric susceptibility, magnetic susceptibility, Peltier effect (heat current due to electrical current), Seebeck effect (Electric field due to thermal gradient)

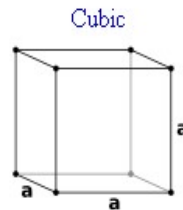
216: ZnS, GaAs, GaP, InAs, SiC

221: CsCl, cubic perovskite

225: Al, Cu, Ni, Ag, Pt, Au, Pb, NaCl

227: C, Si, Ge, spinel

229: Na, K, Cr, Fe, Nb, Mo, Ta



23	T	195-199		12
$m\bar{3}$	T_h	200-206		24
432	O	207-214		24
$\bar{4}3m$	T_d	215-220	216: Zincblende, ZnS, GaAs, GaP, InAs, SiC	24
$m\bar{3}m$	O_h	221-230	221: CsCl, cubic perovskite 225: fcc, Al, Cu, Ni, Ag, Pt, Au, Pb, γ -Fe, NaCl 227: diamond, C, Si,	48

$$\begin{bmatrix} \xi_{11} & 0 & 0 \\ & \xi_{11} & 0 \\ & & \xi_{11} \end{bmatrix}$$

Piezoelectricity (rank 3 tensor)

AFM's, STM's

Quartz crystal oscillators

Surface acoustic wave generators

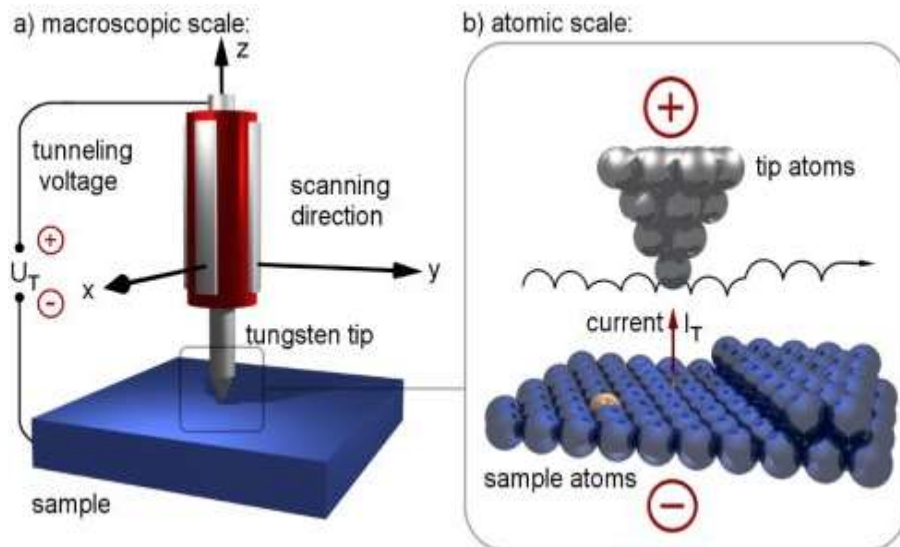
Pressure sensors - Epcos

Fuel injectors - Bosch

Inkjet printers

$$\frac{\partial P_k}{\partial \sigma_{ij}} = \frac{\partial \varepsilon_{ij}}{\partial E_k} = - \left(\frac{\partial^2 G}{\partial E_k \partial \sigma_{ij}} \right) = d_{ijk}$$

No inversion symmetry



lead zirconate titanate ($\text{Pb}[\text{Zr}_x\text{Ti}_{1-x}]\text{O}_3$ $0 < x < 1$)

—more commonly known as PZT

barium titanate (BaTiO_3)

lead titanate (PbTiO_3)

potassium niobate (KNbO_3)

lithium niobate (LiNbO_3)

lithium tantalate (LiTaO_3)

sodium tungstate (Na_2WO_3)

$\text{Ba}_2\text{NaNb}_5\text{O}_{15}$

$\text{Pb}_2\text{KNb}_5\text{O}_{15}$

Piezoelectric crystal classes: 1, 2, m, 222, mm2, 4, -4, 422, 4mm, -42m, 3, 32, 3m, 6, -6, 622, 6mm, -62m, 23, -43m

Electrostriction

$$\frac{\partial P_k}{\partial \sigma_{ij}} = \frac{\partial \epsilon_{ij}}{\partial E_k} = - \left(\frac{\partial^2 G}{\partial E_k \partial \sigma_{ij}} \right) = d_{ijk}$$

$$\epsilon_{ij} = d_{ijk} E_k + Q_{ijkl} E_k E_l + \dots$$

piezoelectricity

Electrostriction

Nonlinear optics

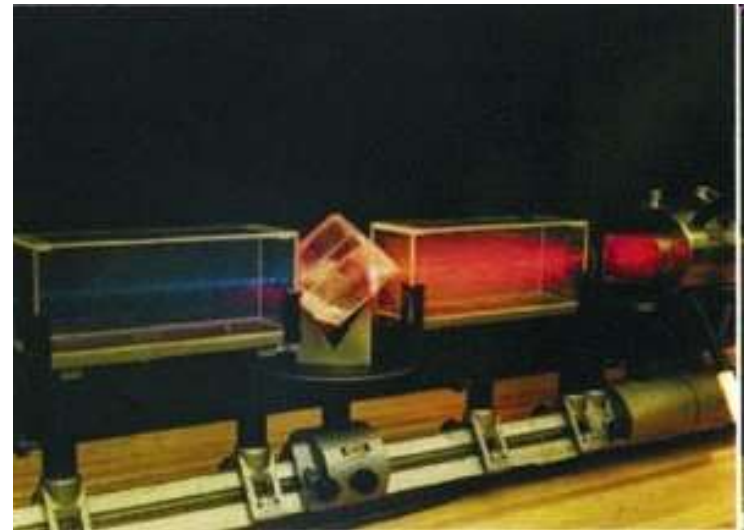
Period doubling crystals

no inversion symmetry

$$P = \chi^{(1)} E + \chi^{(2)} E^2 + \chi^{(3)} E^3 + \dots$$

$$P_i = \frac{-\partial^2 G}{\partial E_i \partial E_j} E_j + \frac{1}{2} \frac{-\partial^3 G}{\partial E_i \partial E_j \partial E_k} E_j E_k + \dots$$

$$\cos^2(\omega t) = \frac{1}{2}(1 + \cos(2\omega t))$$



806 nm light : lithium iodate (LiIO_3)

860 nm light : potassium niobate (KNbO_3)

980 nm light : KNbO_3

1064 nm light : monopotassium phosphate (KH_2PO_4 , KDP), lithium triborate (LBO).

1300 nm light : gallium selenide (GaSe)

1319 nm light : KNbO_3 , BBO, KDP, lithium niobate (LiNbO_3), LiIO_3

Symmetric Tensors

$$\chi_{ij}^E = \frac{\partial P_i}{\partial E_j} = -\frac{\partial^2 G}{\partial E_i \partial E_j} = \frac{\partial P_j}{\partial E_i} = \chi_{ji}^E$$

$$\begin{bmatrix} g_{11} & g_{12} & g_{13} \\ g_{12} & g_{22} & g_{23} \\ g_{13} & g_{23} & g_{33} \end{bmatrix}$$

Tensor notation

We need a way to represent 3rd and 4th rank tensors in 2-d.

$$1\ 1 \rightarrow 1 \quad 1\ 2 \rightarrow 6 \quad 1\ 3 \rightarrow 5$$

$$2\ 2 \rightarrow 2 \quad 2\ 3 \rightarrow 4$$

$$3\ 3 \rightarrow 3$$

rank 3

$$\mathcal{g}_{36} \rightarrow \mathcal{g}_{312}$$

rank 4

$$\mathcal{g}_{14} \rightarrow \mathcal{g}_{1123}$$

Elastic Constants i

$$\epsilon_{ij} = s_{ijkl} \sigma_{kl}$$

[Data](#) [Methods](#) [API](#)

Cu
mp-30

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Stiffness Tensor (GPa)

$$\begin{bmatrix} 159 & 129 & 129 & 0 & 0 & 0 \\ 129 & 159 & 129 & 0 & 0 & 0 \\ 129 & 129 & 159 & 0 & 0 & 0 \\ 0 & 0 & 0 & 79 & 0 & 0 \\ 0 & 0 & 0 & 0 & 79 & 0 \\ 0 & 0 & 0 & 0 & 0 & 79 \end{bmatrix}$$

Compliance Tensor (TPa⁻¹)

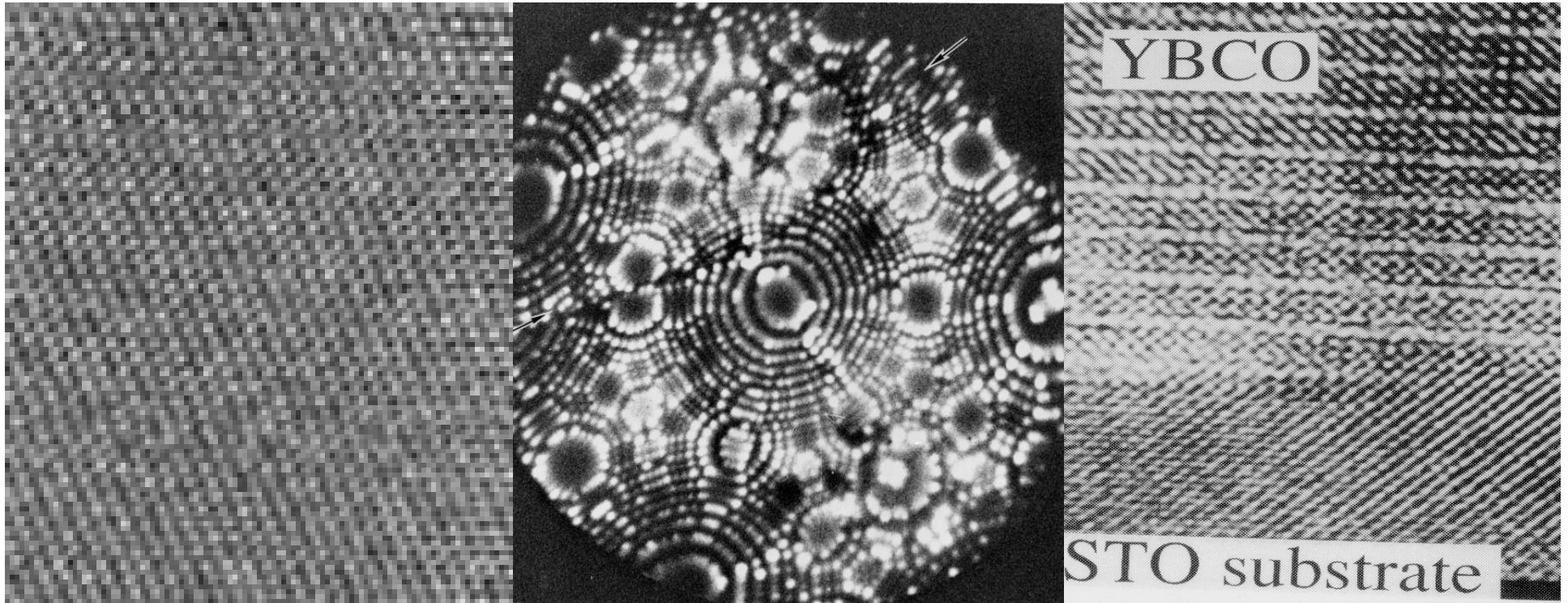
$$\begin{bmatrix} 23.2 & -10.4 & -10.4 & 0 & 0 & 0 \\ -10.4 & 23.2 & -10.4 & 0 & 0 & 0 \\ -10.4 & -10.4 & 23.2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 12.7 & 0 & 0 \\ 0 & 0 & 0 & 0 & 12.7 & 0 \\ 0 & 0 & 0 & 0 & 0 & 12.7 \end{bmatrix}$$

g_{11}	g_{12}	g_{12}	0	0	0
g_{12}	g_{11}	g_{12}	0	0	0
g_{12}	g_{12}	g_{11}	0	0	0
0	0	0	g_{44}	0	0
0	0	0	0	g_{44}	0
0	0	0	0	0	g_{44}

Elastic Constants

Bulk Modulus, Voigt	139 GPa
Bulk Modulus, Reuss	139 GPa
Bulk Modulus, Voigt-Reuss-Hill	139 GPa
Shear Modulus, Voigt	53 GPa
Shear Modulus, Reuss	29 GPa
Shear Modulus, Voigt-Reuss-Hill	41 GPa
Poisson's Ratio	0.37
Universal Anisotropy	4.17

Crystal structure determination



Scanning tunneling
microscope

Field ion microscope

Transmission electron
microscope

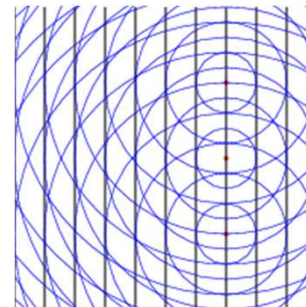
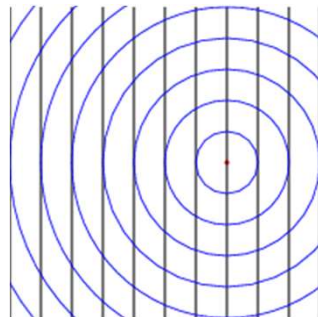
Usually x-ray diffraction is used to
determine the crystal structure

Crystal diffraction (Beugung)

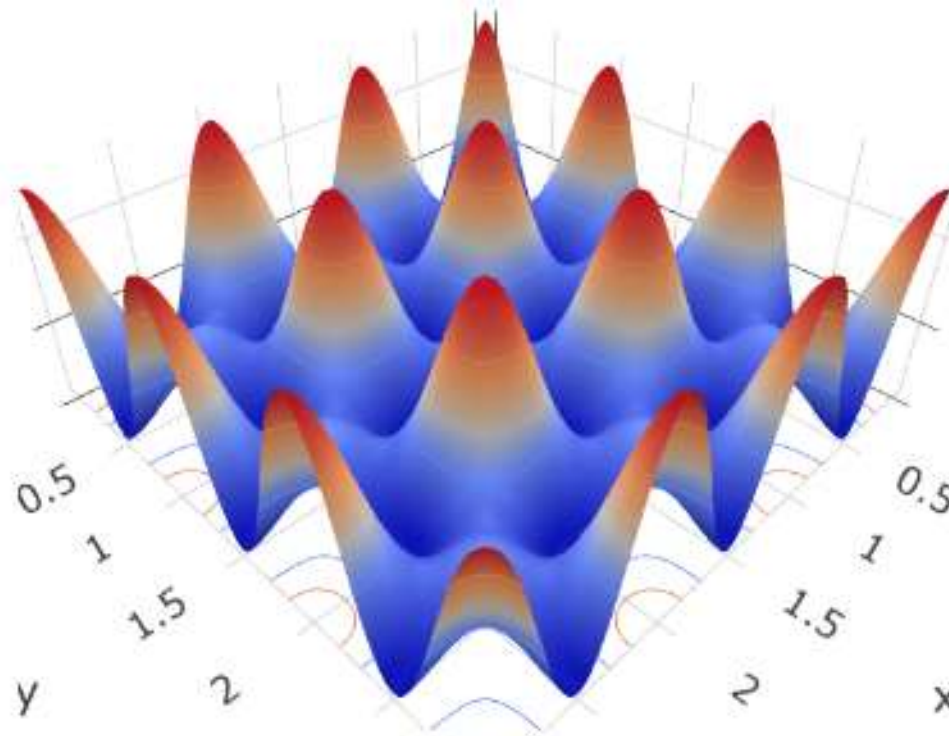
Everything moves like a wave but exchanges energy and momentum as a particle

light
sound
electron waves
neutron waves
positron waves
plasma waves

photons
phonons
electrons
neutrons
positrons
plasmons

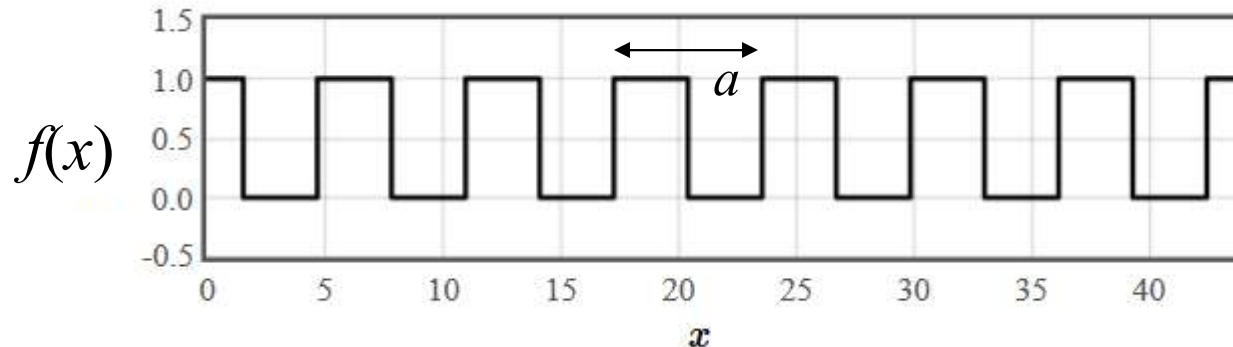


Periodic functions



Use a Fourier series to describe periodic functions

Expanding a 1-d function in a Fourier series



Any periodic function can be represented as a Fourier series.

$$f(x) = f_0 + \sum_{p=1}^{\infty} c_p \cos(2\pi px/a) + s_p \sin(2\pi px/a)$$

multiply by $\cos(2\pi p'x/a)$ and integrate over a period.

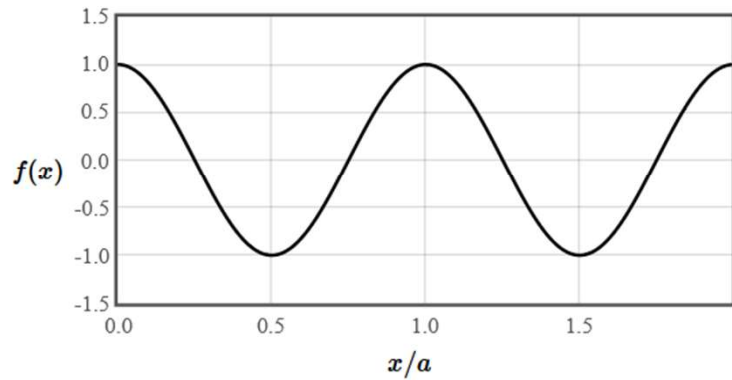
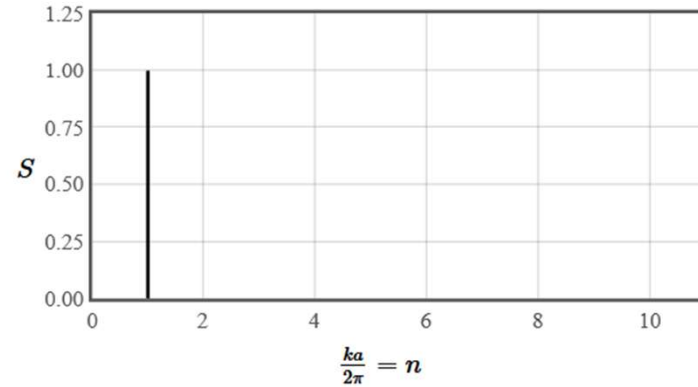
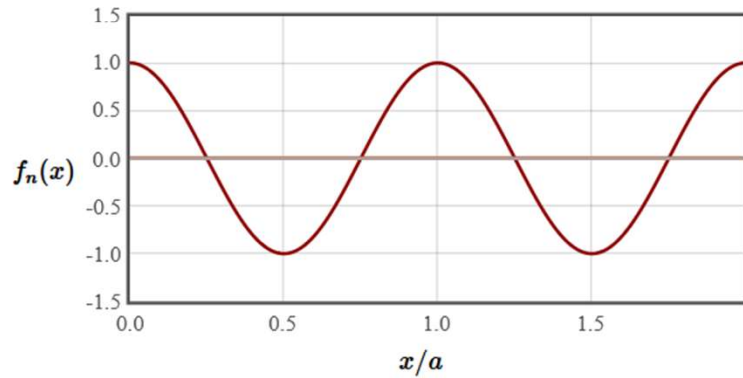
$$\int_0^a f(x) \cos(2\pi p'x/a) dx = c_p \int_0^a \cos(2\pi p'x/a) \cos(2\pi p'x/a) dx = \frac{ac_p}{2}$$

$$c_p = \frac{2}{a} \int_0^a f(x) \cos(2\pi px/a) dx$$

Fourier synthesis

A periodic function with period a can be written as a Fourier series of the form,

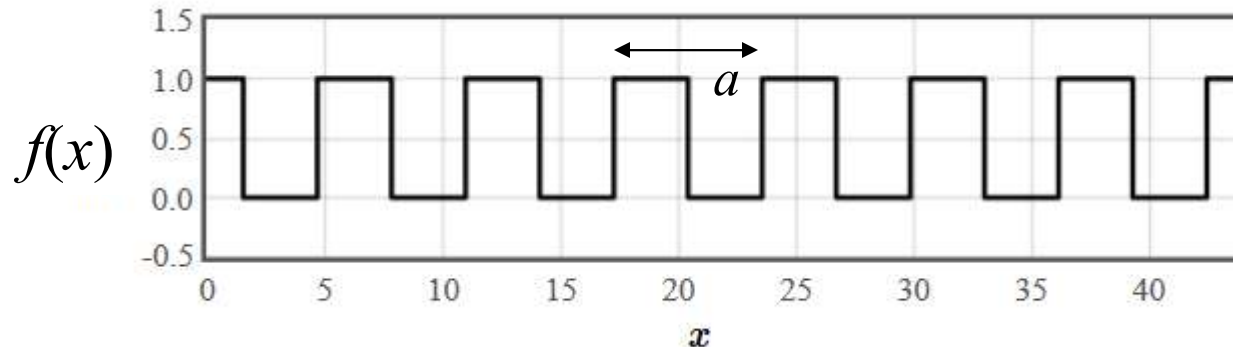
$$f(x) = A_0 + \sum_n A_n (\cos(\theta_n) \cos(2\pi nx/a) + \sin(\theta_n) \sin(2\pi nx/a)).$$



Number of periods displayed:

$A_0 = 0$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>		
$A_1 = 1$	<input type="text" value="-"/> <input type="range" value="1"/> <input type="text" value="+"/>	$\theta_1 = 0\pi$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>
$A_2 = 0$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>	$\theta_2 = 0\pi$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>
$A_3 = 0$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>	$\theta_3 = 0\pi$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>
$A_4 = 0$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>	$\theta_4 = 0\pi$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>
$A_5 = 0$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>	$\theta_5 = 0\pi$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>
$A_6 = 0$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>	$\theta_6 = 0\pi$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>
$A_7 = 0$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>	$\theta_7 = 0\pi$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>
$A_8 = 0$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>	$\theta_8 = 0\pi$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>
$A_9 = 0$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>	$\theta_9 = 0\pi$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>
$A_{10} = 0$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>	$\theta_{10} = 0\pi$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>
$A_{11} = 0$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>	$\theta_{11} = 0\pi$	<input type="text" value="-"/> <input type="range" value="0"/> <input type="text" value="+"/>

Expanding a 1-d function in a Fourier series



Any periodic function can be represented as a Fourier series.

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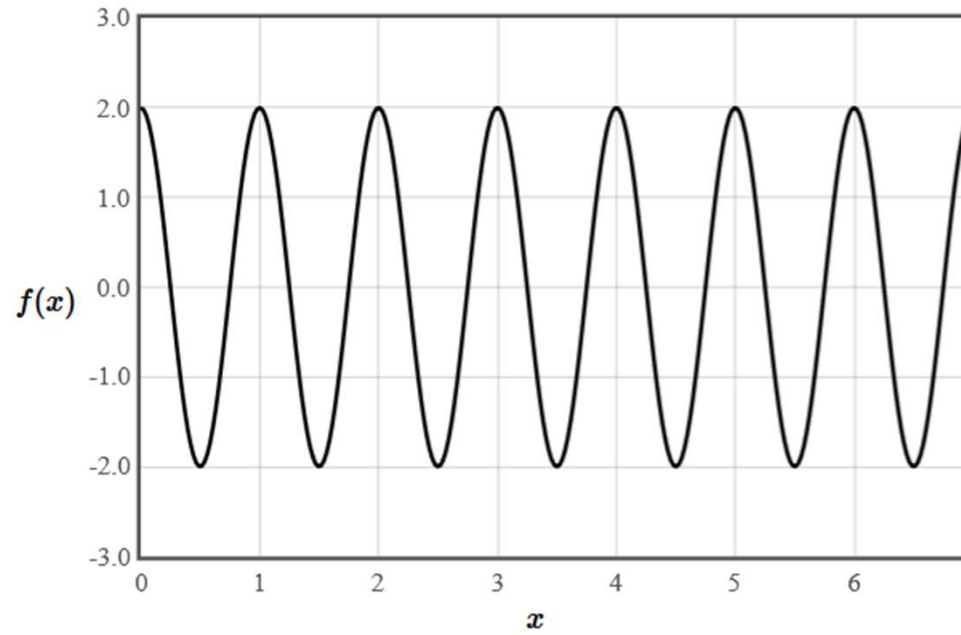
$$\cos x = \frac{e^{ix} + e^{-ix}}{2} \quad \sin x = \frac{e^{ix} - e^{-ix}}{2i}$$

$$f(x) = \sum_{G=-\infty}^{\infty} f_G e^{iGx} \quad f_G = \frac{c_p}{2} - i \frac{s_p}{2} \quad G = \frac{2\pi p}{a}$$

For real functions: $f_G^* = f_{-G}$

reciprocal lattice vector

Fourier series in 1-D



square triangle sawtooth comb

$f_0 = 0$ - +

$f_1 = f_{-1}^* = 1$ - + $+i(0)$ - +

$f_2 = f_{-2}^* = 0$ - + $+i(0)$ - +

$f_3 = f_{-3}^* = 0$ - + $+i(0)$ - +

$f_4 = f_{-4}^* = 0$ - + $+i(0)$ - +

$f_5 = f_{-5}^* = 0$ - + $+i(0)$ - +

Determine the Fourier coefficients in 1-D

$$f(x) = \sum_G f_G e^{iGx}$$

Multiply by $e^{-iG'x}$ and integrate over a period a

$$\int_{\text{unit cell}} f(x) e^{-iG'x} dx = \int_{\text{unit cell}} \sum_G f_G e^{i(G-G')x} dx = f_{G'} a$$

$$f_G = \frac{1}{a} \int_{-\infty}^{\infty} f_{\text{cell}}(x) e^{-iGx} dx$$

The Fourier coefficient is proportional to the Fourier transform of the pattern that gets repeated on the Bravais lattice, evaluated at that G -vector.

Fourier series in 1-D, 2-D, or 3-D

$$f(\vec{r}) = \sum_{\vec{G}} f_{\vec{G}} e^{i\vec{G} \cdot \vec{r}}$$

Reciprocal lattice vectors G
(depend on the Bravais lattice)

Structure factors
(complex numbers)

$$\vec{T}_{hkl} = h\vec{a}_1 + k\vec{a}_2 + l\vec{a}_3$$

$$\vec{a}_i \cdot \vec{b}_j = 2\pi\delta_{ij} \quad \delta_{ij} = \begin{cases} 1 & \text{for } i = j \\ 0, & \text{for } i \neq j \end{cases}$$

$$\vec{G} = \nu_1\vec{b}_1 + \nu_2\vec{b}_2 + \nu_3\vec{b}_3$$