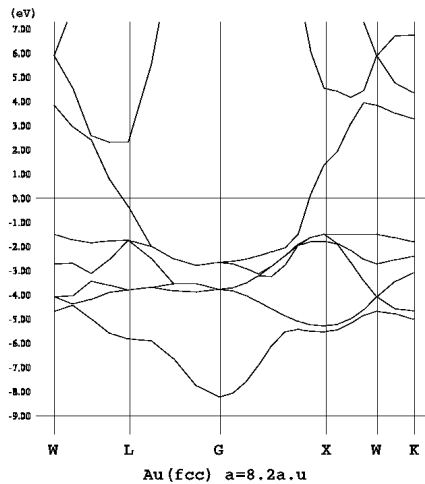


# Electrons

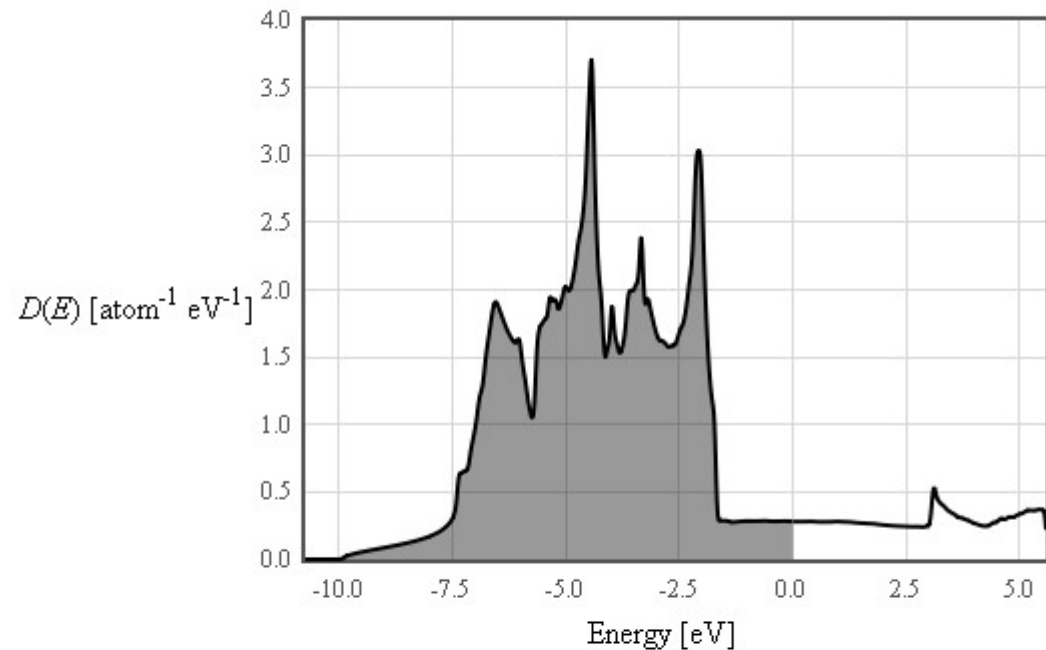
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# Thermodynamic properties of metals

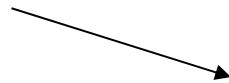
From the band structure measurements, we obtain the electron density of states.



Electron density of states for fcc gold



Thermodynamic properties can be calculated from the tabulated data for the density of states



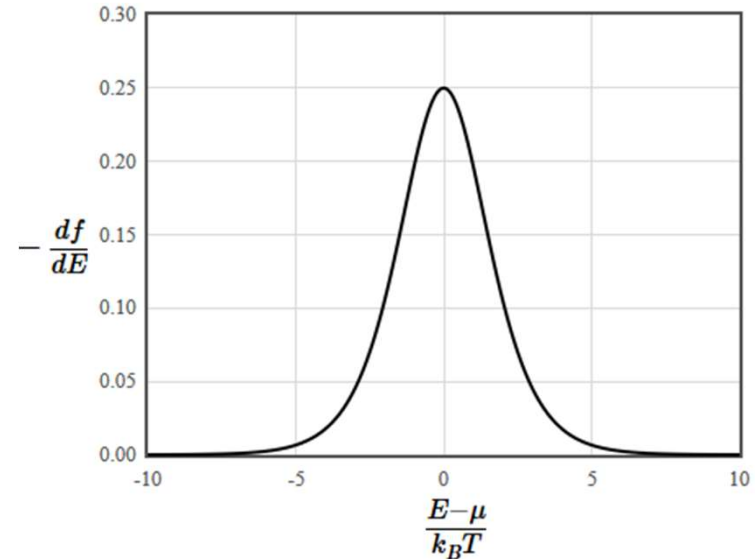
$E$ [eV]	$D(E)$ [ $\text{atom}^{-1} \text{eV}^{-1}$ ]
-10.74913	0
-10.73552	0
-10.72192	0

# Thermodynamic properties of metals

---

$$n = \int_{-\infty}^{\infty} D(E)f(E)dE, \quad u = \int_{-\infty}^{\infty} ED(E)f(E)dE.$$

$$\int_{-\infty}^{\infty} H(E)f(E) dE$$



$$\int_{-\infty}^{\infty} H(E)f(E) dE = K(\infty)f(\infty) - K(-\infty)f(-\infty) - \int_{-\infty}^{\infty} K(E) \frac{df}{dE} dE,$$

$$f(E) = \frac{1}{\exp\left(\frac{E-\mu}{k_B T}\right) + 1}.$$

$$K(E) = \int_{-\infty}^E H(E') dE'.$$

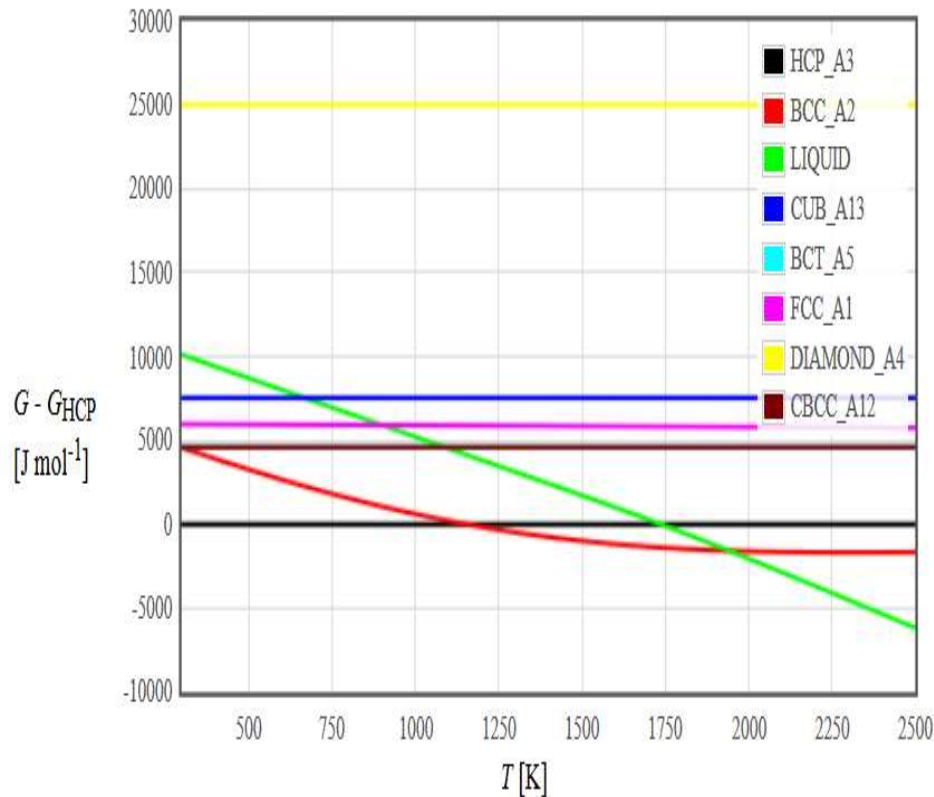
<http://lampz.tugraz.at/~hadley/ss1/fermigaz/sommerfeldtable/sommerfeld.php>

# Sommerfeld expansion for metals

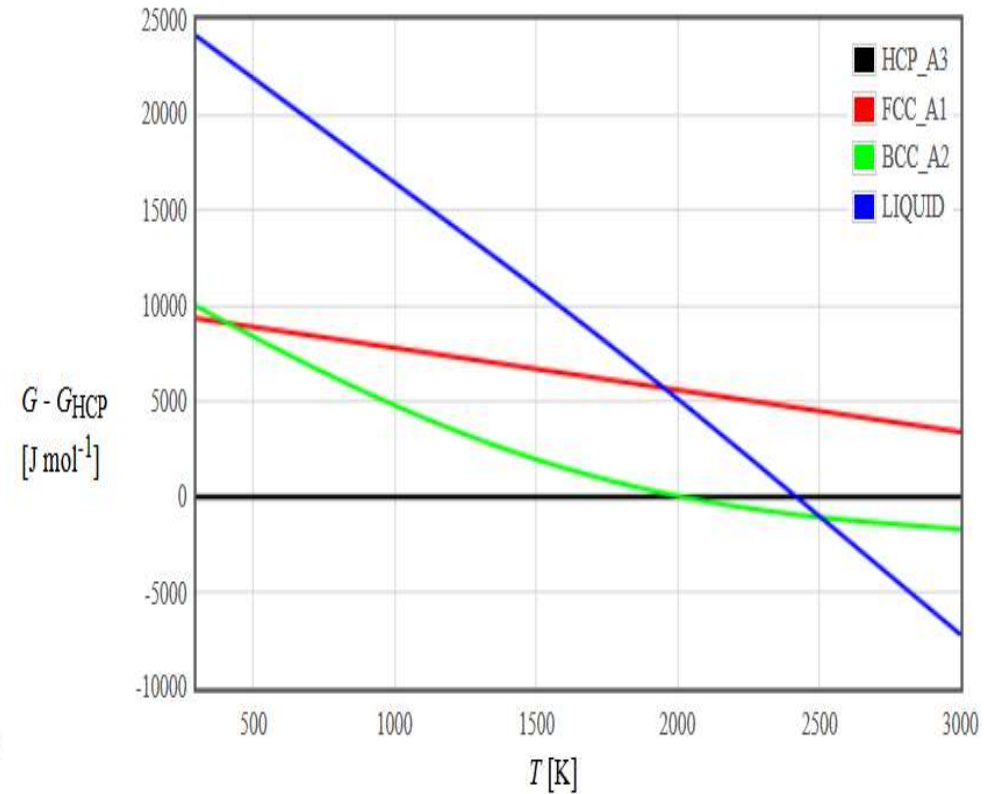
<b>Chemical potential <math>\mu</math></b> Implicitly defined by $n = \int_{-\infty}^{\infty} D(E)f(E)dE$	$\mu \approx E_F - \frac{\pi^2}{6}(k_B T)^2 \frac{D'(E_F)}{D(E_F)} [\text{J}]$	<b>Entropy</b> $s = \int \frac{c_v}{T} dT$	$s \approx \frac{\pi^2 D(E_F)}{3} k_B^2 T [\text{J m}^{-3} \text{K}^{-1}]$
<b>Internal energy</b> $u = \int_{-\infty}^{\infty} ED(E)f(E) dE$	$u \approx \int_{-\infty}^{E_F} ED(E)dE + \frac{\pi^2 D(E_F)}{6} (k_B T)^2 [\text{J m}^{-3}]$	<b>Helmholtz free energy</b> $f = u - Ts$	$f \approx \int_{-\infty}^{E_F} ED(E)dE - \frac{\pi^2 D(E_F)}{6} (k_B T)^2 [\text{J m}^{-3}]$
<b>Specific heat</b> $c_v = \left( \frac{du}{dT} \right)_{V=\text{const}}$	$c_v \approx \frac{\pi^2 D(E_F)}{3} k_B^2 T [\text{J m}^{-3} \text{K}^{-1}]$		

# Close packed → bcc

Ti



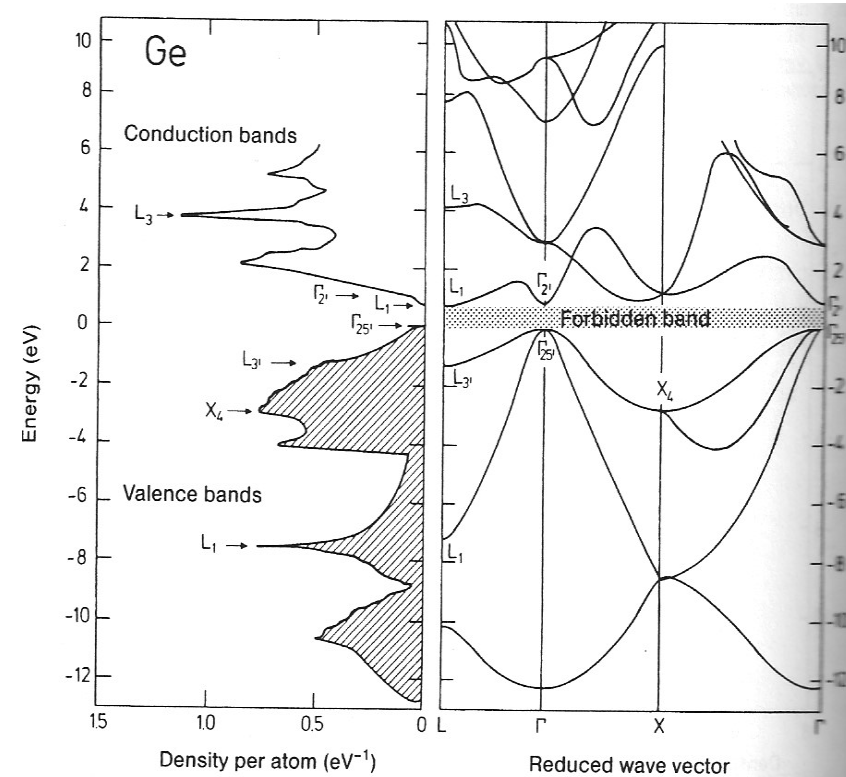
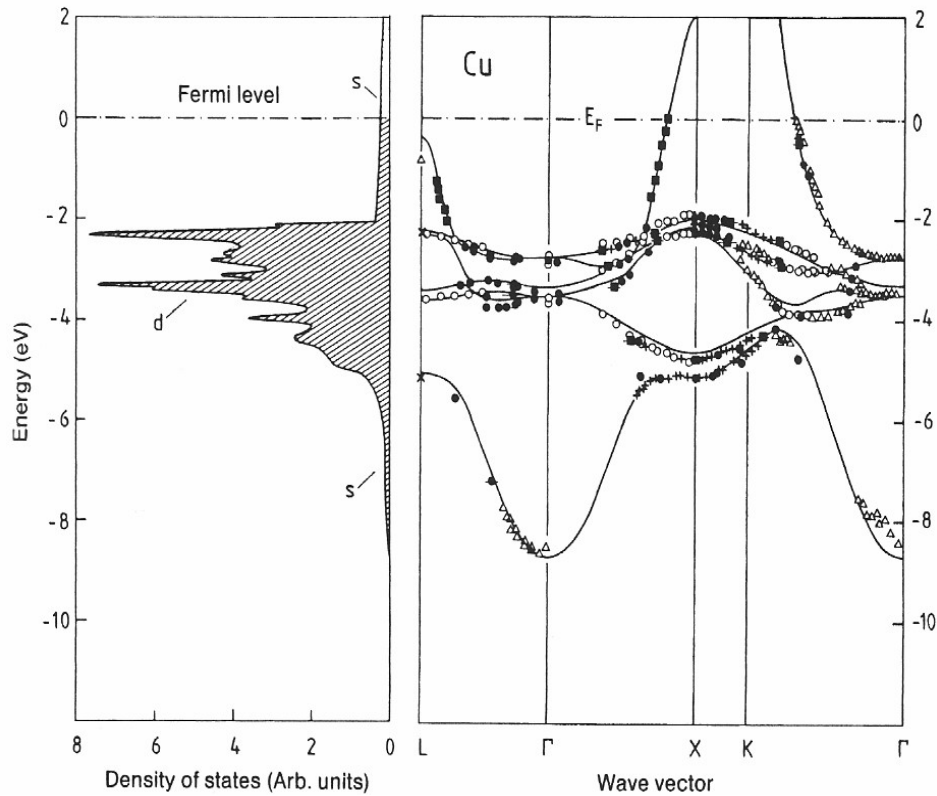
Hf



Close packed → bcc: Am, Be, Ca, Gd, Nd, Pr, Hf, Sc, Sm, Sr, Ti, Tb, Th, Tl, Y, Yb, Zr

<http://lampx.tugraz.at/~hadley/ss1/materials/sgte/SGTE.html>

# Metals, semiconductors, and insulators



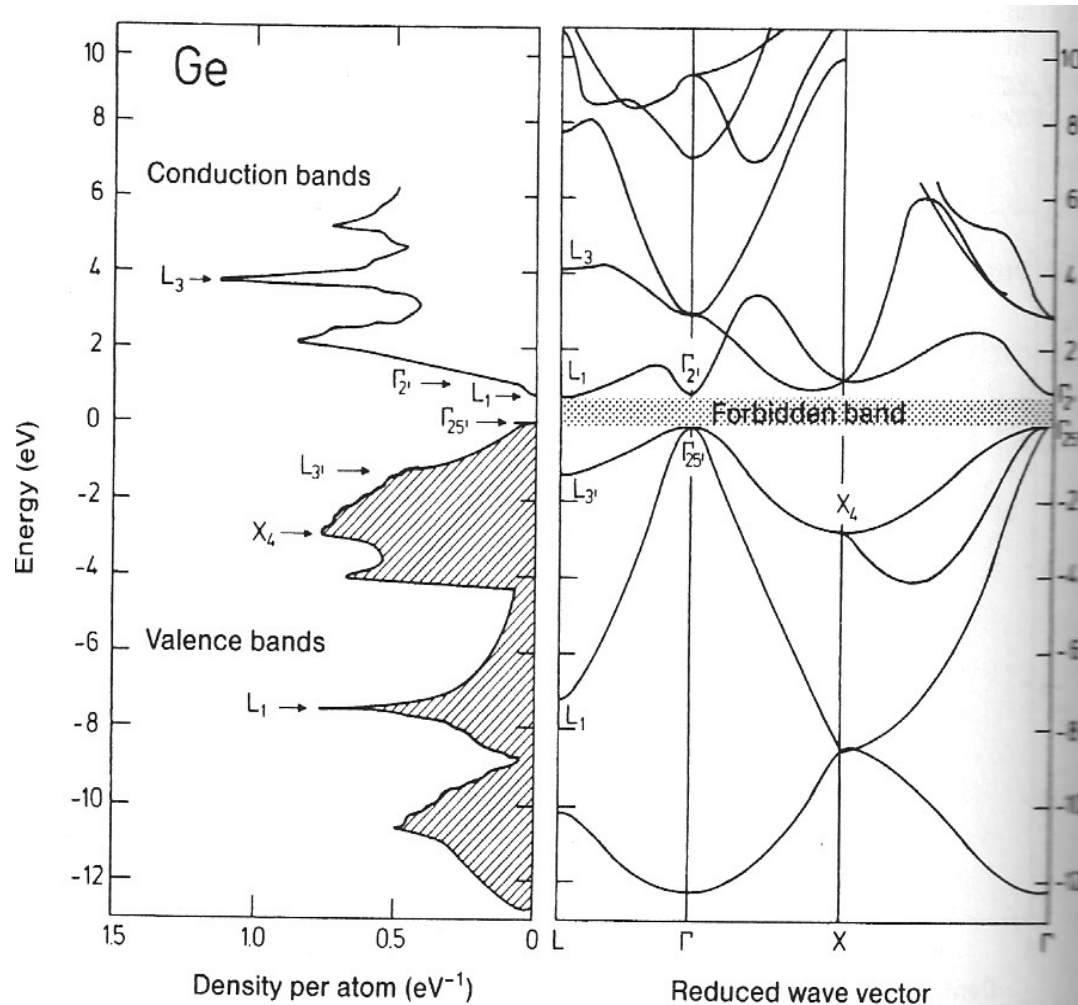
Insulators: band gap  $> 3$  eV

From Ibach & Lueth

# Semiconductors

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# Semiconductors



$$n = \int_{-\infty}^{\infty} D(E) f(E) dE$$

From Ibach & Lueth

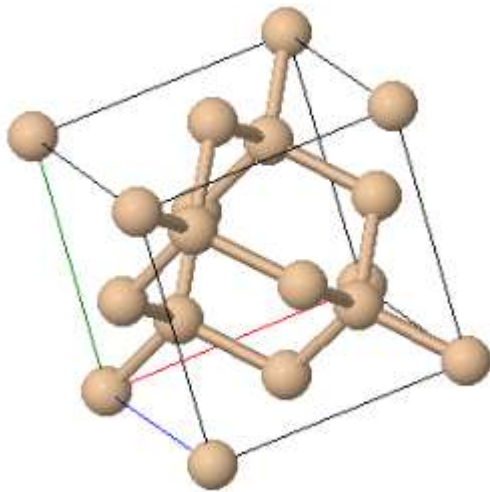


# Silicon

---

- Important semiconducting material
- 2nd most common element on earth's crust (rocks, sand, glass, concrete)
- Often doped with other elements
- Oxide  $\text{SiO}_2$  is a good insulator

2.33		28.086
	<b>Si</b>	14
5.43	$3s^2 3p^2$	
1683	DIA	625



silicon crystal = diamond crystal structure

# Silicon

- Home
- Outline
- Books
- Lectures
- Materials
- Student projects

Silicon is the second most common element in the earth's crust and an important semiconducting material.

## Structural properties

Crystal structure: Diamond

Bravais lattice: face centered cubic

Space group: 227 (F d -3 m), Strukturbericht: A4, Pearson symbol: cF8

Point group:  $m\bar{3}m$  ( $O_h$ ) six 2-fold rotations, four 3-fold rotations, three 4-fold rotations, nine mirror planes, inversion

Lattice constant:  $a = 0.543$  nm

Atomic weight 28.09

Atomic density  $n_{atoms} = 4.995 \times 10^{22}$  1/cm<sup>3</sup>

Density  $\rho = 2.33$  g/cm<sup>3</sup>

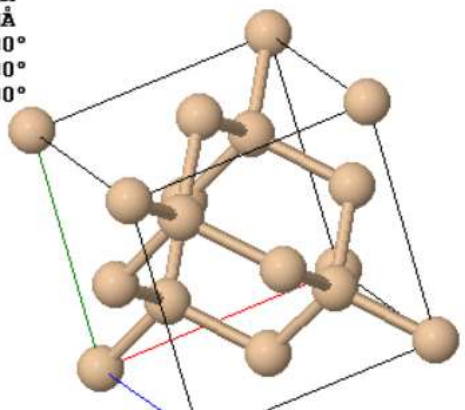
Density of surface atoms

(100)  $6.78 \times 10^{14}$  1/cm<sup>2</sup>

(110)  $9.59 \times 10^{14}$  1/cm<sup>2</sup>

(111)  $7.83 \times 10^{14}$  1/cm<sup>2</sup>

HM: F d -3 m S  
a=5.430Å  
b=5.430Å  
c=5.430Å  
α=90.000°  
β=90.000°  
γ=90.000°



Conventional unit cell   Primitive unit cell   Asymmetric unit

2 x 2 x 2   3 x 3 x 3   5 x 5 x 5

Ball and Stick   Spacefill

H: 1   K: 0   L: 0

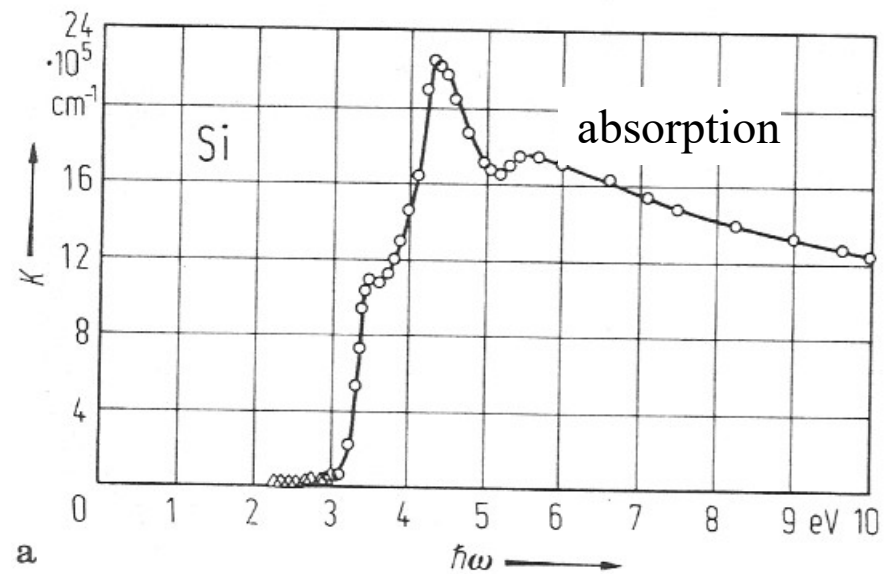
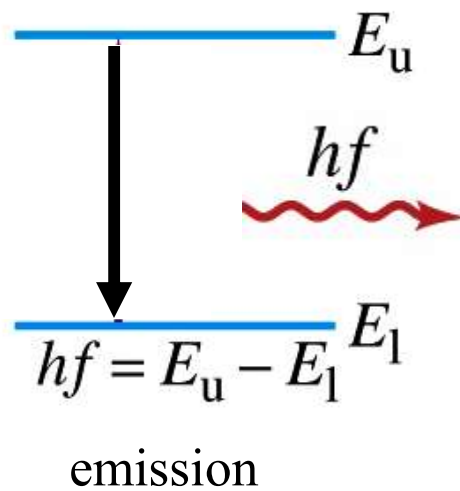
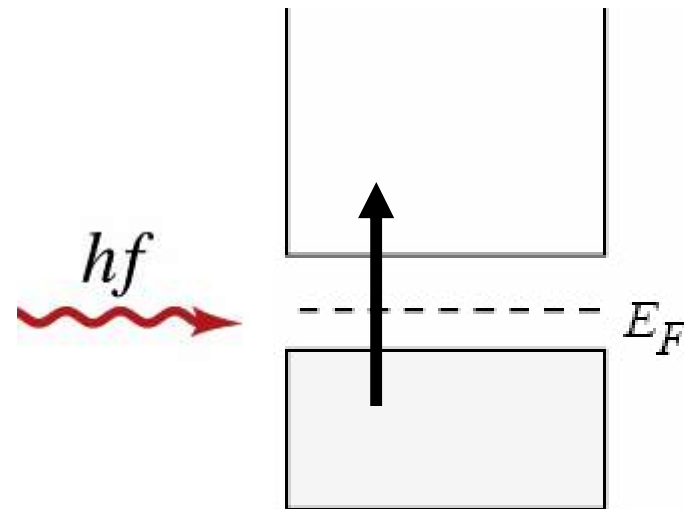
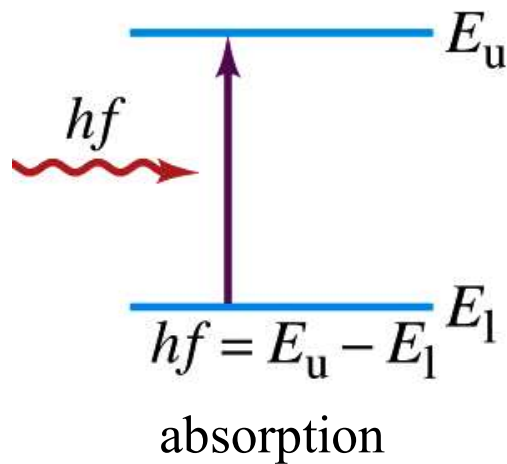
show HKL plane   hide HKL plane

draw atoms in HKL plane

Thickness of HKL planes:

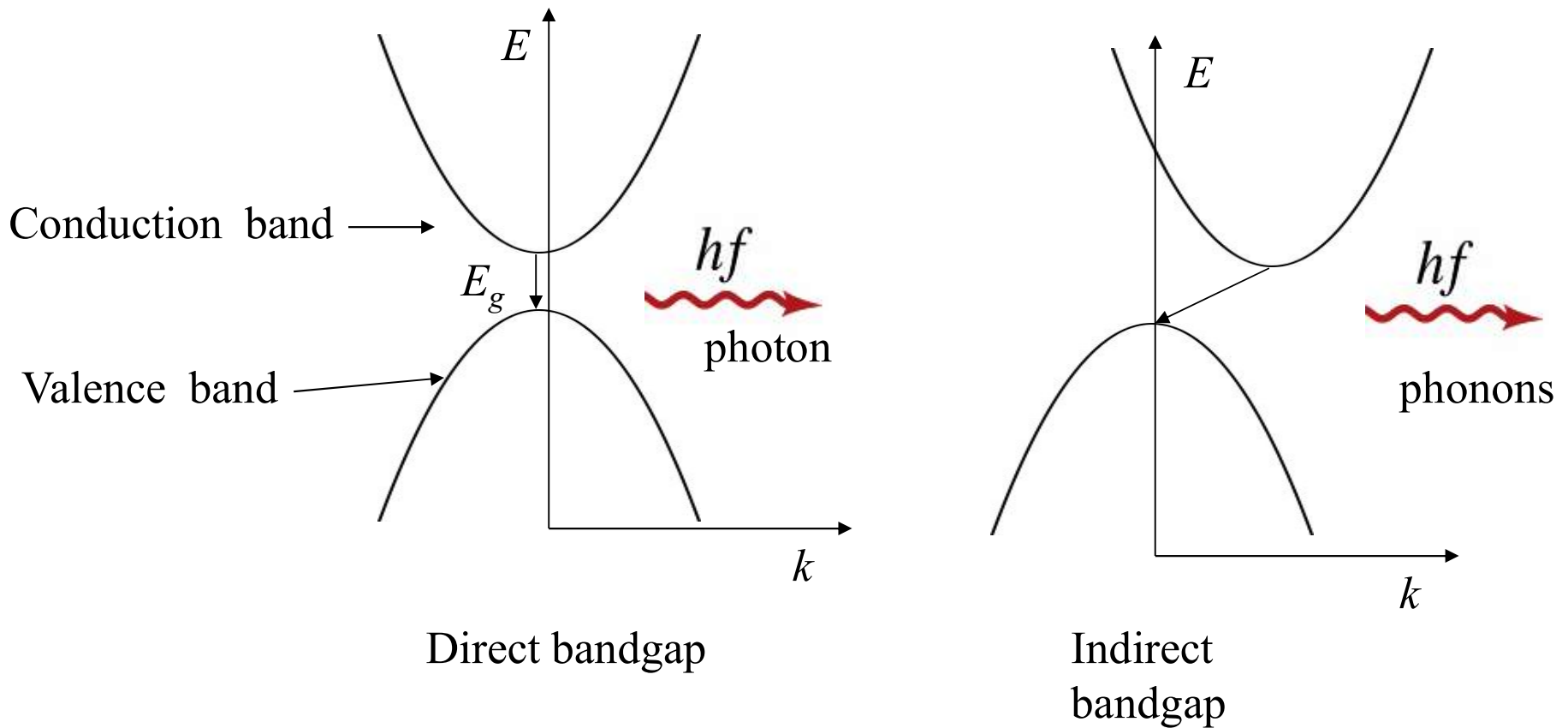
The conventional unit cell is a cube with sides of 0.543 nm. There are 8 atoms in the conventional unit cell. (The

# Absorption and emission of photons



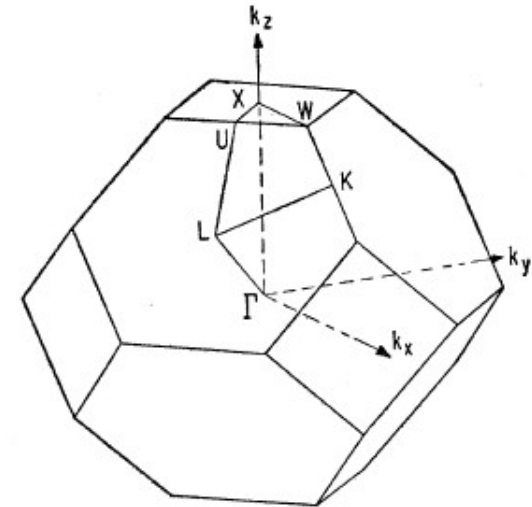
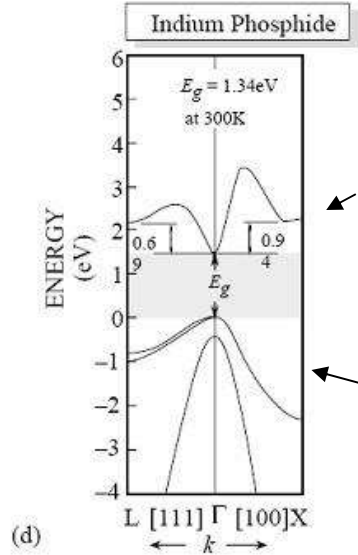
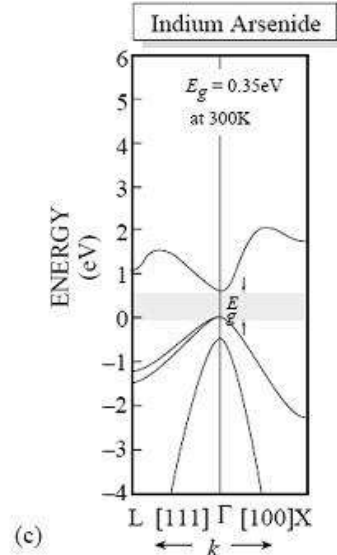
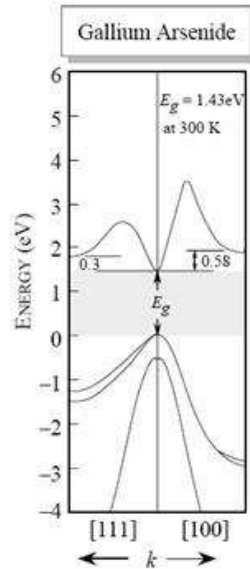
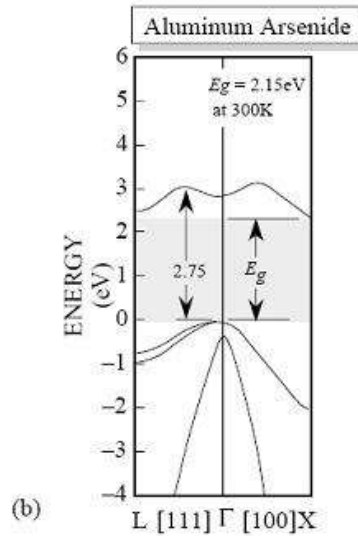
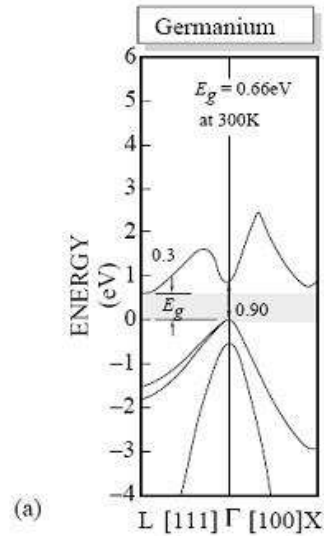
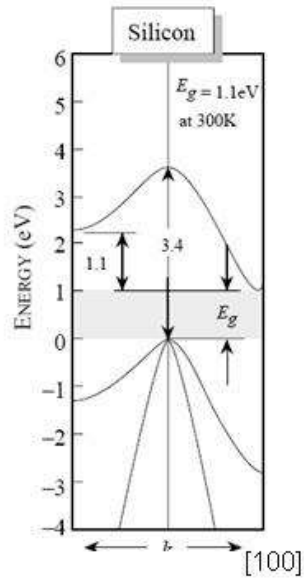
# Direct and indirect band gaps

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Direct bandgap semiconductors are used for optoelectronics

# Semiconductors



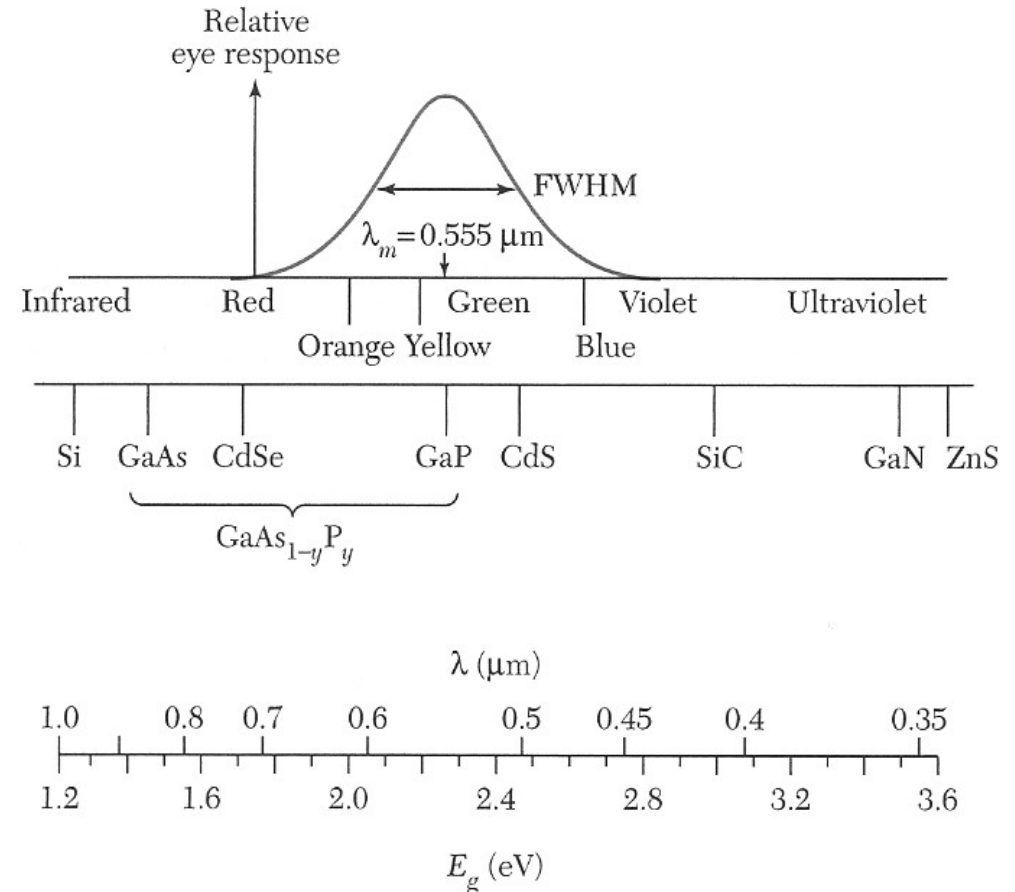
Conduction band

Valence band

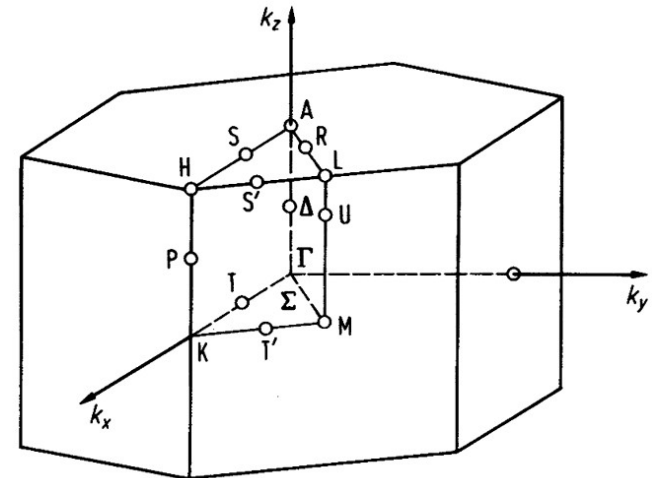
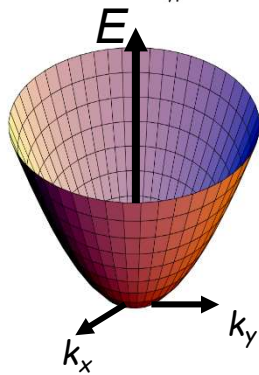
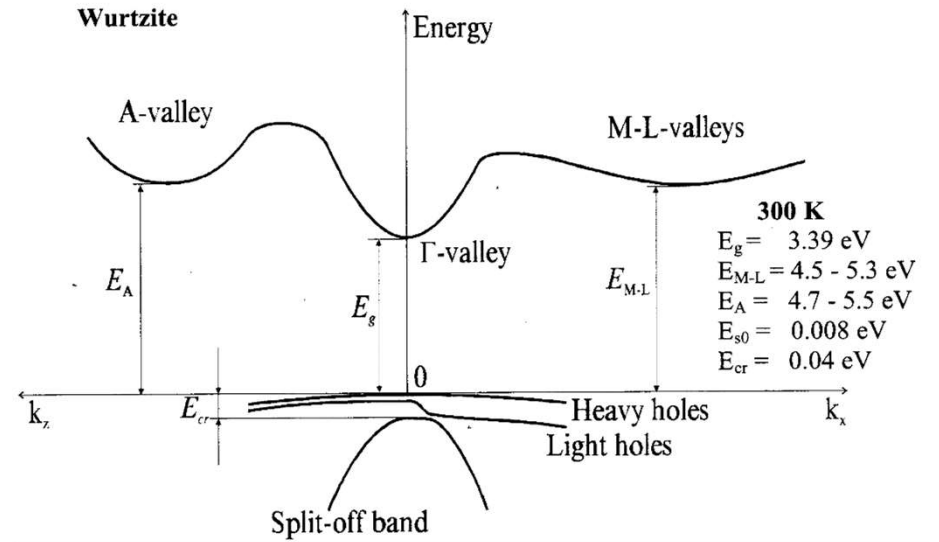
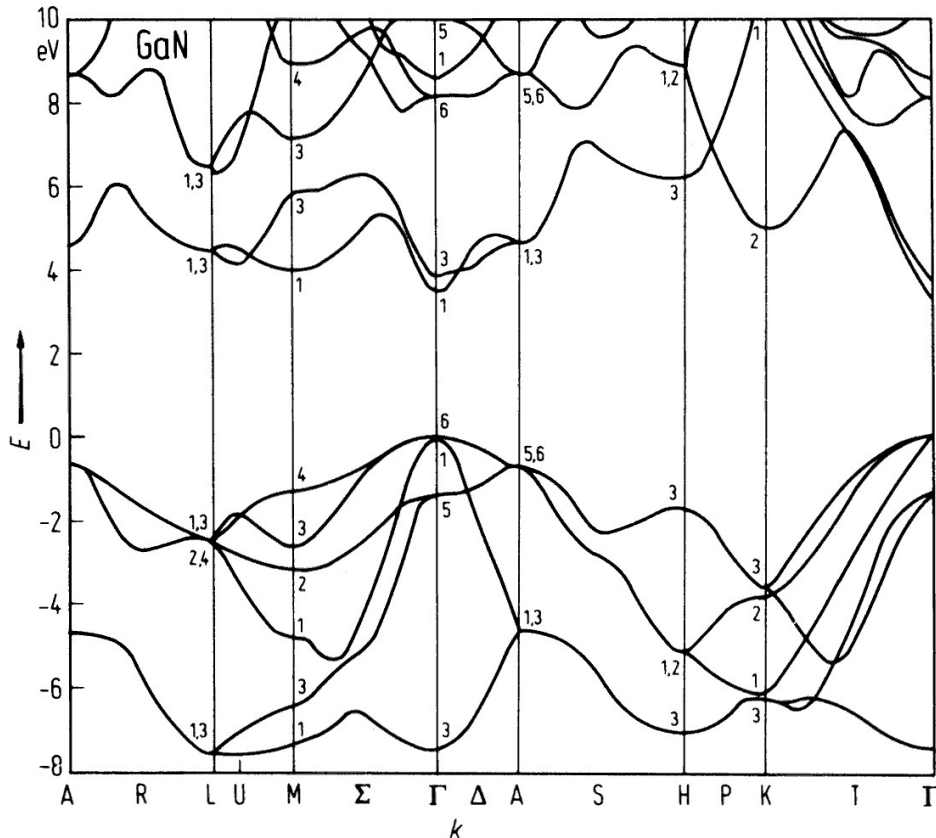
**TABLE 1 Common III-V materials used to produce LEDs and their emission wavelengths.**

Material	Wavelength (nm)
InAsSbP/InAs	4200
InAs	3800
GaInAsP/GaSb	2000
GaSb	1800
$Ga_xIn_{1-x}As_{1-y}P_y$	1100-1600
$Ga_{0.47}In_{0.53}As$	1550
$Ga_{0.27}In_{0.73}As_{0.63}P_{0.37}$	1300
GaAs:Er, InP:Er	1540
Si:C	1300
GaAs:Yb, InP:Yb	1000
$Al_xGa_{1-x}As:Si$	650-940
GaAs:Si	940
$Al_{0.11}Ga_{0.89}As:Si$	830
$Al_{0.4}Ga_{0.6}As:Si$	650
$GaAs_{0.6}P_{0.4}$	660
$GaAs_{0.4}P_{0.6}$	620
$GaAs_{0.15}P_{0.85}$	590
$(Al_xGa_{1-x})_{0.5}In_{0.5}P$	655
GaP	690
GaP:N	550-570
$Ga_xIn_{1-x}N$	340,430,590
SiC	400-460
BN	260,310,490

# Light emitting diodes



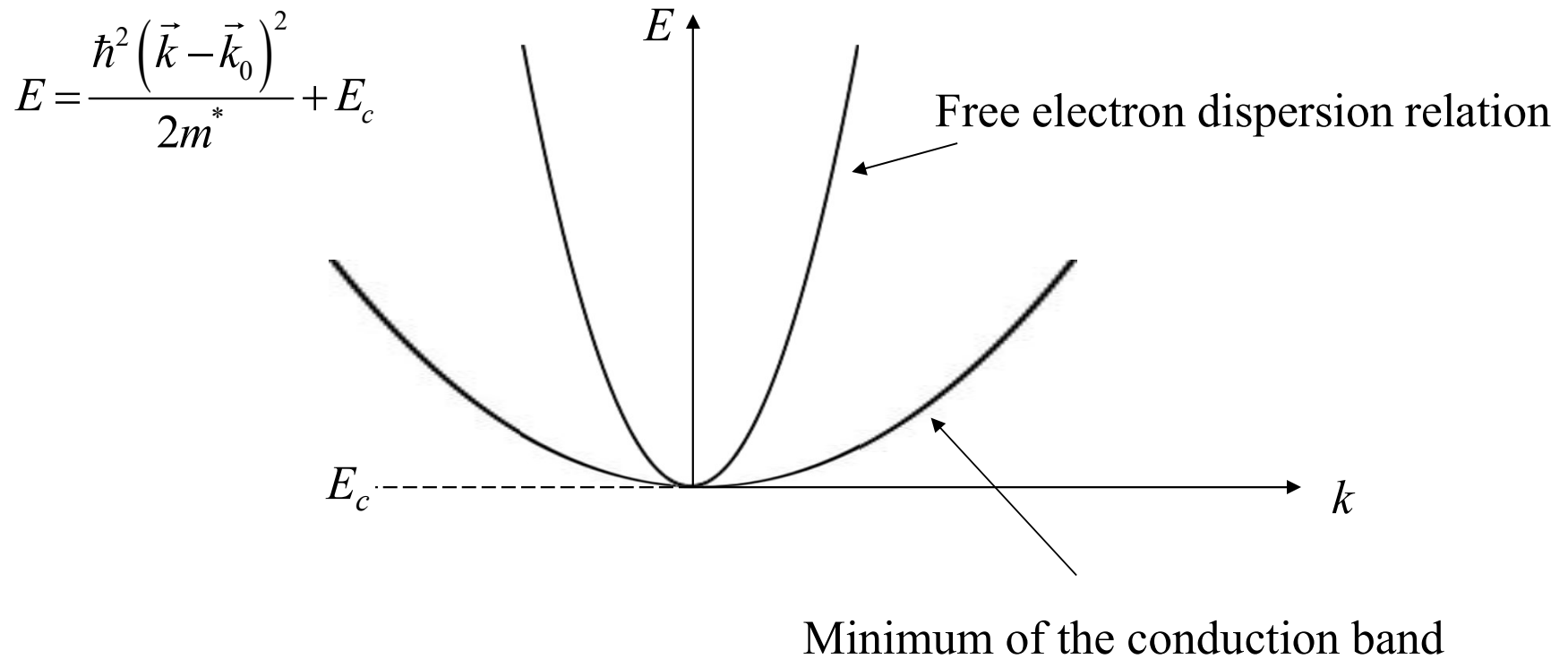
# GaN



1st Brillouin zone of hcp

# Conduction band minimum

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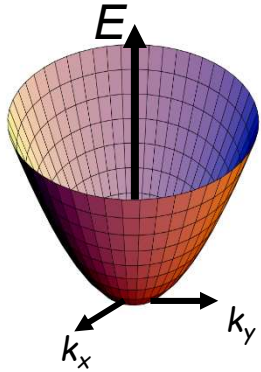


Near the conduction band minimum, the bands are approximately parabolic.



# Effective mass

---



$$E = \frac{\hbar^2 (\vec{k} - \vec{k}_0)^2}{2m^*} + E_c$$

The parabola at the bottom of the conduction band does not have the same curvature as the free-electron dispersion relation. We define an effective mass to characterize the conduction band minimum.

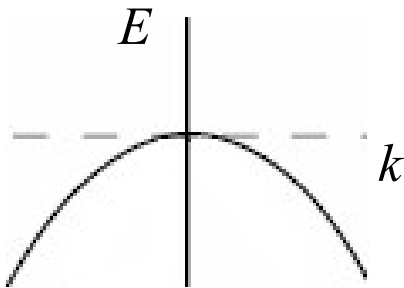
$$m^* = \frac{\hbar^2}{\frac{d^2 E(\vec{k})}{dk_x^2}}$$

This effective mass is used to describe the response of electrons to external forces in the particle picture.

# Top of the valence band

---

In the valence band, the effective mass is negative.



$$m^* = \frac{\hbar^2}{\frac{d^2 E(\vec{k})}{dk_x^2}} < 0$$

Charge carriers in the valence band are positively charged holes.

$m_h^*$  = effective mass of holes

$$m_h^* = \frac{-\hbar^2}{\frac{d^2 E(\vec{k})}{dk_x^2}}$$

# Holes

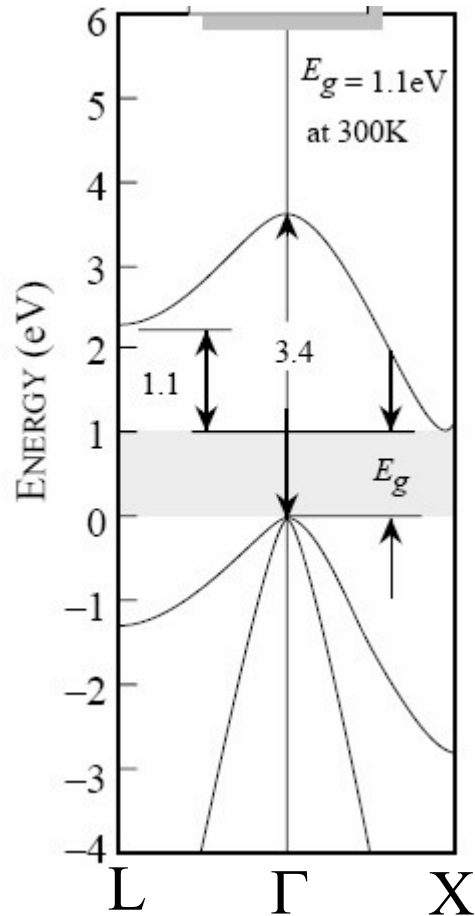
---

A completely filled band does not contribute to the current.

$$\begin{aligned}\vec{j} &= \int_{\text{filled states}} -e\vec{v}(\vec{k})D(\vec{k})f(\vec{k})d\vec{k} \\ &= \int_{\text{band}} -e\vec{v}(\vec{k})D(\vec{k})f(\vec{k})d\vec{k} - \int_{\text{empty states}} -e\vec{v}(\vec{k})D(\vec{k})f(\vec{k})d\vec{k} \\ &= \int_{\text{empty states}} e\vec{v}(\vec{k})D(\vec{k})f(\vec{k})d\vec{k}\end{aligned}$$

Holes have a positive charge and a positive mass.

# Effective Mass



$$E = \frac{\hbar^2 (\vec{k} - \vec{k}_0)^2}{2m^*} + E_c$$

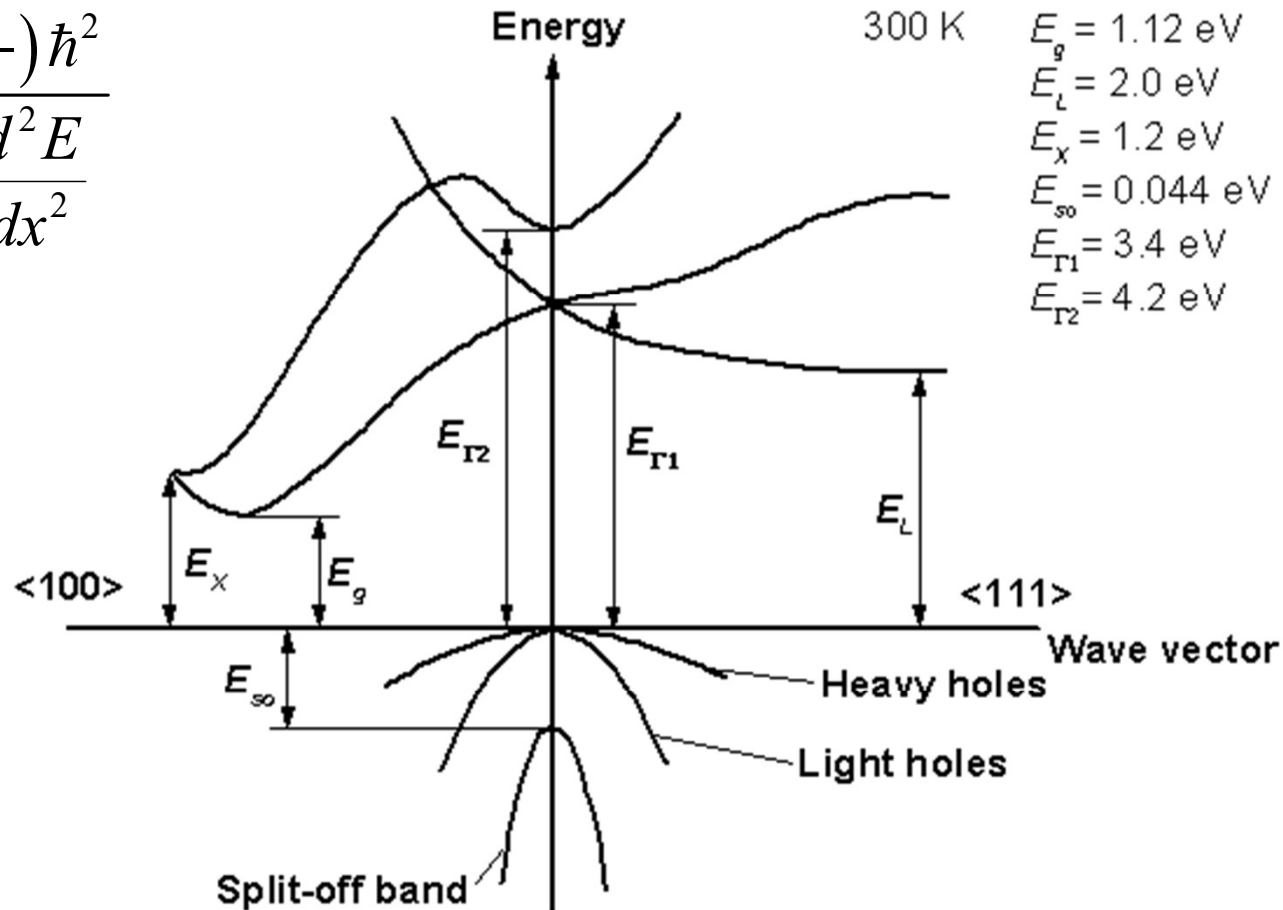
$$m_e^* = \frac{\hbar^2}{\frac{d^2 E}{dk_x^2}}$$

$$E = \frac{-\hbar^2 (\vec{k} - \vec{k}_0)^2}{2m^*} + E_v$$

$$m_h^* = \frac{-\hbar^2}{\frac{d^2 E}{dk_x^2}}$$

# Silicon

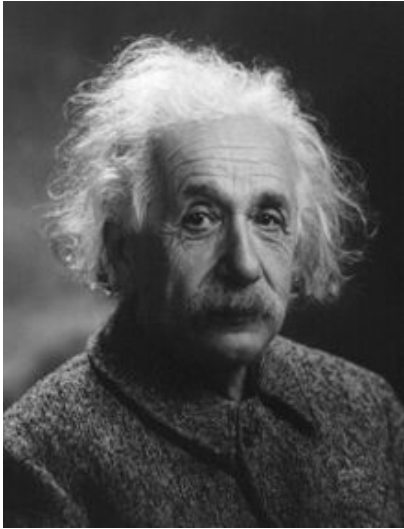
$$m_{e,h}^* = \frac{(-)\hbar^2}{\frac{d^2 E}{dx^2}}$$



[http://www.matprop.ru/Si\\_bandstr#Basic](http://www.matprop.ru/Si_bandstr#Basic)

# Holes

---



Albert Einstein



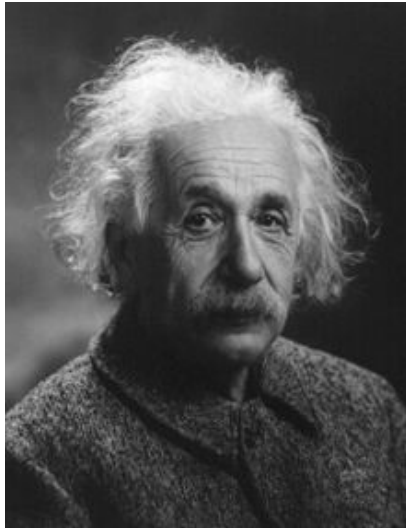
Erwin Schrödinger



Paul Adrien Maurice Dirac

# Holes

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Albert Einstein



Erwin Schrödinger



Paul Adrien Maurice Dirac

$$\frac{d^2u}{dt^2} = c^2 \frac{d^2u}{dx^2}$$

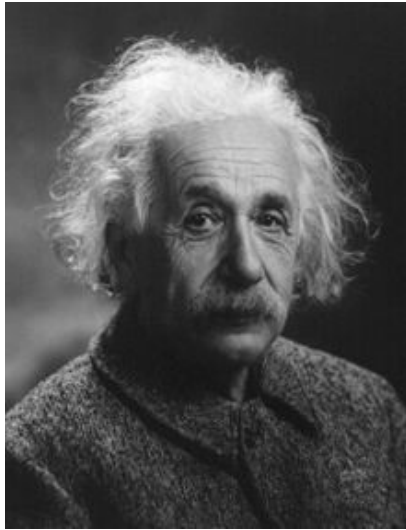
Wave equation

$$\frac{du}{dt} = k \frac{d^2u}{dx^2}$$

Heat equation

# Holes

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Albert Einstein



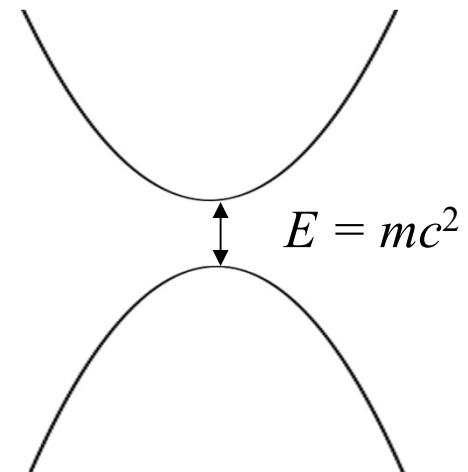
Erwin Schrödinger



Paul Adrien Maurice Dirac

$$\left( \beta mc^2 + \sum_{j=1}^3 \alpha_j p_j c \right) \psi = i\hbar \frac{\partial \psi}{\partial t}$$

Dirac equation



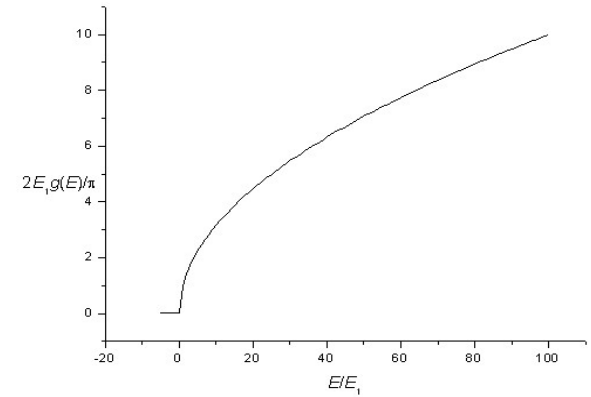
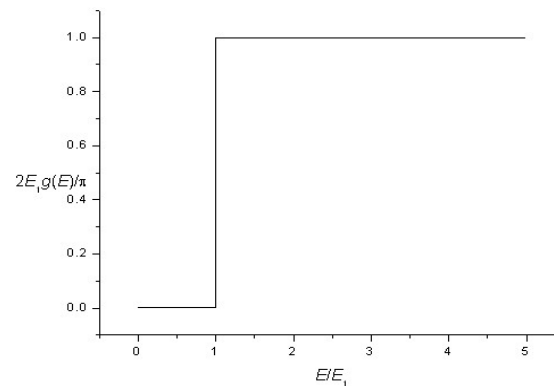
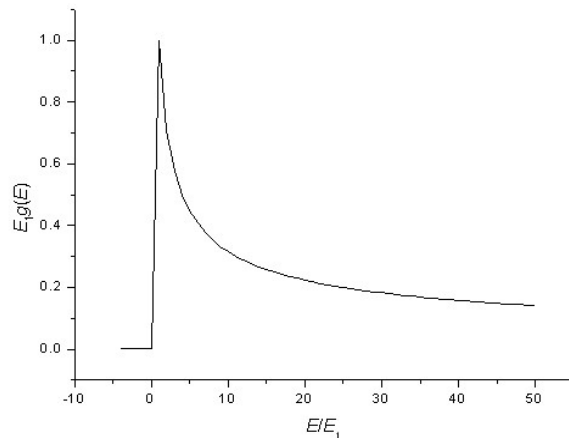


# Free electron Fermi gas

1 - d 
$$D(E) = \sqrt{\frac{2m}{\hbar^2 \pi^2 E}} = \frac{n}{2\sqrt{E_F E}} \quad \text{J}^{-1} \text{m}^{-1}$$

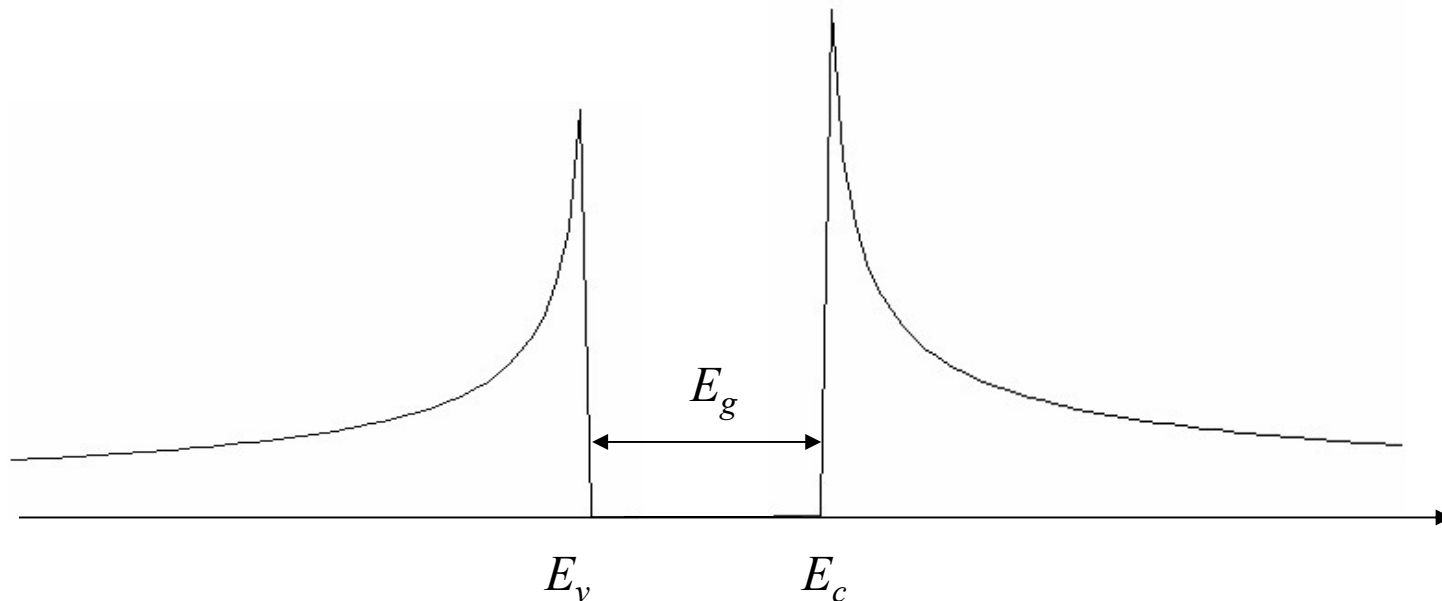
2 - d 
$$D(E) = \frac{m}{\hbar^2 \pi} = \frac{n}{E_F} \quad \text{J}^{-1} \text{m}^{-2}$$

3 - d 
$$D(E) = \frac{\pi}{2} \left( \frac{2m}{\hbar^2 \pi^2} \right)^{3/2} \sqrt{E} = \frac{3n}{2E_F^{3/2}} \sqrt{E} \quad \text{J}^{-1} \text{m}^{-3}$$



# Semiconductors and insulators - 1d

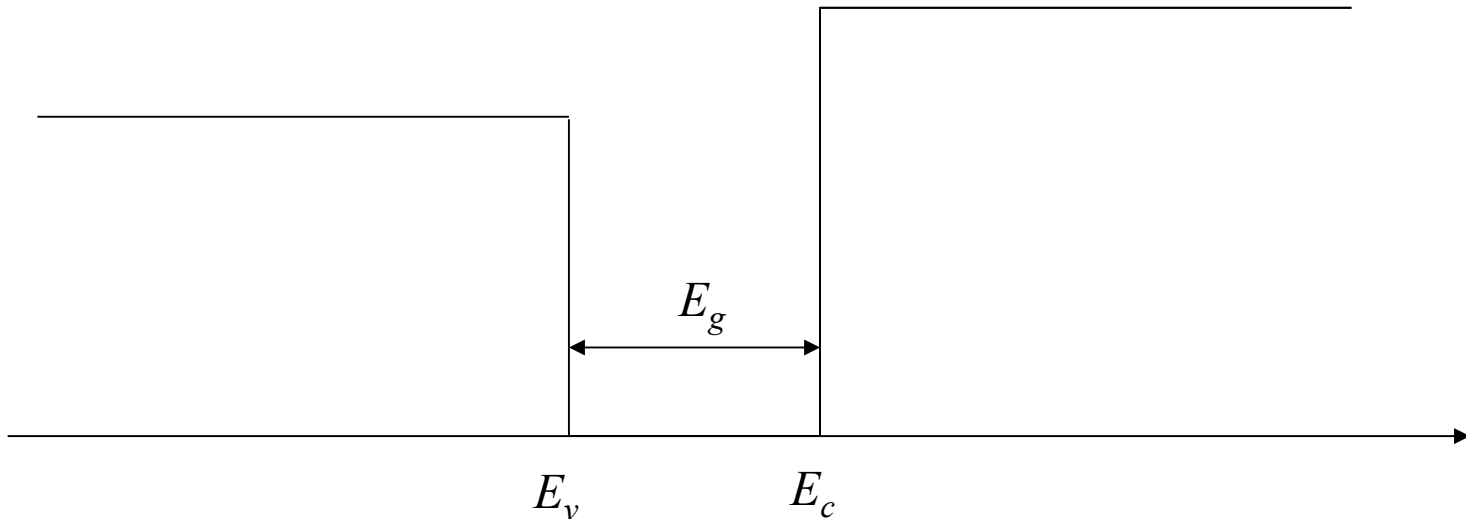
$$E = \frac{\hbar^2(\vec{k} - \vec{k}_0)^2}{2m^*}$$
$$D(E) = \begin{cases} \frac{D_c}{\sqrt{(E_v - E)}} & E < E_v \\ 0 & E_v < E < E_c \\ \frac{D_v}{\sqrt{(E - E_c)}} & E_c < E \end{cases} \quad \text{J}^{-1}\text{m}^{-3}$$



# Semiconductors and insulators - 2d

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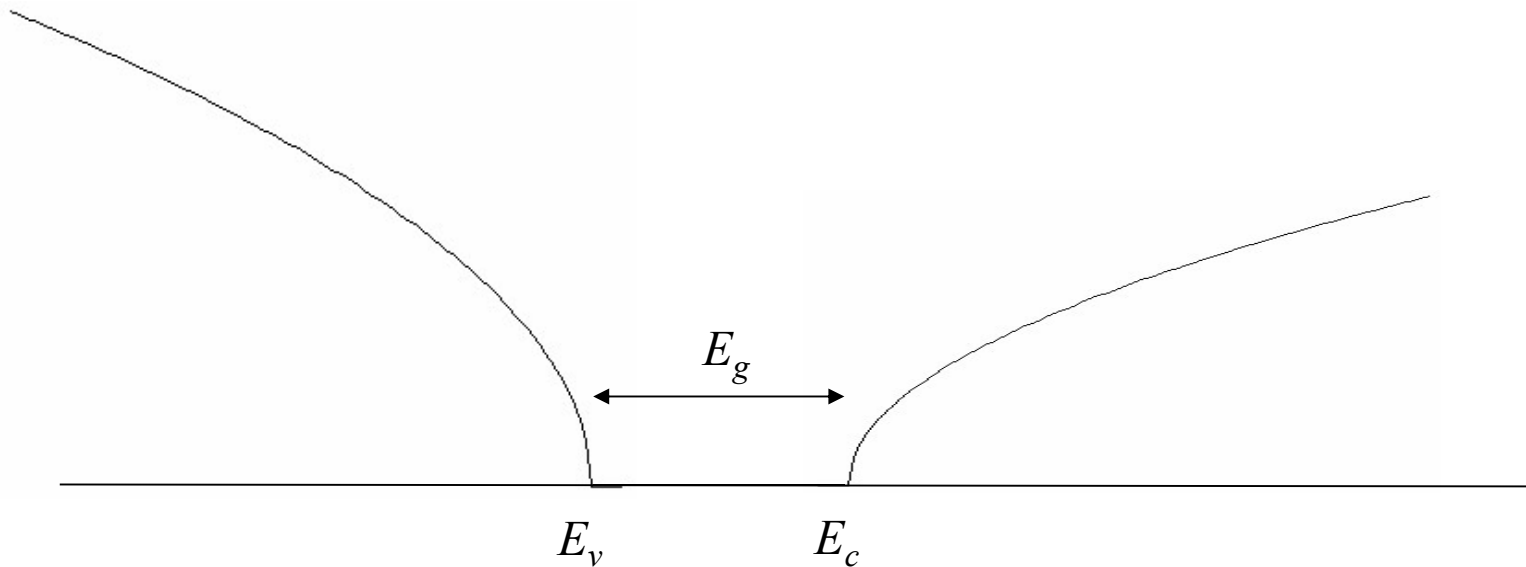
$$D(E) = \begin{cases} D_c & E < E_v \\ 0 & E_v < E < E_c \\ D_v & E_c < E \end{cases} \quad \text{J}^{-1}\text{m}^{-3}$$



# Semiconductors and insulators - 3d

---

$$D(E) = \begin{cases} D_c \sqrt{E_v - E} & E < E_v \\ 0 & E_v < E < E_c \\ D_v \sqrt{E - E_c} & E_c < E \end{cases} \quad \text{J}^{-1}\text{m}^{-3}$$



# Silicon density of states

